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Off-therapy response after nucleos(t)ide analogue withdrawal in patients with chronic hepatitis B : an international, multi-center, multi-ethnic cohort (RETRACT-B study)

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What You Need to Know

Background and Context: Functional cure, or HBsAg loss is rare on NA therapy. NA withdrawal as a therapeutic alternative remains elusive in clinical practice as current knowledge is mainly based on small and single-center studies.

New findings: In this global study of individual patient-level data on 1,552 CHB patients who stopped NA therapy, cumulative off-therapy HBsAg loss probability was 3.2% at 1 year, and 13.0% at 4 years (annual incidence: 2.9 per 1000 person-years). The predicted probability was >30% among Caucasians with HBsAg <1000 IU/mL and Asians with HBsAg <100 IU/mL at NA withdrawal, while controlling for other factors.

Limitations: Some bias may persist due to heterogeneity across centers despite adjusting for potential confounders and accounting for differences in retreatment criteria.

Impact: These findings identify factors associated with off-therapy HBsAg loss which help in the selection of patients for NA withdrawal.

Lay Summary

NA withdrawal with close follow-up monitoring is beneficial to achieve functional cure among virally suppressed CHB patients who are HBeAg negative with low HBsAg levels and without advanced liver disease.

1 **Title: Off-therapy response after nucleos(t)ide analogue withdrawal in patients with**
2 **chronic hepatitis B: An international, multi-center, multi-ethnic cohort (RETRACT-B**
3 **study)**

4
5 **Short title:** Finite NA therapy is effective for HBsAg loss

6
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117

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120 Data analysis and interpretation: GH, BEH

121 Drafting of the manuscript: GH

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139	List of abbreviations
140	
141	ALT – Alanine aminotransferase
142	Anti-HBs – Hepatitis B surface antibody
143	CHB – Chronic hepatitis B
144	CI – Confidence interval
145	DNA – Deoxyribonucleic acid
146	ETV – Entecavir
147	HBeAg – Hepatitis B e antigen
148	HBsAg – Hepatitis B surface antigen
149	HBV – Hepatitis B virus
150	HCC – Hepatocellular carcinoma
151	HCV – Hepatitis C virus
152	HDV – Hepatitis delta virus
153	HIV – Human immunodeficiency virus
154	HR – Hazard ratio
155	NA – Nucleos(t)ide analogue
156	PEG - Pegylated
157	SHR – Subdistribution hazard ratio
158	TDF – Tenofovir disoproxil fumarate
159	ULN – Upper limit of normal
160	
161	

162 **Abstract**

163

164 Background and Aims: Functional cure, defined by hepatitis B surface antigen (HBsAg) loss, is
165 rare during nucleos(t)ide analogue (NA) therapy and guidelines on finite NA therapy have not
166 been well established. We aim to analyze off-therapy outcomes following NA cessation in a
167 large, international, multi-center, multi-ethnic cohort of chronic hepatitis B (CHB) patients.

168

169 Methods: This cohort study included virally suppressed CHB patients who were hepatitis B e
170 antigen (HBeAg) negative and stopped NA therapy. Primary outcome was HBsAg loss after NA
171 cessation, and secondary outcomes included virological, biochemical, and clinical relapse, ALT
172 flare, retreatment, and liver-related events after NA cessation.

173

174 Results: Among 1,552 CHB patients, cumulative probability of HBsAg loss was 3.2% at 12
175 months and 13.0% at 48 months of follow-up. HBsAg loss was higher among Caucasians (vs.
176 Asians: SHR 6.8; 95% CI 2.7–16.8; $P < .001$), and among patients with HBsAg levels <100
177 IU/mL at end of therapy (vs. ≥ 100 IU/mL: SHR 22.5; 95% CI 13.1–38.7; $P < .001$). At 48
178 months of follow-up, Caucasians with HBsAg levels <1000 IU/mL and Asians with HBsAg
179 levels <100 IU/mL at end of therapy had a high predicted probability of HBsAg loss ($>30\%$).
180 Incidence rate of hepatic decompensation and hepatocellular carcinoma (HCC) was 0.48 per
181 1000 person-years and 0.29 per 1000 person-years, respectively. Death occurred in 7/19
182 decompensated patients and 2/14 patients with HCC.

183

184 Conclusion: The best candidates for NA withdrawal are virally suppressed, HBeAg negative,
185 non-cirrhotic CHB patients with low HBsAg levels, particularly Caucasians with <1000 IU/mL
186 and Asians with <100 IU/mL. However, strict surveillance is recommended to prevent
187 deterioration.

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207 **Introduction**

208

209 Hepatitis B virus (HBV) infection remains a major public health concern with significant
210 morbidity and mortality, affecting 292 million individuals globally.¹ Currently approved agents
211 for management include (pegylated [PEG]-)interferon and nucleos(t)ide analogue (NAs)
212 therapies.²⁻⁵ Despite the advent of effective oral antiviral agents with a good safety profile,^{6,7} the
213 majority of the chronic hepatitis B (CHB) patients require long-term management and treatment.
214 NAs have been shown to reduce progression towards cirrhosis, liver failure and hepatocellular
215 carcinoma (HCC),⁸⁻¹¹ however even with sustained HBV DNA suppression, the risk of long-
216 term complications, particularly HCC, remains.^{12,13} Hepatitis B surface antigen (HBsAg) loss,
217 which is considered the functional cure, is rare on NA therapy.^{2,4,14-22} Long-term adherence,
218 compliance, drug safety, and the financial and emotional burden for patients and caregivers
219 present additional challenges.^{23,24}

220

221 Finite NA therapy has been proposed as an alternative to long-term treatment. Since virological
222 relapse is nearly universal, even after prolonged viral suppression,²⁵ the rationale for stopping
223 NAs is to ultimately induce a durable remission in the form of an inactive carrier state or, ideally
224 a functional cure.¹² However, the occurrence of a combined virological and biochemical relapse
225 can range from mild alanine aminotransferase (ALT) elevations to clinically significant ALT
226 flares which may even result in hepatic decompensation.^{17,26-31} Since such findings raise
227 concerns on whether the current criteria for stopping NAs are applicable to all CHB patients, the
228 safe cessation of NA therapy remains one of the most controversial topics in the clinical
229 management of CHB with discordance between guidelines.^{2,4,19,32-34}

230

231 Existing studies included small, single-center cohorts with different study-specific endpoints.

232 Based on the patient population and study design, studies on finite NA therapy have reported off-

233 therapy HBsAg loss rates with wide variability ranging from 0% to 55% over follow-up periods

234 spanning 0.5-8 years.^{17,35-42} Thus, a large cohort with individual patient-level data with

235 sufficient statistical power to analyze the safety and efficacy of NA cessation in CHB patients is

236 required. The main objective of this study was to investigate factors associated with HBsAg loss,

237 and describe virological, biochemical, and clinical responses following cessation of NA therapy

238 with the hope to improve current patient management and help in the design of prospective HBV

239 cure studies.

240

241 **Methods**

242

243 This is a large, global, multi-center, multi-ethnic cohort study of CHB patients who stopped NA

244 therapy between 2001 and 2020 from 13 participating centers across Asia, Europe, and North

245 America (Figure 1, Supplementary Table 1).^{17,21,38,41,43-45} A standardized case report form was

246 used to capture data. All data cleaning, data quality assessments and analyses were centralized at

247 the Toronto Centre for Liver Disease (University Health Network, Canada). After anonymized

248 and de-identified individual patient-level longitudinal data were received from the participating

249 centers, meticulous data queries were sent to each center to ensure accuracy. According to local

250 rules, the study was approved by the research ethics board of each participating center and

251 performed in concordance with Good Clinical Practice guidelines and the Declaration of

252 Helsinki 1964 as modified by the 59th WMA General Assembly, Seoul, South Korea October
253 2008, and the local national laws governing the conduct of clinical research studies.

254

255 Study population and variables

256

257 Adult patients (aged ≥ 18 years) with CHB (HBsAg positive > 6 months) were included if they
258 were virally suppressed and hepatitis B e antigen (HBeAg) negative at end of therapy (Figure 1).

259 Stopping criteria and retreatment criteria varied by center location as listed in Supplementary

260 Table 1. Patients who had previously been diagnosed with HCC, patients with coinfection

261 (hepatitis C virus [HCV], hepatitis delta virus [HDV], and/or [HIV]), and patients who received

262 (PEG-)interferon treatment within 12 months prior to NA cessation were excluded from this

263 study. NA therapy duration refers to the duration of continuous NA therapy including

264 consolidation. Follow-up refers to time since NA cessation while the patient remained off-

265 therapy. Patient was defined as cirrhotic if cirrhosis had been diagnosed prior to cessation.

266 Cirrhosis was diagnosed based on histological findings or ultrasonographic evidence with or

267 without splenomegaly. Hepatic decompensation was defined based on development of a serum

268 total bilirubin level ≥ 2 mg/dL, an increased INR, appearance of clinical jaundice, onset of

269 ascites, variceal bleeding, or hepatic encephalopathy.

270

271 Laboratory assays

272

273 Quantitative or qualitative HBsAg, HBeAg, and HBV DNA was determined using in-house or
274 commercially available assays as described in Supplementary Table 2. The upper limit of normal
275 (ULN) for ALT values as defined by each participating center were used.

276

277 Off-therapy Outcome Measures

278

279 The main outcome analyzed in this study was HBsAg loss after NA cessation, with or without
280 seroconversion to hepatitis B surface antibody (anti-HBs).^{32,46} Secondary outcomes after NA
281 cessation included virological, biochemical, and clinical relapse, ALT flare, retreatment, liver-
282 related events including hepatic decompensation and HCC, and mortality. Virological relapse
283 was defined as a single elevation of HBV DNA ≥ 2000 IU/mL, biochemical relapse was defined
284 as a single elevation of ALT $\geq 2x$ ULN, and clinical relapse was defined as elevations of HBV
285 DNA ≥ 2000 IU/mL and ALT $\geq 2x$ ULN at the same visit. An ALT flare was defined as ALT $\geq 5x$
286 ULN with or without virological relapse. Hepatic decompensation was considered related to NA
287 cessation if diagnosed off-therapy or within 6 months of starting retreatment. HCC was only
288 considered to have occurred off-therapy if diagnosed at least 6 months after NA cessation, and
289 within 6 months of starting retreatment if retreated.

290

291 Statistical analysis

292

293 Clinical and demographic characteristics of the study cohort were presented as frequencies and
294 proportions for categorical variables, and mean \pm SD or median (range), as appropriate, for
295 continuous variables. Cumulative probabilities were estimated by Kaplan–Meier analysis and

296 compared between groups using the log-rank test. All outcomes were analyzed while the patient
297 remained off-therapy. Patients were censored at the last recorded visit date, date lost-to-follow-
298 up, or at retreatment if retreated. While analyzing retreatment as an outcome, patients were
299 censored at the last recorded visit date, date lost-to-follow-up, or at HBsAg loss. Competing risks
300 regression using the Fine-Gray subdistribution method was used to analyze factors associated
301 with HBsAg loss, modeled with retreatment as a competing risk.⁴⁷ Variables were entered into
302 the multivariable model a priori based on the hypothesized effect on the outcome and clinical
303 relevance. To develop a clinically meaningful rule, the predicted probability of HBsAg loss in
304 different patient subgroups was calculated. These probabilities are estimates calculated at the
305 mean of all other covariates in the multivariable model. Incidence rates were calculated over an
306 off-therapy follow-up period of 120 months for all outcomes except hepatic decompensation for
307 which a follow up period of 48 months was used. For Kaplan-Meier and competing risks
308 regression analyses, the latest time under which patients were both under observation and at risk
309 was 48 months. A two-tailed *P* value < .05 was considered statistically significant. Statistical
310 analyses utilized STATA Version 15.1 (StataCorp, College Station, TX).

311

312 **Results**

313

314 Characteristics of the study cohort

315

316 Of 1,726 CHB patients who stopped NA therapy, 1,552 met the inclusion and exclusion criteria
317 for this study (Figure 1). Patient characteristics have been described in Table 1. Mean age at end
318 of therapy was 52.9 ± 11.3 years, and 72.3% were male, 87.6% were Asian, and 11.3% were

319 Caucasian. Genotype B (42.7%) was the most prevalent genotype followed by genotype C
320 (11.0%) however genotype was unavailable for 42.7% of the cohort due to low or undetectable
321 levels of HBV DNA. Most patients received either entecavir (ETV [63.2%]) or tenofovir
322 disoproxil fumarate (TDF [27.1%]) therapy prior to cessation. The median follow-up duration
323 was 18.4 (range: 7.9–39.4) months. At end of therapy, 11.9% had been previously diagnosed
324 with cirrhosis, mean HBsAg was $2.6 \pm 0.8 \log_{10}$ IU/mL, and median ALT x ULN was 0.6 (range:
325 0.4-0.8).

326

327 Outcomes after NA cessation

328

329 *HBsAg loss*

330

331 Overall, 114 patients achieved HBsAg loss with an incidence rate of 2.9 per 1000 person-years.
332 The cumulative probability of HBsAg loss increased from 1.3% (95% CI 0.8-2.1%) at 6 months
333 to 3.2% (95% CI 2.3-4.4%) at 12 months and reached 13.0% (95% CI 10.5-16.0%) at 48 months
334 of follow-up (Figure 2A). No HBsAg reversions were reported.

335

336 When stratified by baseline characteristics, there were statistically significant differences in the
337 cumulative probability of HBsAg loss by age at end of therapy ($P = .03$), race/ethnicity ($P <$
338 $.001$), NA type prior to cessation ($P = .01$), and HBsAg levels at end of therapy ($P < .001$)
339 (Figure 3). At 48 months of follow-up, the cumulative probability of HBsAg loss was higher
340 among patients aged ≥ 50 years at end of therapy (16.8% [95% CI 12.9-21.7%]) compared to
341 those aged < 50 years (8.7% [95% CI 6.0-12.5%]) (Figure 3A), among Caucasians (36.5% [95%

342 CI 26.0-49.5%]) compared to Asians (10.6% [95% CI 8.1-13.7%]) (Figure 3C), among patients
343 treated with TDF prior to cessation (18.1% [95% CI 12.2-26.5%]) compared to ETV-treated
344 patients (10.5% [95% CI 7.8-14.2%]) (Figure 3D), and among patients with HBsAg <100 IU/mL
345 at end of therapy (43.0% [95% CI 34.4-52.7%]) compared to patients with HBsAg levels
346 between 100–1000 IU/mL at end of therapy (7.4% [95% CI 4.6-11.7%]) or HBsAg >1000
347 IU/mL at end of therapy (1.1% [95% CI 0.3-3.5%]) (Figure 3F).

348

349 Univariate competing risks regression yielded results similar to those of the Kaplan-Meier
350 analysis. Rate of HBsAg loss was significantly higher among Caucasians compared to Asians
351 (SHR 4.9; 95% CI 3.2–7.4; $P < .001$), and patients treated with TDF prior to cessation compared
352 to ETV-treated patients (SHR 1.8; 95% CI 1.1–2.7; $P = .01$) (Table 2). HBsAg levels at end of
353 therapy were strongly associated with HBsAg loss, and patients with HBsAg <100 IU/mL at end
354 of therapy had the highest rate of HBsAg loss. Longer NA duration and prior (PEG-)interferon
355 treatment were also significantly associated with HBsAg loss (Table 2). On adjusted
356 multivariable competing risks regression, rate of HBsAg loss was 6.8 times higher (95% CI 2.7–
357 16.8; $P < .001$) among Caucasians compared to Asians, and 22.5 times higher (95% CI 13.1–
358 38.7; $P < .001$) among patients with HBsAg levels <100 IU/mL at end of therapy compared to
359 patients with HBsAg levels \geq 100 IU/mL at end of therapy. Start of therapy HBeAg status was
360 not significant on univariate or multivariable analyses. There were no interactions included in the
361 multivariable model presented in Table 2.

362

363 When exploring interactions between race and HBsAg levels at end of therapy, we analyzed
364 three thresholds for HBsAg levels: 10 IU/mL (1 log₁₀), 100 IU/mL (2 log₁₀), and 1000 IU/mL (3

365 \log_{10}) (Figure 4). In this cohort, the average predicted probabilities of HBsAg loss at 48 months
366 of follow-up among patients with low HBsAg levels of <10 IU/mL at end of therapy were
367 comparable and >75% among Caucasians and Asians ($P = NS$) (Figure 4A) however, the
368 predicted probabilities of HBsAg loss were considerably higher among Caucasians with HBsAg
369 levels <100 IU/mL (84.1%) (Figure 4B) or <1000 IU/mL (40.9%) (Figure 4C) at end of therapy
370 compared to Asians using the same cut-points (<100 IU/mL: 32.5%; <1000 IU/mL: 9.7%) ($P <$
371 .01 for both comparisons). Patient characteristics by race/ethnicity have also been described in
372 Supplementary Table 3.

373

374 *Virological and biochemical responses*

375

376 Virological relapse occurred in 1,207 patients, and cumulative probabilities increased from
377 47.8% (95% CI 45.3–50.3%) at 6 months to 68.9% (95% CI 66.5–71.2%) at 12 months and
378 reached 83.4% (95% CI 81.2–85.5%) at 48 months of follow-up (Figure 2B). Biochemical
379 relapse occurred in 757 patients, and cumulative probabilities increased from 22.3% (95% CI
380 20.2–24.5%) at 6 months to 38.1% (95% CI 35.5–40.7%) at 12 months of follow-up and reached
381 61.1% (95% CI 58.0–64.2%) at 48 months (Figure 2C). Clinical relapse occurred in 658 patients,
382 and cumulative probabilities increased from 17.2% (95% CI 15.4–19.3%) at 6 months to 31.9%
383 (95% CI 29.4–34.4%) at 12 months of follow-up and reached 54.6% (95% CI 51.5–57.7%) at 48
384 months (Figure 2D). An ALT flare occurred in 359 patients, and cumulative probabilities
385 increased from 10.5% (95% CI 9.0–12.2%) at 6 months to 18.6% (95% CI 16.6–20.8%) at 12
386 months of follow-up and reached 30.8% (95% CI 27.9–33.9%) at 48 months (Figure 2E).

387

388 *Retreatment*

389

390 After NA cessation, 729 patients were retreated, and the cumulative probability of retreatment
391 increased from 16.2% (95% CI 14.4-18.2%) at 6 months to 29.8% (95% CI 27.5–32.2%) at 12
392 months of follow-up and reached 54.7% (95% CI 51.7-57.7%) at 48 months (Figure 2F). There
393 were statistically significant differences in the cumulative probability of retreatment by age
394 group ($P < .001$), start of therapy HBeAg status ($P = .02$), and HBsAg levels at end of therapy (P
395 $< .001$) (Supplementary Figure 1).

396

397 *Liver-related events and mortality*

398

399 There were 19 patients who developed hepatic decompensation after NA cessation (8/184 [4.3%]
400 of patients with cirrhosis vs. 11/1,368 [0.8%] of patients without cirrhosis, $P < .001$) with an
401 incidence rate of 0.48 per 1000 person-years. No decompensating events occurred after 48
402 months of follow-up. Among patients who developed hepatic decompensation, 1/19 (5.3%) had
403 subsequent HBsAg loss, and 16/19 (84.2%) started retreatment. Death occurred in 7 (36.8%) of
404 the 19 decompensated patients of which 6 died after starting retreatment. In 4/7 (57.1%), death
405 was reported to be related to a hepatitis B-associated flare. Among the other 3/7 (42.9%) deaths,
406 one patient died due septic shock caused by urosepsis, one died due to lymphoma, and one died
407 due to cholangiocarcinoma.

408

409 There were 14 patients who developed HCC at least 6 months after NA cessation (4/184 [2.2%]
410 of patients with cirrhosis vs. 10/1,368 [0.7%] of patients without cirrhosis; $P = NS$) with an

411 incidence rate of 0.29 per 1000 person-years. Among patients who developed HCC, 1/14 (7.1%)
412 had subsequent HBsAg loss while 1/14 (7.1%) had HBsAg loss prior to HCC diagnosis, and 6/14
413 (42.9%) started retreatment. Death occurred in 2 (14.3%) of the 14 patients with HCC of which 1
414 died after starting retreatment. Two additional cases of HCC were reported off-therapy within 6
415 months after cessation.

416
417 No patients included in this study developed both hepatic decompensation and HCC. There were
418 5 other deaths among patients who did not develop liver-related complications off-therapy of
419 which 3 died after starting retreatment.

420

421 **Discussion**

422

423 In this study of 1,552 CHB patients who stopped NA therapy, the cumulative probability of
424 HBsAg loss at year 1 of follow-up was 3.2% which more than quadrupled to 13.0% by year 4.
425 As would be expected, by year 4 of follow-up, most of the cohort had virological relapse
426 (83.4%) while the rates of clinical relapse were lower (54.6%), and 54.7% of the cohort had
427 started retreatment. This study is unique in that, to our knowledge, it is the first study to use
428 individual patient-level data to analyze outcomes following cessation of NA therapy in a large,
429 ethnically diverse, global cohort of CHB patients. While there remains heterogeneity between
430 participating centers, individual patient-level data provide robust estimates with the ability to
431 adjust for potential confounders which was not possible in any of the prior studies.^{35,48,49}
432 Modeling retreatment as a competing risk accounts for differences in stopping and retreatment
433 criteria by center location and policies.

434
435 This study affirms the favorable outcomes associated with lower HBsAg levels at the time of NA
436 cessation.^{14,17,22,33,40,48,50} This may be associated with patient status with respect to rates of viral
437 replication at the time of NA cessation.^{2,12,35,51,52} These data reiterate the importance of HBsAg
438 quantification during regular clinical follow-up.⁵³ Comparison of results from different prior
439 studies have suggested that HBsAg loss is typically higher among Caucasians compared to
440 Asians.^{17,20,41,45,54} Nevertheless, most of these studies were rather small, and single-center studies
441 in populations that were predominantly one race. In this study, although Caucasians had
442 relatively higher rates even when adjusted for potential confounders, off-therapy HBsAg loss
443 among Asians was also considerably higher than known on-therapy rates.^{36,55} Thus, contrary to
444 speculations in prior studies, Asians may also benefit from stopping NA therapy. The disparities
445 by race, and age at end of therapy, may stem from differences in confounding variables such as
446 HBV genotype, mode of transmission and duration of infection.⁵⁶⁻⁵⁸

447
448 Virological and biochemical responses are typically used to define retreatment criteria
449 (Supplementary Table 1), and thus retreatment can be thought of as a composite outcome with
450 respect to relapse and flares. In a systematic review, Papatheodoridis et al.⁵⁹ reported no
451 significant differences in virological response between groups by start of therapy HBeAg status,
452 and numerically higher durable biochemical response rates in start of therapy HBeAg positive
453 cases however, retreatment rates were not evaluated in their study. Other studies have reported
454 conflicting results pertaining to rates of retreatment by start of therapy HBeAg status.^{20-22,33,59-61}
455 In our cohort, there were no significant differences in HBsAg loss by start of therapy HBeAg
456 status however, it affected the magnitude of associations in the competing risks multivariable

457 model which, may be attributable to the lack of standardized definitions and criteria in the
458 current guidelines.²⁻⁴ Contrary to findings by Jeng et al.,¹⁷ HBsAg loss appeared higher among
459 patients treated with TDF prior to NA cessation compared to ETV-treated patients. Nevertheless,
460 similar to their study, there were no significant differences between the two groups in the
461 multivariable model. With respect to virological relapse, our results are comparable to other
462 studies in that the TDF-treated patients experienced earlier and higher rates of relapse compared
463 to ETV-treated patients.⁶²⁻⁶⁴

464

465 This study highlights that even though all patients with low HBsAg levels would benefit from
466 NA withdrawal with respect to HBsAg loss, the HBsAg level cut-point at NA withdrawal for
467 beneficial outcomes vary by race/ethnicity (Figures 4, 5). We arbitrarily chose those with a
468 predicted probability of HBsAg loss of at least 30% to be good candidates for NA withdrawal.
469 Thus, we recommend NA withdrawal in Caucasian patients with HBsAg levels <1000 IU/mL
470 and Asian patients with HBsAg levels <100 IU/mL (Figure 5). These results also agree with the
471 recommendations provided by Berg et al.³⁴

472

473 The results from our study suggest that higher rates of HBsAg loss can be achieved during
474 shorter follow-up periods with finite NA therapy. To date, there have been three randomized
475 controlled trials comparing HBsAg loss on- and off-NA therapy.²⁰⁻²² The trials showed minimal
476 to absent HBsAg loss in those who continued NA therapy, and they also suggested that NA
477 withdrawal is more effective among Caucasians compared to Asians. In our study, one could
478 question whether a control group of patients who continued NA therapy would solidify the
479 proven efficacy of NA withdrawal. However, considering the complexity of such an approach at

480 multiple sites across the globe, and given the ample evidence in the literature showing that
481 HBsAg loss on NA is extremely low, we did not pursue such a study design. Results from a
482 prospective cohort study by Jeng et al.¹⁷ showed a 1.78% annual HBsAg loss rate for those who
483 stopped NA therapy versus 0.15% among those who continued. Chan et al.⁶⁵ recently reported
484 approximately 1% HBsAg loss at 5 years among 1,248 patients treated with tenofovir
485 alafenamide, or TDF followed by tenofovir alafenamide in prospective registration randomized
486 controlled trials. Comparing on- and off-NA therapy rates of HBsAg loss across cohort studies,
487 such as the current study, will not yield meaningful information due to differences in the
488 included patient population and baseline criteria. Larger prospective randomized controlled trials
489 with a diverse patient population are necessary to fully determine the differences in outcomes
490 between those who stopped and continued NA therapy.

491

492 While we may be able to discern which patient is more likely to achieve functional cure based on
493 end of therapy profiles, it is still unclear what, and when, preemptive measures need to be taken
494 to prevent severe hepatic flares which often lead to severe or even fatal outcomes. Distinguishing
495 between beneficial and detrimental flares in clinical practice at the time of occurrence is
496 challenging.⁶⁶⁻⁶⁸ The cumulative probability of patients who developed hepatic decompensation
497 reached 1.7% with significant mortality, and 1.5% developed HCC by year 4 of follow-up.⁶⁹ It is
498 unclear whether HCC incidence was related to treatment cessation in this cohort. None of the
499 patients decompensated after HBsAg loss, and only one patient developed HCC. Thus, HBsAg
500 loss remains the most important endpoint^{19,70} however, it is difficult to predict patient outcome
501 after a relapse, and hepatic decompensation remains a threat to patient safety. The cumulative
502 probability of HBsAg loss continued to increase over time regardless of the type of relapse,

503 however, there were no statistically significant differences in rates of HBsAg loss between
504 patients who had biochemical relapse and those who did not (data not shown). Moreover, the
505 majority of the patients was retreated soon after the occurrence of either relapse and thus it is
506 hard to ascertain whether these patients would have had subsequent HBsAg loss or hepatic
507 decompensation. Ghany et al.⁷¹ and Liaw et al.⁶⁶ suggested that early retreatment may lower the
508 probability of HBsAg loss by dampening the host immune response, and provided that
509 virological relapse is almost certain in the majority of the patients, it may not be a suitable
510 criterion for retreatment.⁷² While this study may not provide strong evidence for or against
511 certain retreatment criteria, these results emphasize the need for standardization of retreatment
512 criteria and monitoring frequency after cessation.⁴² Prior studies seem to agree on restarting NA
513 therapy in cases of persistent clinical relapse, ALT flares, progression of fibrosis, or signs of
514 decompensation.^{19-21,66} In current clinical practice, the final decision on whether to retreat is
515 typically left to the discretion of the treating physician.

516

517 Most guidelines recommend continued NA therapy in cirrhotic patients in the absence of HBsAg
518 loss^{2-4,20} however, the exclusion of finite therapy as an option for cirrhotic patients alone may not
519 be sufficient. In this study, cirrhosis status prior to NA cessation did not appear to be associated
520 with off-therapy HBsAg loss, but patients with a cirrhosis diagnosis had higher rates of liver-
521 related complications, and more specifically, hepatic decompensation. Thus, our results support
522 the current guidelines in that patients with documented cirrhosis should continue NA therapy. An
523 in-depth analysis of predictors of hepatic decompensation and HCC after cessation is necessary
524 to understand role of cirrhosis, while accounting for differences in the diagnostic methods used
525 to define cirrhosis. Comparative studies analyzing on- and off-therapy rates of hepatic

526 decompensation are sparse. Of the few published studies analyzing rates among cirrhotic
527 patients, some report no difference,⁷³ some report low rates of hepatic decompensation after NA
528 cessation,^{59,74} while others report fatal outcomes.^{17,43,75,76} With respect to HCC in HBeAg
529 negative CHB patients, most studies concluded that there was no difference between on- and off-
530 therapy rates of HCC but higher rates among cirrhotics.^{17,26,36} One major limitation of comparing
531 on- and off-therapy rates of hepatic decompensation and HCC is the differences in patient profile
532 at baseline, as those who stop usually have milder disease.

533

534 Given that potent NAs are highly effective, affordable, well-tolerated with proven safety, and
535 shown to improve long-term prognosis, the costs associated with more frequent post-cessation
536 monitoring, increased laboratory testing, and the development of liver-related complications may
537 attribute to higher patient burden compared to continued therapy and should be taken into
538 consideration where appropriate. Non-adherence and issues with compliance persist on- as well
539 as off-therapy while the risk of potentially fatal outcomes is higher with finite therapy.
540 Therefore, strict long-term post-cessation surveillance is critical if stopping NA therapy is
541 pursued to aim for HBsAg loss, or necessary due to socioeconomic concerns or local policies.

542

543 This study has limitations. First, the frequency of follow-up visits, and length of follow-up varied
544 by center. Nonetheless, median time between visits was short (2.8 months) in this cohort and
545 majority of patients started retreatment before the end of follow-up at centers with shorter
546 follow-up durations. Second, there may have been misclassification bias; for cirrhosis and HCC
547 in particular, based on the center-specific monitoring and surveillance policies. Since there were
548 no biopsies performed at NA withdrawal, patients who were considered non-cirrhotic and who

549 developed complications may have had undiagnosed underlying cirrhosis. Third, a small
550 minority of this cohort had been previously treated with (PEG-)interferon however, it was
551 discontinued at least 12 months prior to NA cessation and therefore the likelihood of an on-going
552 effect would be low.⁷⁷ Prior (PEG-)interferon use was not a significant predictor in the
553 multivariable model and hence, it was excluded to avoid overfitting.⁷⁸ Lastly, HBV genotyping
554 could not be performed for many patients because of viral suppression prior to NA cessation.

555

556 In conclusion, the findings from this study in a large cohort of CHB patients suggest that
557 stopping NA therapy may be beneficial to achieve functional cure in virally suppressed, HBeAg
558 negative, non-cirrhotic patients with low HBsAg levels provided that close and frequent post-
559 cessation monitoring is feasible. NA withdrawal may be particularly effective among Caucasian
560 patients with HBsAg levels <1000 IU/mL and among Asian patients with HBsAg levels <100
561 IU/mL regardless of their start of therapy HBeAg status. These results have important
562 implications not only in aiding decision-making for regular clinical practice and providing
563 evidence to promote uniformity across guidelines, but also in the design of prospective studies
564 and randomized trials analyzing novel treatment options and biomarkers focused on HBV cure.

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786 Author names in bold designate shared co-first authorship.

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795 **Figure Legends**

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797 **Figure 1. Flow diagram of patient inclusion and exclusion.** HBeAg, Hepatitis B e antigen;
798 HBsAg, Hepatitis B surface antigen; HBV, Hepatitis B virus; HCC, Hepatocellular carcinoma;
799 HCV, Hepatitis C virus; HDV, Hepatitis delta virus; NA, Nucleos(t)ide analogue; PEG,
800 Pegylated.

801

802 **Figure 2. Cumulative probability of outcomes during off-therapy follow-up: A). HBsAg**
803 **loss, B). Virological relapse (HBV DNA ≥ 2000 IU/mL), C). Biochemical relapse (ALT $\geq 2x$**
804 **ULN), D). Clinical relapse (HBV DNA ≥ 2000 IU/mL and ALT $\geq 2x$ ULN), E). ALT flare**
805 **(ALT $\geq 5x$ ULN), and F). Retreatment.** ALT, Alanine aminotransferase; HBV, Hepatitis B
806 virus; ULN, Upper limit of normal.

807

808 **Figure 3. Cumulative probability of HBsAg loss by patient characteristics: A). Age at NA**
809 **cessation, B). Sex, C). Race/ethnicity, D). NA type prior to cessation, E). Start of therapy**
810 **HBeAg status, and F). End of therapy HBsAg levels.** ETV, Entecavir; HBeAg, Hepatitis B e
811 antigen; HBsAg, Hepatitis B surface antigen; NA, Nucleos(t)ide analogue; TDF, Tenofovir
812 disoproxil fumarate.

813

814 **Figure 4. Predicted probability of HBsAg loss after multivariable competing risks**
815 **regression by race for three thresholds of HBsAg levels at end of therapy: A) 10 IU/mL (1**
816 **log₁₀), B). 100 IU/mL (2 log₁₀), and C). 1000 IU/mL (3 log₁₀).** HBsAg, Hepatitis B surface
817 antigen.

818

819 **Figure 5. Clinical recommendations on NA withdrawal based on the predicted 4-year**

820 **HBsAg loss probability by patient groups.** These predicted probabilities are estimates

821 calculated for a patient of average age irrespective of sex, NA type prior to cessation, and start of

822 therapy HBeAg status. CHB, Chronic hepatitis B; HBeAg, Hepatitis B e antigen; HBsAg,

823 Hepatitis B surface antigen; NA, Nucleos(t)ide analogue.

Table 1. Characteristics of the patients who stopped NA therapy

Total, N	1552
Age at end of therapy, years, mean \pm SD	52.9 \pm 11.2
Male sex, n (%)	1122 (72.3)
Race/ethnicity: Caucasian / Asian / Black / Other, n (%)	175 (11.3) / 1359 (87.6) / 13 (0.8) / 5 (0.3)
HBV genotype: A / B / C / D / Other / Missing, n (%)	9 (0.6) / 662 (42.7) / 170 (11.0) / 45 (2.9) / 4 (0.3) / 662 (42.7)
Prior (PEG-)interferon, n (%)	133 (8.6)
NA-naïve, n (%)	1292 (83.3)
NA type prior to cessation: ETV / TDF / Other, n (%)	981 (63.2) / 421 (27.1) / 150 (9.7)
Minimum consolidation, years: <1 / 1-2 / \geq 3	83 (5.4) / 1129 (72.7) / 340 (21.9)
NA duration *, years, median (range)	3.0 (3.0 – 4.0)
Number of follow-up visits, median (range)	6 (3 – 9)
Follow-up duration between visits, months, median (range)	2.8 (2.0 – 5.0)
Total follow-up duration, months, median (range)	18.4 (7.9 - 39.4)
At start of therapy †	
HBeAg negative, n (%)	1306 (84.2)
HBV DNA, log ₁₀ IU/mL, mean \pm SD	5.9 \pm 1.6
ALT x ULN, median (range)	3.0 (1.9 – 7.3)
At end of therapy (NA cessation) ‡	
HBsAg, log ₁₀ IU/mL, mean \pm SD	2.6 \pm 0.8
HBsAg, IU/mL: <100 / 100–1000 / >1000, n (%)	225 (14.5) / 682 (43.9) / 463 (29.8)
Cirrhosis §, n (%)	184 (11.9)
ALT x ULN, median (range)	0.6 (0.4 – 0.8)

* NA duration was unknown for 15 (1%) patients.

† At start of therapy, HBeAg status was unavailable for 11 (0.7%), HBV DNA levels were unavailable for 190 (12%), and ALT levels were unavailable for 376 (24%) patients.

‡ At end of therapy, HBsAg levels were unavailable for 182 (12%), and ALT levels were unavailable for 47 (3%) patients.

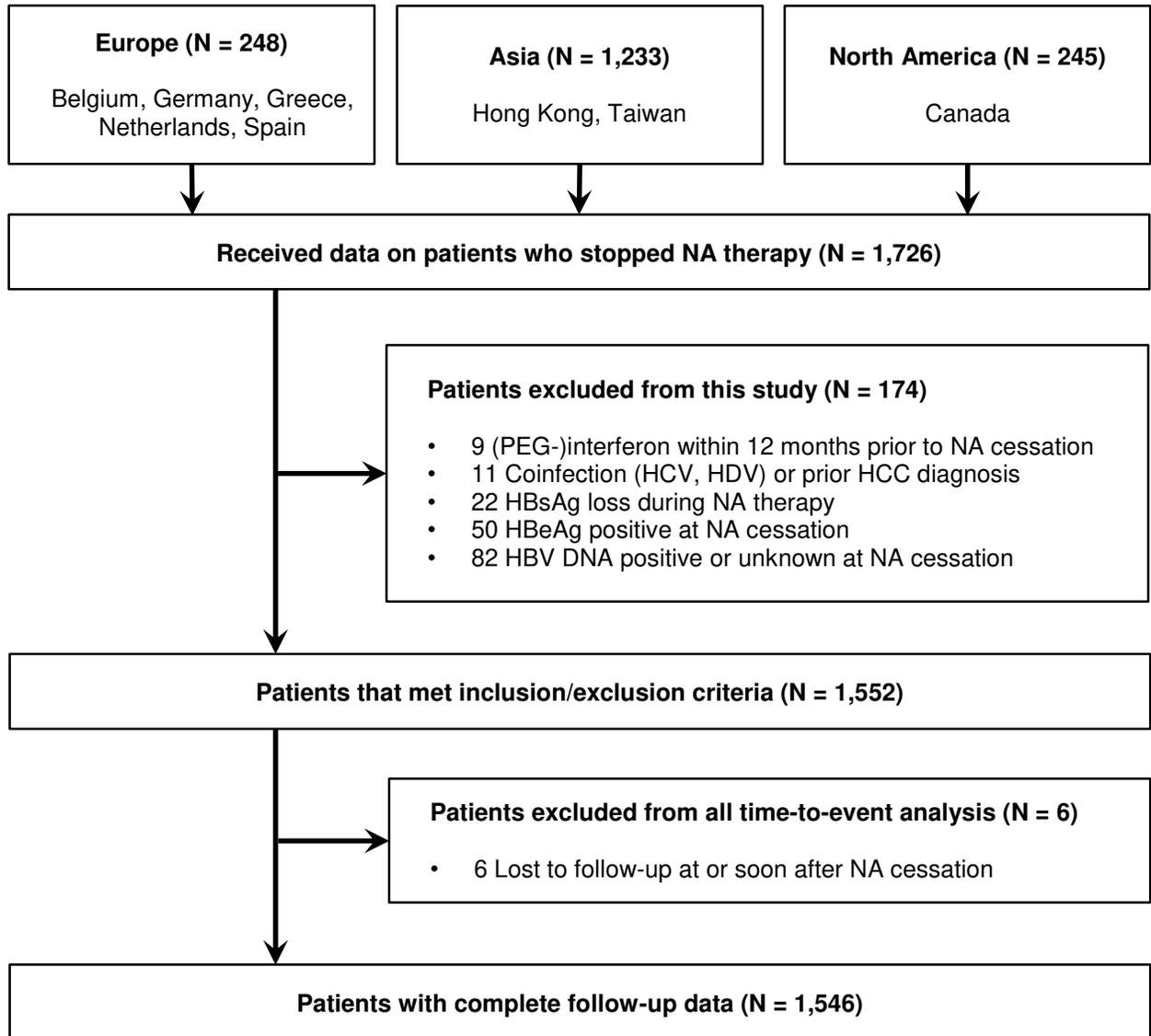
§ Patient was defined as cirrhotic at end of therapy if cirrhosis had been diagnosed at any time prior to NA cessation.

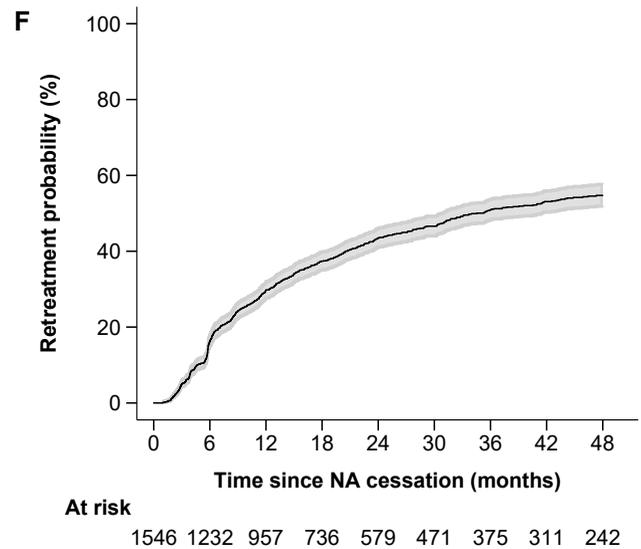
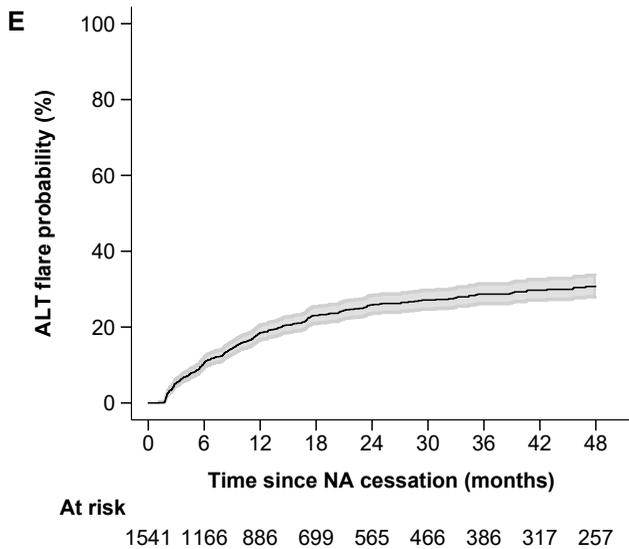
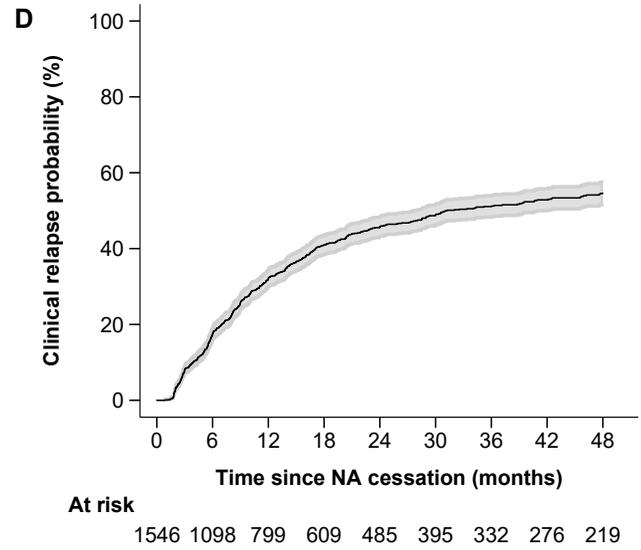
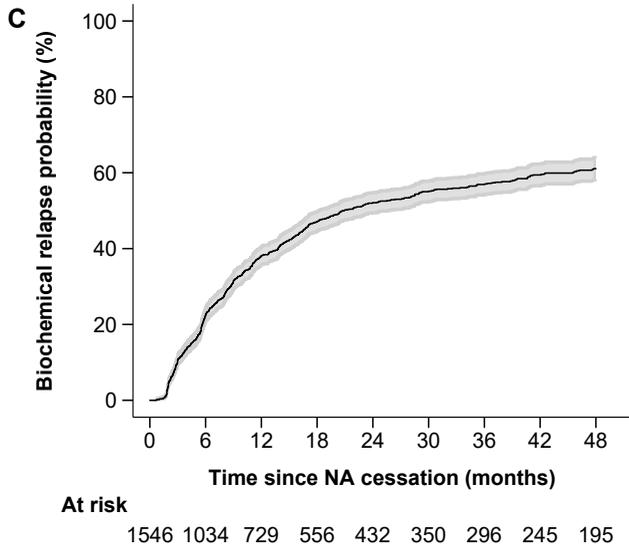
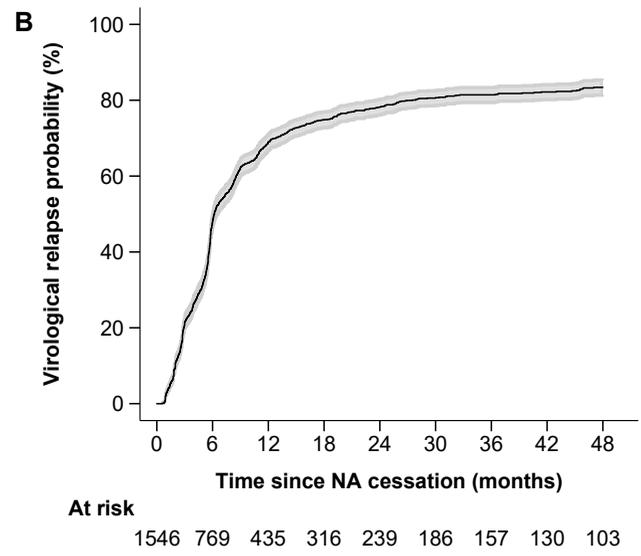
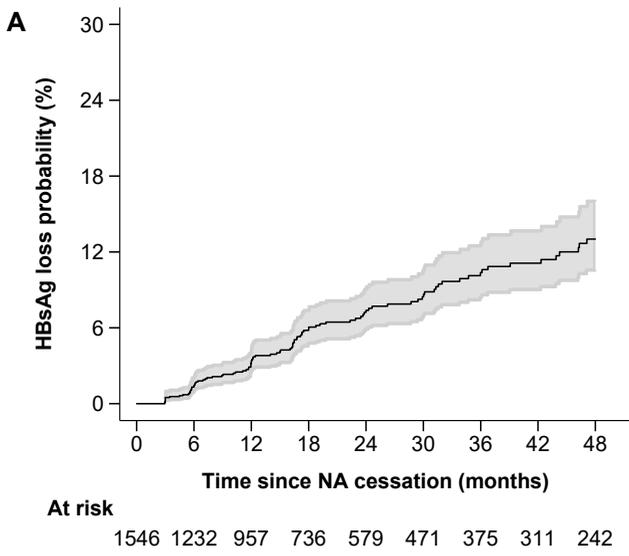
ALT, Alanine aminotransferase; ETV, Entecavir; HBeAg, Hepatitis B e antigen; HBsAg, Hepatitis B surface antigen; HBV, Hepatitis B virus; NA, Nucleos(t)ide analogue; PEG, Pegylated; TDF, Tenofovir disoproxil fumarate; ULN, Upper limit of normal.

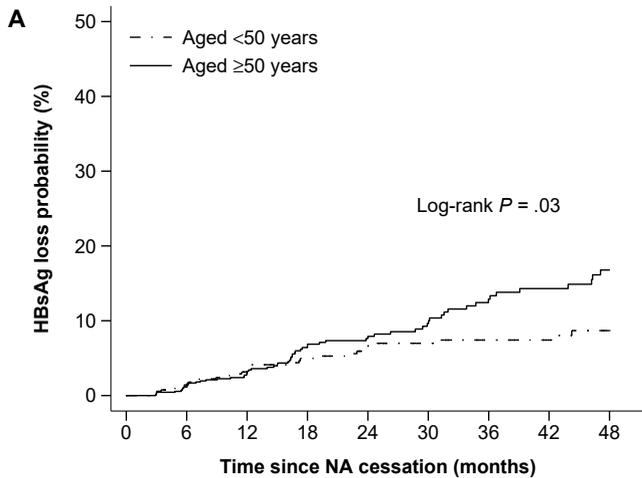
Table 2. Fine-Gray competing risks regression models for HBsAg loss

	Univariate SHR (95% CI)	<i>P</i>	Multivariable SHR (95% CI)	<i>P</i>
Age at end of therapy, years	1.01 (0.99 – 1.02)	.55	0.99 (0.97 – 1.01)	.24
Age at end of therapy, years				
<50 years	1.00 (reference)			
≥50 years	1.28 (0.83 – 1.96)	.26		
Sex				
Female	1.00 (reference)		1.00 (reference)	
Male	1.45 (0.88 – 2.37)	.14	0.98 (0.57 – 1.70)	.96
Race/ethnicity				
Asian	1.00 (reference)		1.00 (reference)	
Caucasian	4.86 (3.19 – 7.41)	< .001	6.80 (2.75 – 16.8)	< .001
Prior (PEG-)interferon				
No	1.00 (reference)			
Yes	2.18 (1.28 – 3.73)	.004		
NA type				
ETV	1.00 (reference)		1.00 (reference)	
TDF	1.76 (1.14 – 2.73)	.01	1.29 (0.81 – 2.05)	.29
Other	2.02 (1.13 – 3.59)	.02	0.48 (0.17 – 1.36)	.17
NA duration, years	1.16 (1.10 – 1.23)	< .001	1.05 (0.96 – 1.16)	.29
HBeAg at start of therapy				
Negative	1.00 (reference)		1.00 (reference)	
Positive	1.07 (0.62 – 1.84)	.81	1.57 (0.69 – 3.57)	.28
HBsAg levels at end of therapy, log₁₀ IU/mL	0.24 (0.19 – 0.30)	< .001		
HBsAg level at end of therapy				
≥100 IU/mL	1.00 (reference)		1.00 (reference)	
<100 IU/mL	15.6 (9.75 – 25.0)	< .001	22.5 (13.1 – 38.7)	< .001
HBsAg level at end of therapy				
>1000 IU/mL	1.00 (reference)			
100 – 1000 IU/mL	4.74 (1.41 – 15.9)	.01		
<100 IU/mL	50.4 (15.7 – 161)	< .001		
Cirrhosis at end of therapy				
Non-cirrhotic	1.00 (reference)			
Cirrhotic	1.01 (0.56 – 1.84)	.96		
ALT x ULN at end of therapy, log₁₀ ULN	1.28 (0.58 – 2.82)	.54		

ALT, Alanine aminotransferase; CI, Confidence interval; ETV, Entecavir; HBeAg, Hepatitis B e antigen; HBsAg, Hepatitis B surface antigen; NA, Nucleos(t)ide analogue; PEG, Pegylated; SHR, Subdistribution hazard ratio; TDF, Tenofovir disoproxil fumarate; ULN, Upper limit of normal.

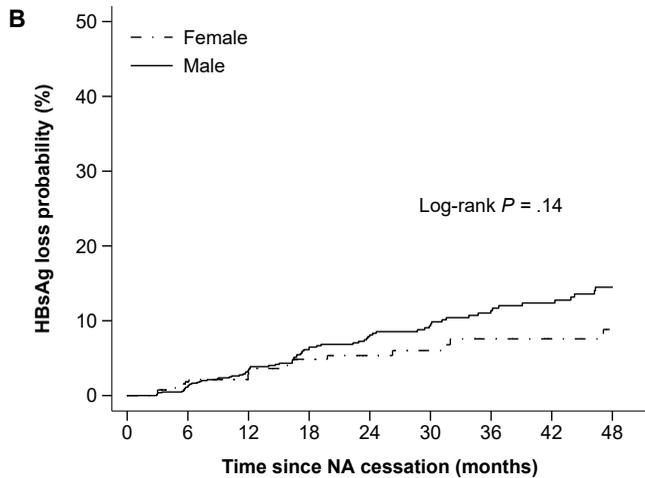






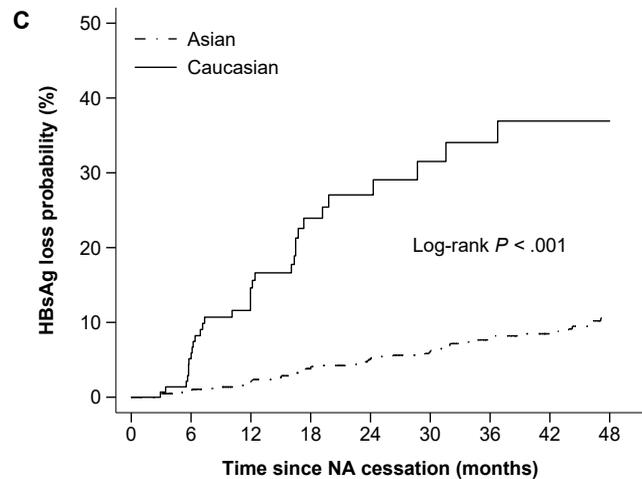
At risk

<50 years	570	479	393	318	262	227	184	153	118
≥50 years	976	753	564	418	317	244	191	158	124



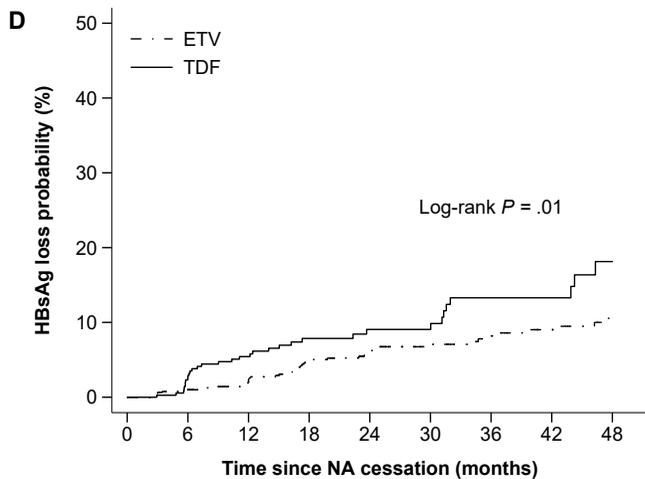
At risk

Female	428	329	264	199	154	128	103	83	69
Male	1118	903	693	537	425	343	272	228	173



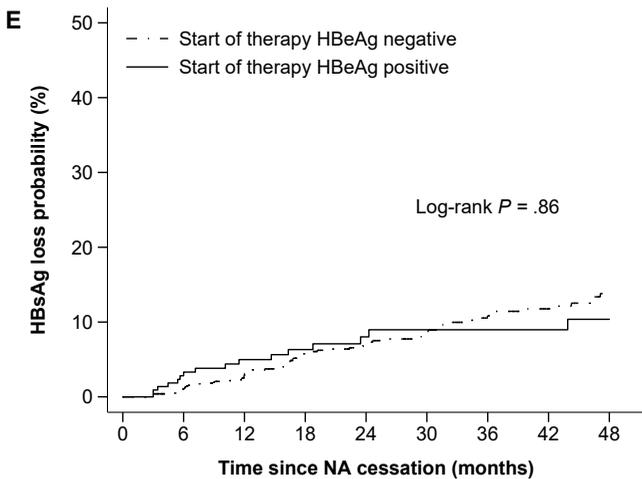
At risk

Asian	1354	1099	865	676	537	440	348	287	227
Caucasian	174	124	85	54	37	27	23	20	11



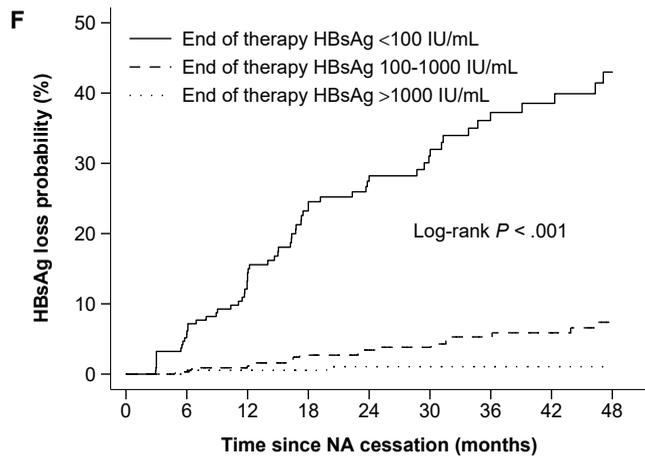
At risk

ETV	979	778	590	471	368	293	234	200	157
TDF	418	328	261	185	142	115	84	63	43



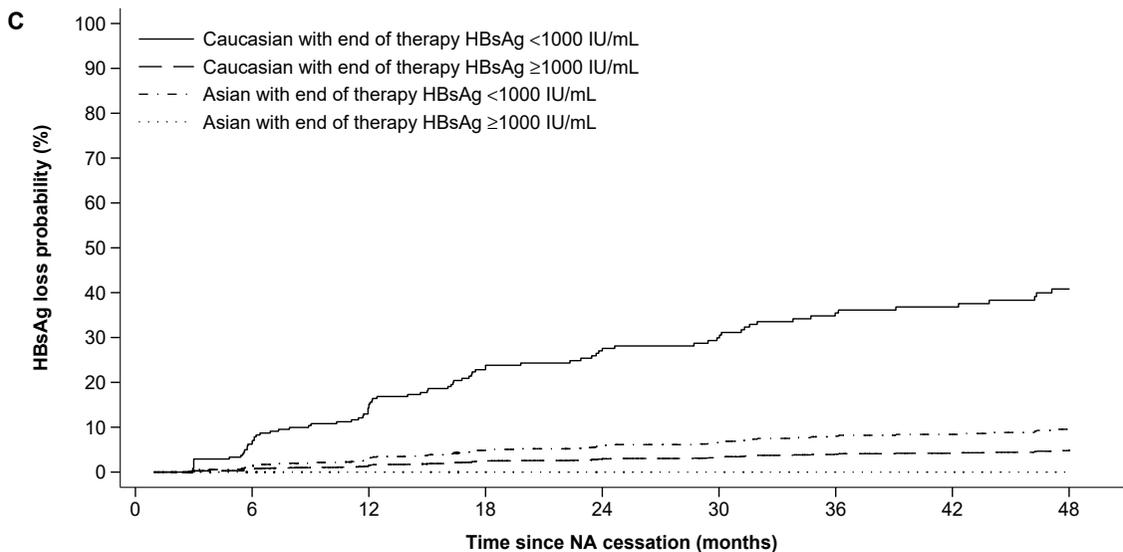
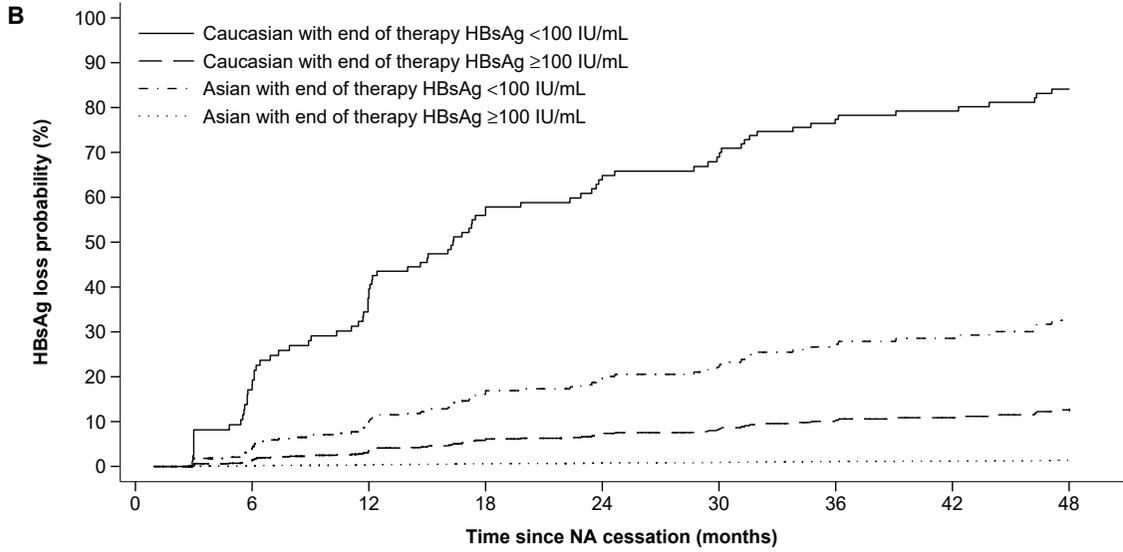
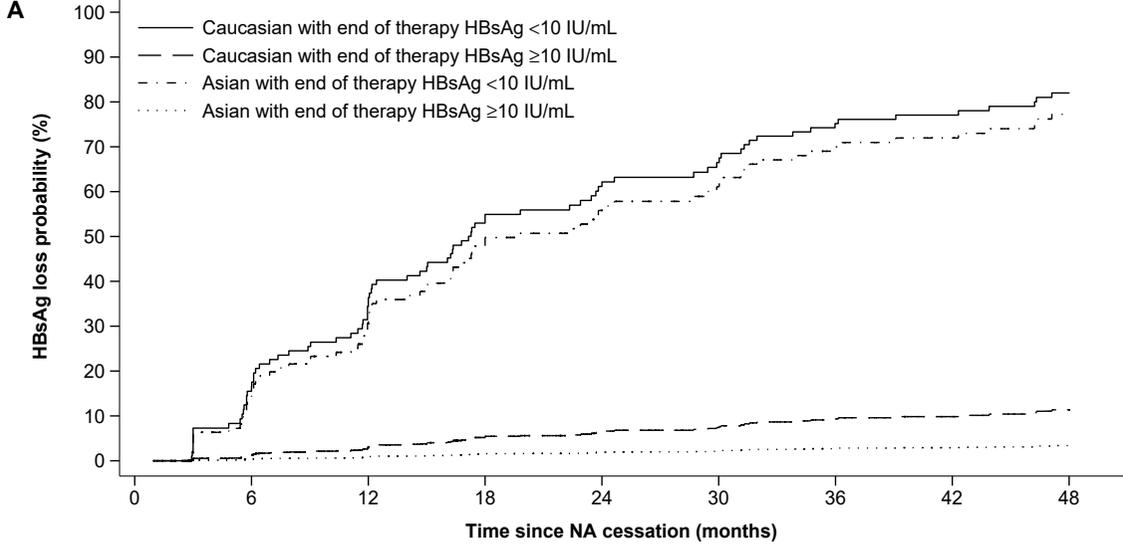
At risk

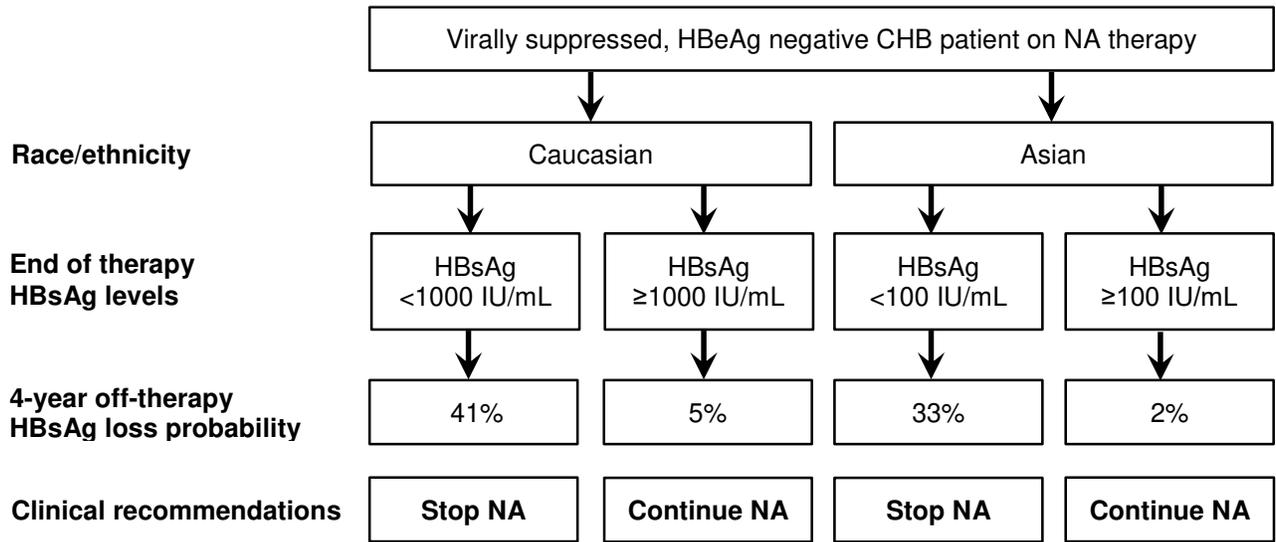
Negative	1304	1027	797	610	479	380	298	243	187
Positive	231	198	156	122	97	89	75	66	53



At risk

<100	223	190	149	117	95	72	55	45	34
100-1000	682	549	423	327	250	204	164	140	105
>1000	463	344	263	201	158	131	101	77	60





Supplementary Table 1. Stopping and retreatment criteria for subjects included in the study

Supplementary Table 2. Laboratory methods and tests utilized

Supplementary Table 3. Characteristics of included Asian and Caucasian patients

Supplementary Figure 1. Cumulative probability of retreatment by patient characteristics: A). Age at NA cessation, B). Sex, C). Race/ethnicity, D). NA type prior to cessation, E). Start of therapy HBeAg status, and F). End of therapy HBsAg levels. ETV, Entecavir; HBeAg, Hepatitis B e antigen; HBsAg, Hepatitis B surface antigen; NA, Nucleos(t)ide analogue; TDF, Tenofovir disoproxil fumarate.

Supplementary Table 1. Stopping and retreatment criteria for subjects included in the study

Center country	Number of centers	Study design	NA stopping criteria	Retreatment criteria
Belgium	1 *	Cohort	HBeAg negative for at least 6 months, at the discretion of the treating physician, patient's own initiative	Belgian reimbursement criteria, at the discretion of the treating physician
Germany	1	Cohort, Trial	HBeAg negative with at least 42 months of undetectable HBV DNA	At the discretion of the treating physician
Greece	1	Trial	HBeAg negative with at least 36 months of undetectable HBV DNA	Virological relapse, combined relapse, ALT >10x ULN, ALT >3x ULN and HBV DNA >100,000 IU/mL at the same visit, ALT >ULN and HBV DNA >2,000 IU/mL on three sequential visits, patients' and physicians' decisions in case of HBV DNA >20,000 IU/mL
Netherlands	1	Cohort, Trial	HBeAg negative with at least 12 months of undetectable HBV DNA, patient's own initiative	At the discretion of the treating physician, patient's own initiative
Spain	1	Cohort, Trial	HBeAg negative with at least 36 months of undetectable HBV DNA	At the discretion of the treating physician
Hong Kong	3	Cohort, Trial	APASL guidelines	Virological relapse regardless of ALT level
Taiwan	4	Cohort, Trial	APASL guidelines, Taiwan's national health plan, patient's own initiative	Taiwan's national health plan, hyperbilirubinemia (serum total bilirubin >2 mg/dL), coagulopathy (prothrombin time prolongation >3 seconds), combined relapse, at the discretion of the treating physician, patient's own initiative
Canada	1	Cohort, Trial	HBeAg negative with at least 12 months of undetectable HBV DNA	HBeAg seroreversion, HBV DNA >2000 IU/mL and ALT >600 IU/mL at any visit, HBV DNA >2000 IU/mL and ALT >5x ULN on two consecutive visits, HBV DNA >2000 IU/mL and ALT >200 IU/mL but <600 IU/mL for >6–8 weeks, HBV DNA >20 000 IU/mL on two consecutive visits at least 4 weeks apart, at the discretion of the treating physician

* Data was centralized at one center however it was collected from 18 centers across Belgium.

APASL, Asia-Pacific Association for the Study of the Liver; ULN, Upper limit of normal.

Supplementary Table 2. Laboratory methods and tests utilized

Site country	Qualitative HBeAg assay	HBsAg assay (quantification limit)	HBV DNA assay (quantification limit)	ALT ULN (U/L)
Belgium	Enzyme-linked immunosorbent assay kit (ELISA), Chemiluminiscent microparticle immunoassay kit	Enzyme-linked immunosorbent assay kit (ELISA), Chemiluminiscent microparticle immunoassay kit	PCR (12 IU/mL)	49
Germany	Enzyme-linked immunosorbent assay kit (ELISA)	Enzyme-linked immunosorbent assay kit (ELISA) (0.22 IU/mL)	PCR (10 IU/mL)	34 (female) and 45 (male)
Greece	N/A	Roche Elecsys HBsAg II Quant reagent kit (0.05 IU/mL)	PCR (50 IU/mL)	40
Netherlands	Chemiluminescent immunoassay kit (CLIA-K)	Chemiluminescent immunoassay kit (CLIA-K) (0.05 IU/mL)	Roche Cobas AmpliPrep/Cobas TaqMan (20 IU/mL)	34 (female) and 45 (male)
Spain	Siemens Advia Centau system	Abbot Laboratories Architect HBsAg QT (0.05 IU/mL)	Roche Cobas 6800 system (13 IU/mL)	40
Hong Kong	Abbott Diagnostics enzyme immunoassay kit	Roche Elecsys HBsAg II Quant reagent kit (0.05 IU/mL)	Roche Cobas TaqMan HBV test (20 IU/mL), TaqMan RT-PCR (N/A)	36 (female) and 58 (male), 47 (female) and 53 (male)
Taiwan	Abbott Diagnostics enzyme immunoassay kit, Chemiluminescent microparticle immunoassay kit	Roche Elecsys HBsAg II Quant reagent kit (0.05 IU/mL), Abbot Laboratories Architect i2000 HBsAg QT (0.05 IU/mL), Chemiluminescent microparticle immunoassay kit (0.05 IU/mL)	Roche Cobas AmpliPrep/Cobas TaqMan (20 IU/mL), Roche Cobas 6800 system (10 IU/mL), Abbott RealTime HBV assay (20 IU/mL)	36, 40, 41
Canada	Abbot Laboratories Architect, Commercial enzyme immunoassay kit	Abbot Laboratories Architect HBsAg QT (0.05 IU/mL), LIAISON XL (0.05 IU/mL)	Roche Cobas TaqMan 48 PCR (20 IU/mL), RT-PCR (N/A)	40, 30 (female) and (male)

ALT, Alanine aminotransferase; HBeAg, Hepatitis B e antigen; HBsAg, Hepatitis B surface antigen; HBV, Hepatitis B virus; PCR, Polymerase chain reaction; RT, Reverse transcription; ULN, Upper limit of normal.

Supplementary Table 3. Characteristics of included Asian and Caucasian patients

	Asian (N = 1359)	Caucasian (N = 175)	<i>P</i>
Age at end of therapy, years, mean ± SD	52.9 ± 11.2	54.2 ± 11.4	.16
Male sex, n (%)	988 (72.7)	123 (70.3)	.50
HBV genotype: A / B / C / D / Other / Missing, n (%)	0 (0) / 660 (48.6) / 168 (12.4) / 3 (0.2) / 0 (0) / 528 (38.9)	6 (3.4) / 1 (0.6) / 1 (0.6) / 39 (22.3) / 3 (1.7) / 125 (71.4)	< .001
Prior (PEG-)interferon, n (%)	88 (6.5)	44 (25.1)	< .001
NA-naïve, n (%)	1170 (86.1)	107 (61.1)	< .001
NA type prior to cessation: ETV / TDF / Other, n (%)	921 (67.8) / 342 (25.2) / 96 (7.1)	52 (29.7) / 70 (40.0) / 53 (30.3)	< .001
Minimum consolidation, years: <1 / 1-2 / ≥3	63 (4.6) / 1113 (81.9) / 183 (13.5)	14 (8.0) / 15 (8.6) / 146 (83.4)	< .001
NA duration, years, median (IQR)	3.0 (3.0 – 3.4)	7.4 (4.8 – 10.5)	< .001
Number of follow-up visits, median (IQR)	6 (3 – 9)	7 (3 – 8)	.51
Follow-up duration between visits, months, median (IQR)	2.8 (2.0 – 5.2)	2.4 (1.4 – 3.7)	< .001
Total follow-up duration, months, median (IQR)	17.8 (8.0 – 36.5)	12.0 (5.5 – 20.5)	< .001
At start of therapy			
HBeAg negative, n (%)	1150 (84.9)	143 (85.1)	.93
HBV DNA, log ₁₀ IU/mL, mean ± SD	5.9 ± 1.6	5.7 ± 2.0	.23
ALT x ULN, median (IQR)	3.1 (1.9 – 8.0)	2.4 (1.4 – 4.1)	< .001
At end of therapy (NA cessation)			
HBsAg, log ₁₀ IU/mL, mean ± SD	2.6 ± 0.8	2.8 ± 0.9	< .001
HBsAg, IU/mL: <10 / ≥10, n (%)	53 (3.9) / 1172 (86.2)	6 (3.4) / 133 (76.0)	1.00
HBsAg, IU/mL: <100 / ≥100, n (%)	207 (15.2) / 1018 (74.9)	18 (10.3) / 121 (69.1)	.24
HBsAg, IU/mL: <1000 / ≥1000, n (%)	842 (62.0) / 383 (28.2)	63 (36.0) / 76 (43.4)	< .001
Cirrhosis, n (%)	169 (12.4)	10 (5.9)	.01
ALT x ULN, median (IQR)	0.6 (0.4 – 0.8)	0.6 (0.4 – 0.7)	.79

ALT, Alanine aminotransferase; ETV, Entecavir; HBeAg, Hepatitis B e antigen; HBsAg, Hepatitis B surface antigen; HBV, Hepatitis B virus; NA, Nucleos(t)ide analogue; PEG, Pegylated; TDF, Tenofovir disoproxil fumarate; ULN, Upper limit of normal.

Supplementary Figure 1. Cumulative probability of retreatment by patient characteristics: A). Age at NA cessation, B). Sex, C). Race/ethnicity, D). NA type prior to cessation, E). Start of therapy HBeAg status, and F). End of therapy HBsAg levels. ETV, Entecavir; HBeAg, Hepatitis B e antigen; HBsAg, Hepatitis B surface antigen; NA, Nucleos(t)ide analogue; TDF, Tenofovir disoproxil fumarate.

