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1 **Upward range shift of a dominant alpine shrub related to 50 years of snow cover**
2 **change**

3

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27 Abstract

28 Pronounced climate warming has resulted in a significant reduction of snow cover
29 extent, as well as poleward and upslope shifts of shrubs in Arctic and alpine
30 ecosystems. However, it is difficult to establish links between changes in snow cover
31 and shrub distribution changes due to a lack of *in situ* and long-term snow records in
32 relation to abundance shifts of shrubs at their leading (i.e., cold) and trailing (i.e.,
33 warm) edges. We used remote sensing to extract long-term changes in both snow
34 cover and shrub distributions in response to climate change in the alpine tundra of the
35 Changbai Mountains in Northeast China. First, we analyzed spatio-temporal changes
36 in snow cover during the snowmelt period (April 1st to June 15th) over the past 54
37 years (1965–2019). Then, we analyzed distribution changes of the dominant
38 evergreen alpine shrub, *Rhododendron aureum*, using 31 years (1988–2019) of
39 Landsat NDVI archives. We applied a novel approach by analyzing NDVI data from
40 autumn only, when *R. aureum* is green yet most of the surrounding plants are already
41 brown. Finally, we tested the relationship between snowmelt date and the distribution
42 of *R. aureum*. We found that the fraction cover of *R. aureum* experienced greater loss
43 than gain in the last 30 years. *R. aureum* expanded at the leading edge, establishing in
44 snow-rich habitats, yet retracted further at the trailing edge due to loss of snow

45 habitats. We identified the preferred snowmelt regime (habitats with snowmelt date of
46 20 April or later) of this shrub species and found that further advances in snowmelt
47 dates would lead to the upward range shift of *R. aureum* in a warming climate. Our
48 results indicate that spring snow cover change affected distribution changes of *R.*
49 *aureum*. Our study highlights that long-term changes in snow cover due to climate
50 change have already had marked impacts on plant species distributions in alpine
51 ecosystems.

52

53 Keywords: Snow cover change; Evergreen shrub; Distribution change; Species
54 distribution modelling

55

56 Highlights

57 The fraction cover of *R. aureum* in the Changbai Mountains experienced greater loss
58 than gain in the last 30 years.

59 Changes in leading and trailing edges of *R. aureum* related to snow cover trends in the
60 last 50 years.

61 Further advance in snowmelt dates would lead to the upward range shift of *R. aureum*.

62

63

64 1. Introduction

65 Snow is an important component of cold biomes, controlling local-scale
66 environmental conditions (e.g., soil temperature and moisture), defining growing
67 season length, and determining plant distribution patterns (Billings and Bliss, 1959;
68 Keller et al., 2005; Walker et al., 2001; Wipf et al., 2009). During the past decades,
69 pronounced climate warming in Arctic and alpine ecosystems has resulted in a
70 significant reduction of snow cover extent (Bokhorst et al., 2016; Klein et al., 2016;
71 Marty et al., 2017). Meanwhile, shrub species, which are often the characteristic and
72 dominant species in cold biomes, have been observed to shift poleward and upslope in
73 geographic distribution (Formica et al., 2014; Malfasi and Cannone, 2020; Martin et
74 al., 2017; Myers-Smith et al., 2015; Scharnagl et al., 2019; Tape et al., 2006). Snow
75 cover change has been found to affect shrub phenology, growth, and abundance via
76 indirect effects on soil conditions and frost exposure (Cooper et al., 2019; Daniëls et
77 al., 2015; Francon et al., 2020; Gerdol et al., 2013; Matteodo et al., 2016; Sturm et al.,
78 2001; Wheeler et al., 2014; Wipf and Rixen, 2010). However, it is difficult to establish
79 links between changes in snow cover and in shrub distribution because there is a lack
80 of *in situ* and long-term snow records in relation to abundance shifts of shrubs at their
81 leading (i.e., cold) and trailing (i.e., warm) edges (Hallinger et al., 2010). This
82 knowledge gap prevents an in-depth understanding of future plant distribution
83 changes in cold biomes in the context of climate warming.

84 Remote sensing is a practical way to obtain snow cover information (Hall, 2012).

85 Several studies have indicated that understanding snow data derived from remote

86 sensing are beneficial for understanding plant distribution changes in cold biomes
87 (Beck et al., 2005; Carlson et al., 2015; Niittynen and Luoto, 2018; Randin et al.,
88 2009). Nevertheless, long-term snow cover products for recent decades of accelerated
89 climate change are still rare, especially for alpine regions where snow cover changes
90 occur at fine scales in rugged terrain (Carlson et al., 2013; Dedieu et al., 2016). Snow
91 cover information can be extracted from optical satellite imagery, such as the Landsat
92 series, the longest available record among the different satellite observation platforms.
93 However, determining snow cover extent faces a number of methodological issues.
94 Changeable weather in mountainous regions and long return intervals of optical
95 satellites can make it difficult to obtain continuous cloud-free observations (Rosenthal
96 and Dozier, 1996). Furthermore, redistribution of snow occurs frequently because of
97 changing wind drift, snow avalanches, and multiple snowfalls in winter (Hiemstra et
98 al., 2002), which increases the difficulty of capturing the ever-changing snow
99 distribution to derive accurate snow cover duration. However, a useful period to
100 define snow cover change is during the spring snowmelt season, which is widely
101 believed to be a critical period for plant growth and distribution (Cooper et al., 2011;
102 Heegaard, 2002; Keller et al., 2005; Wipf, 2010). During this period, the snowmelt
103 pattern regulates several abiotic constraints, such as the number and intensity of
104 spring freezing events. Thus it acts as a filter determining community composition
105 and plant distributions (Good et al., 2019; Winkler et al., 2018). Sensitive responses
106 of shrubs (phenology, growth, and regeneration) to snowmelt date have been
107 demonstrated in experimental and observational studies (Carbognani et al., 2014;

108 Francon et al., 2020; Klanderud and Birks, 2003; Mallik et al., 2011; Rixen et al.,
109 2010; Sandvik and Odland, 2014; Wheeler et al., 2014), indicating that shrub
110 distribution change is closely related to snow cover during the snowmelt season.

111 Shrub distribution changes in cold biomes have been assessed based on long-term
112 plot monitoring and analysis of repeated field photos (Formica et al., 2014;
113 Myers-Smith et al., 2015; Tape et al., 2006), but the detection of large-scale changes
114 in shrub cover has been hampered by a lack of historical records with sufficient scale
115 or resolution (Beamish et al., 2020; van Lier et al., 2009). Therefore, remote sensing
116 has a high potential to capture entire shrub distribution ranges, though it is still a
117 significant challenge to detect shrub cover in Arctic and alpine vegetation due to
118 heterogeneous species composition and ground cover (Bayle et al., 2019; Räsänen and
119 Virtanen, 2019). However, arctic and alpine shrubs, e.g., from the Ericaceae family,
120 often form single-species dominant clusters that can cover areas that are large enough
121 to detect with high-resolution imagery (e.g., aerial photos). High-resolution imagery is,
122 however, not available for extracting historical shrub distribution in many cold
123 regions, again due to lack of historical coverage (Greaves et al., 2016). Pixel-level
124 analyses of trends in vegetation greening (i.e., assessing trends in time series of
125 spectral vegetation indices derived from optical satellite imagery) have been related to
126 the observed shrub expansion and encroachment in Arctic and alpine ecosystems
127 (Berner et al., 2020; Carlson et al., 2017; Forbes et al., 2010; Macias-Fauria et al.,
128 2012). However, processes at both leading and trailing edges are often difficult to
129 detect, especially at the species level. In most studies, precisely relating an increase in

130 shrub occurrence to greening signals – using the maximum values of spectral
131 vegetation indices (e.g., normalized difference vegetation index, NDVI) – has proven
132 difficult because several plant communities exhibit similarly high greenness values at
133 the peak of the growing season (Myers-Smith et al., 2020). A possible solution to
134 differentiate between species is to analyze greenness in different seasons. So far, this
135 use of key phenological anomalies has rarely been tested in Arctic and alpine
136 vegetation studies but has been used in remote sensing studies concerning the
137 mapping of invasive plants (Bradley, 2014; Labonté et al., 2020; Peterson, 2005;
138 Weisberg et al., 2017). A useful but neglected period to identify and track shrubs is
139 autumn, when the phenological trajectories of many plants diverge from one another
140 (Bayle et al., 2019; Filippa et al., 2019). Due to a high chlorophyll or anthocyanin
141 content of leaf tissue in autumn, both deciduous ericaceous shrubs (e.g., *Vaccinium*
142 *uliginosum*) with red leaves and evergreen ericaceous shrubs (e.g., *Rhododendron*
143 *ferrugineum*) with green leaves have been observed to exhibit distinctive green or red
144 reflectance values compared with those of sedges and grasses with brown leaves
145 (Bayle et al., 2019; Hughes, 2011). Such phenological differences in autumn provide a
146 promising solution for mapping and tracking shrubs over time.

147 In this study, we aimed to identify long-term spring snow dynamics and to extract
148 distribution changes of an alpine dominant and evergreen ericaceous shrub,
149 *Rhododendron aureum*, which grows in habitats with sufficient snow cover in the
150 alpine tundra of the Changbai Mountains, Northeast China. We obtained the
151 distribution of *R. aureum* by means of autumn greenness values and linked this

152 information to data on snow melting date (and changes therein), using a range of
153 remote sensing products and transect plots for ground truthing. We hypothesized that:
154 (i) spring snow cover extent decreased over the last 50 years due to climate warming,
155 indicating a shrinking cryosphere in alpine ecosystems; (ii) during the last 30 years, *R.*
156 *aureum* decreased in cover at the trailing (lower-elevation) edge of its distribution and
157 increased at the leading (higher-elevation) edge; and (iii) a loss of snow habitats was a
158 main environmental driver for the upward elevational shift in *R. aureum* cover.

159

160 2. Materials and Methods

161

162 2.1 Study area

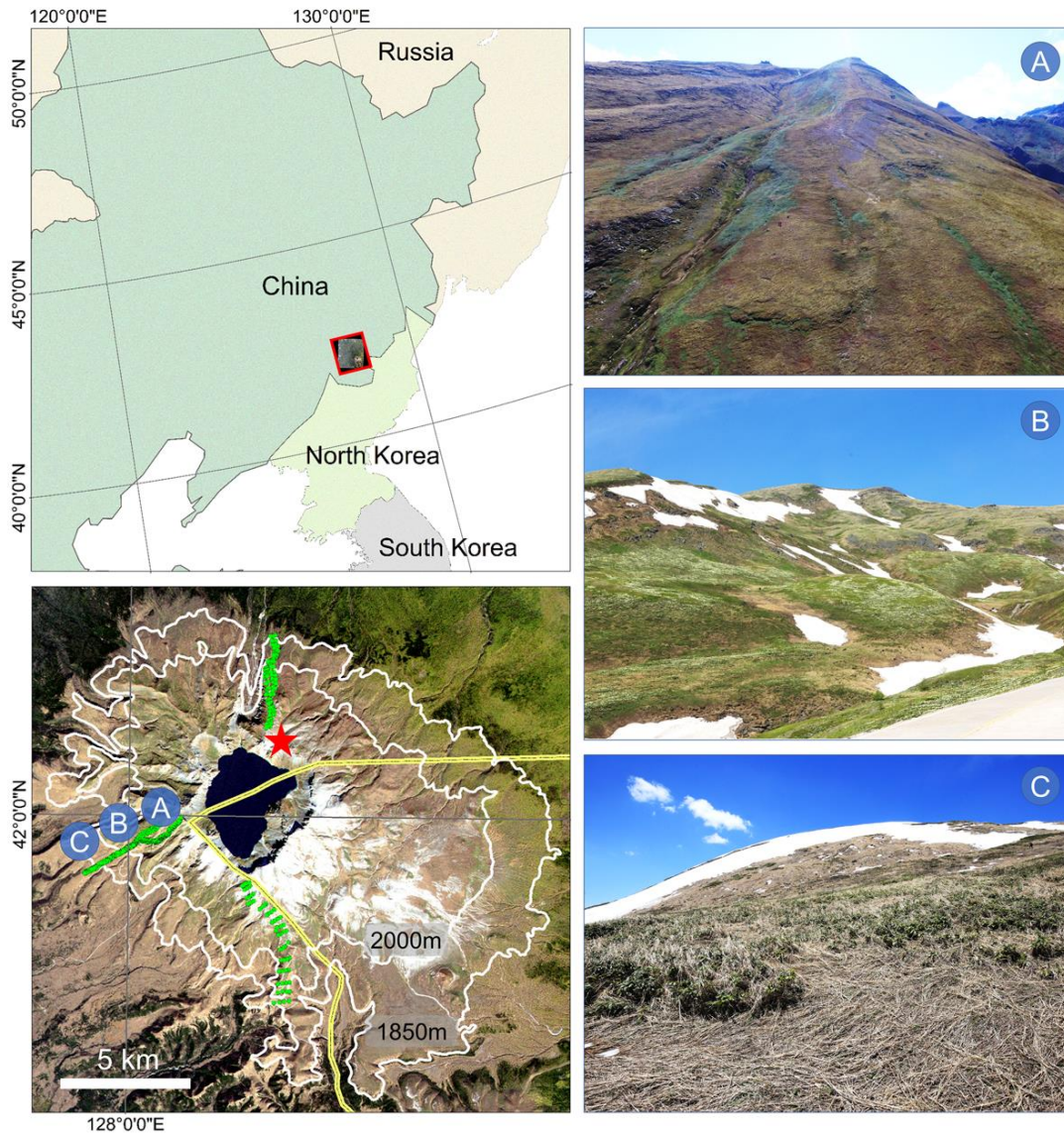
163 The Changbai Mountains are located in Northeast China (41°41'49" to
164 42°25'18"N and 127°42'55" to 128°16'48"E) at the border with North Korea (Figure
165 1). Tree line position in the region is generally below 1850 m, and the alpine tundra
166 extends from 1850 to the mountain summit at 2749 m. Annual mean temperature is
167 -6.67 °C, and annual average precipitation is 958 mm at the mountain summit (Zong
168 et al., 2016). The dominant rock substrate is of volcanic origin, such as breccia,
169 pumice and volcanic ash. Spring is from April to June and growing season of tundra
170 vegetation usually starts from May and ends in early September. The spring snowmelt
171 period lasts from ca. April to June in the alpine tundra. Snow cover can persist in
172 gullies and shady slopes for about one month longer than at adjacent exposed sites.

173 The alpine tundra located at the top of the Changbai volcano has been without

174 human disturbance (e.g., grazing) for several hundred years. The latest major volcanic
175 eruption in the Changbai Mountains occurred in 1702, destroying all alpine tundra
176 vegetation (Liu et al., 1998). The present alpine tundra vegetation has thus
177 recolonized the area over the last three hundred years. The vegetation succession is
178 still on-going as mountain birch forests were observed moving upward (Zong et al.,
179 2014). Dominant plant species include the evergreen shrub *Rhododendron aureum*, as
180 well as deciduous dwarf shrubs like *Vaccinium uliginosum* and *Dryas octopetala* var.
181 *asiatica* (Wada and Nakai, 2004), sedge communities dominated by *Carex*
182 *pseudo-longerostrata*. Especially at lower elevations, communities with herbaceous
183 plants such as grasses (e.g., *Deyeuxia* spp.) are abundant.

184 Known as a representative and dominant alpine tundra species across Northeast
185 Asia (Kudo, 1993), *R. aureum* is the only evergreen plant species that frequently
186 forms single-species clusters in this study area. *R. aureum* is not a typical alpine
187 species that tolerates frost. It requires snow cover protection from extreme low
188 temperatures to survive in the alpine ecosystem (Liu et al., 2009; Zhang et al., 2010).
189 Climate warming has significantly advanced spring snowmelt date and shortened
190 snow cover duration, which in turn has affected the survival and distribution of *R.*
191 *aureum*. This phenomenon has also been observed in other regions of Northeast Asia
192 (Kudo, 1991; Kudo, 1993; Kudo and Ito 1992). In addition, *R. aureum* benefits from
193 snow environments because snow prevents frost damage and provides sufficient water
194 for growth during the spring snowmelt season (Liu et al., 2009; Zhang et al., 2010).
195 This habitat preference of *R. aureum* allowed us to link spring snow cover change to

196 *R. aureum* distribution changes.



197

198 Figure 1. Upper Left: location of Changbai Mountains in Northeast China. Lower left:

199 The alpine tundra in the study region, extending from 1850 to the summit at 2749 m

200 (bottom). The red star represents the location of the climate station at the summit of

201 the Changbai Mountains. The yellow line represents the international boundary

202 between China and North Korea. Plots for ground truthing are indicated as green

203 points. Right: field photos: (A) early autumn, 1 September 2017; (B) spring, 13 June

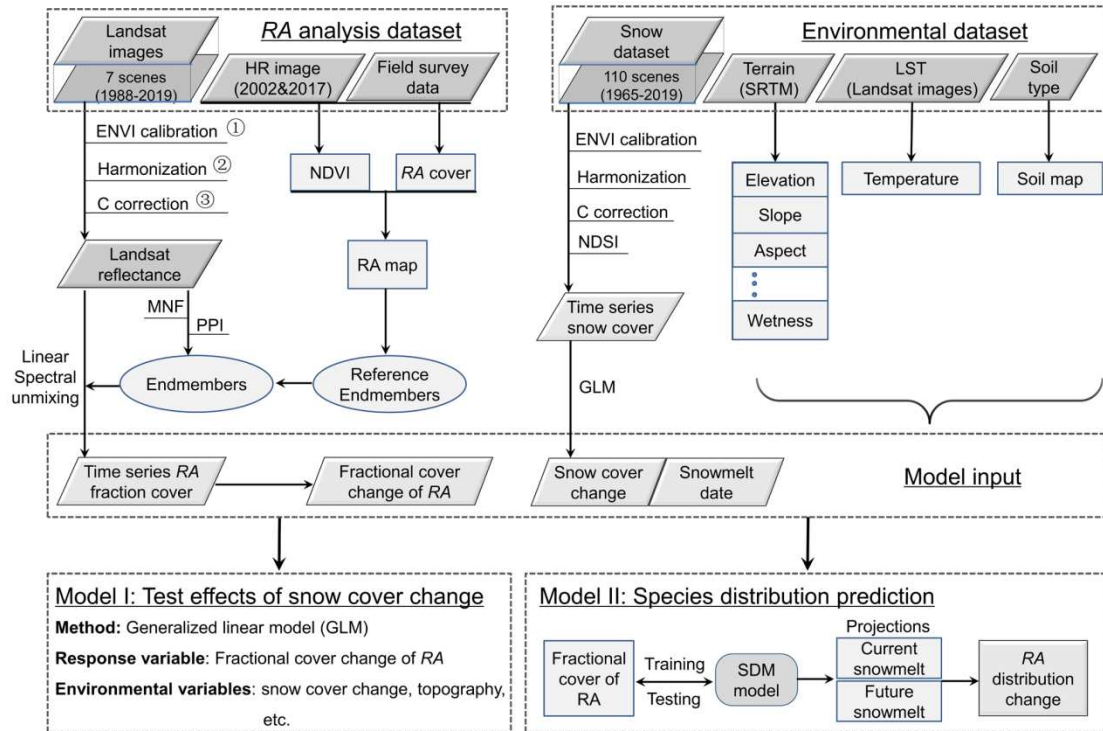
204 2017; and (C) early spring, 22 May 2015, showing the study site landscape at high

205 (above 2300 m), mid (2000-2300 m), and low elevations (1850-2000 m), respectively.
206 Plant communities with green color in the field photos indicate *R. aureum*
207 communities. Panel (B) shows *R. aureum* in white bloom. Plant communities with
208 yellow and gray color are deciduous shrubs and sedge communities in (A) and herb
209 communities in (B) and (C).

210

211 2.2 Workflow overview

212 To link *R. aureum* distribution changes to patterns in snow cover, we combined
213 long-term satellite data from a variety of sources with other spatial datasets into two
214 overarching models (Figure 2). We aimed to answer two questions: 1) is the spatial
215 distribution of *R. aureum* distribution related to snow melting date? 2) are temporal
216 changes in *R. aureum* distribution following changes in snow melting date? In what
217 follows, we first describe all datasets and necessary image pre-processing, and then
218 step by step elaborated the processes of 1) extracting spatial (a) and temporal (b)
219 patterns in snow cover change; 2) extracting spatiotemporal patterns in *R. aureum*
220 distribution; 3) establishing a model linking *R. aureum* distribution changes to snow
221 cover change; 4) establishing a model to predict how future snowmelt dates will affect
222 future distributions of *R. aureum*.



223

224 Figure 2. Schematic workflow that summarizes data preparation and processing steps,
 225 including the estimation of *Rhododendron aureum* fraction cover time series based on
 226 NDVI from Landsat images and high resolution images and field survey data (left),
 227 extraction of environmental data, most importantly the assessment of snow cover
 228 trends and snowmelt date based on various satellite datasets (right), and integration of
 229 both into two models for *R. aureum* (bottom). *RA* = *R. aureum*. HR refers to high
 230 resolution. PPI is the pixel purity index while MNF stands for minimum noise fraction
 231 transformation with ENVI. LST refers to land surface temperature derived from
 232 Landsat images. ① NDVI calibration includes orthorectification, atmospheric and
 233 radiometric calibrations; ② Harmonizations of multi-source images with ENVI; ③
 234 C correction method for terrain correction.

235

236 2.3 Data collection and pre-processing

237 *2.3.1 Climate data*

238 Climate station data from 1959 to 2017 were obtained from the Tianchi weather
239 station on the mountain summit from the Chinese meteorological data network
240 (<http://data.cma.gov.cn/>, 42°10N, 128°50E, 2623 m, Figure 1). Quality control was
241 performed to test data homogeneity using the RHtestV4 software
242 (<http://etccdi.pacificclimate.org/software.shtml>).

243

244 *2.3.2 Spring snow dataset*

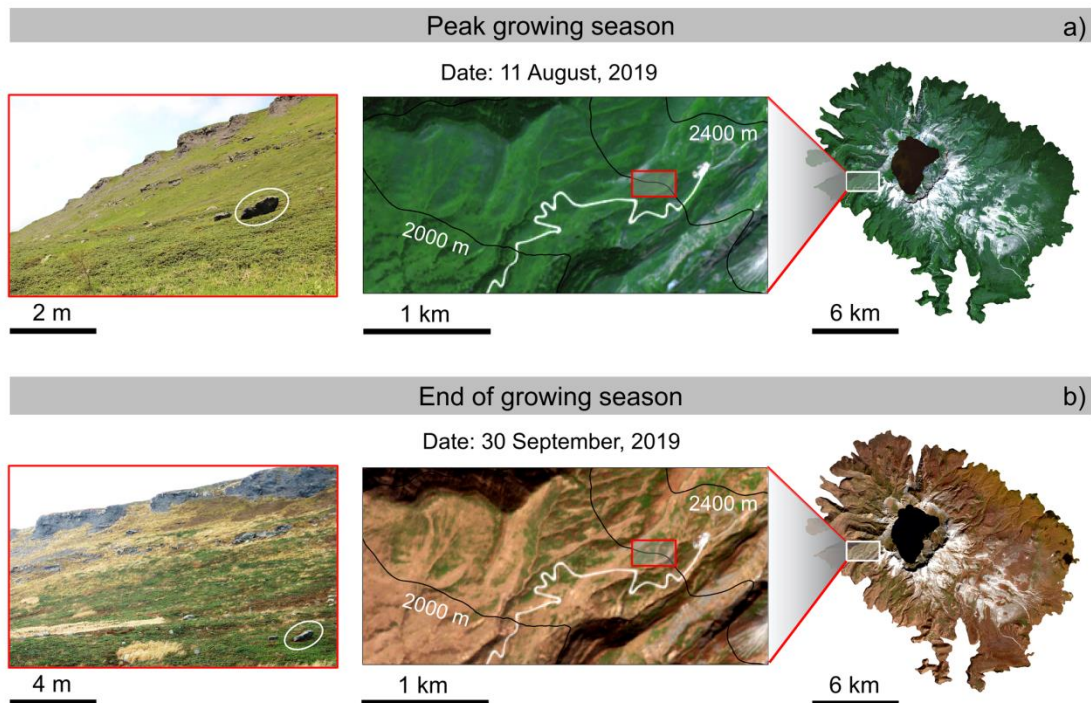
245 We collected multi-source satellite images with resolutions ranging from 0.8 m to
246 60 m that covered the entire snowmelt period (1 April to 15 June) from 1965 to 2019
247 (Supplementary Tab. 1). Six scenes of declassified KeyHole-4B data (1.83 m
248 resolution) were acquired from the United States Geological Survey (USGS,
249 <http://edc.usgs.gov/>), which uses Keyhole camera systems of the Corona satellite (a
250 United States Department of Defense intelligence program, operative from 1959 to
251 1972). Other satellite images were 110 scenes of Landsat series (30 m resolution), two
252 scenes of Pléiades-1 (0.8 m), across the archival satellite chronology (1978–2019)
253 from the USGS website (<http://glovis.usgs.gov>). We removed all images with more
254 than 50% cloud cover.

255

256 *2.3.3 Autumn vegetation dataset*

257 The end of the growing season in this alpine tundra is initiated late August, and
258 snow onset occurs in early October (Zhang et al., 2010). We used a time window in

259 autumn (September 20th to October 10th) for detecting the *R. aureum* distribution.
 260 Within this time window, *R. aureum*, as the only evergreen plant, is readily
 261 distinguishable from other plants, which are senesced (Fig. 3, a and b). Any green
 262 signal in that period thus corresponds largely to *R. aureum* presence, making it
 263 possible to use remote sensing to detect changes in its distribution over time. Finally,
 264 one scene of GF-2 satellite imagery (1 m resolution) obtained on 23 September 2017
 265 (China Centre for Resource Satellite Data and Application,
 266 <http://218.247.138.119:7777/DSSPlatform/index.html>), one scene of IKONOS
 267 satellite image (1 m resolution) obtained on 20 September 2002, and 7 scenes of
 268 Landsat TM/ ETM+ imagery (1988–2019) were collected and used in this study
 269 (Supplementary Tab.1).



270
 271 Figure 3. True color RGB image of Sentinel-2 (middle and right) and field photos (left)
 272 taken in the alpine tundra of the Changbai Mountains at peak (a) and end (b) of the

273 growing season. Green color at the end of the growing season represents the
274 evergreen shrub, *R. aureum*; the white circle marks a distinctive rock for reference.

275

276 *2.3.4 Image pre-processing*

277 (1) Orthorectification calibration was applied to all high-resolution images
278 (KeyHole-4B, Pléiades-1, and GF-2) through the Advanced World 3D (AW3D) data
279 with high ground resolution (4.05 m), which was obtained in 2017 via the Advanced
280 Land Observing Satellite (ALOS, <http://www.eorc.jaxa.jp/ALOS>). Specifically, we
281 followed the method of Goossens et al. (2006) and Mihai et al. (2016) to eliminate the
282 deformations and S-shaped distortion for the KeyHole-4B images.

283 (2) Radiometric calibration and atmospheric corrections were applied to all
284 images based on ENVI tool platform (version 5.3). We applied terrain corrections
285 following the method of Teillet et al., (1982) to eliminate illumination effects in
286 rugged alpine terrain by using SRTM DEM (30 m resolution, <http://glovis.usgs.gov>).
287 Digital numbers (DNs) of all Landsat images were converted into surface reflectance
288 using the ENVI FLAASH module.

289 (3) Since the high-resolution images (KeyHole-4B, Pléiades-1, IKONOS, and
290 GF-2) used in this study were cloud free, we used the Fmask method to extract cloud
291 masks for Landsat images (Zhu et al., 2015). The normalized difference snow index
292 (NDSI) itself could effectively discriminate snow and clouds (Hall 2012), which was
293 a supplement for cloud masking.

294 (4) To homogenize multi-source images, we re-projected all images to WGS84

295 projection. Landsat series including MSS, TM, ETM+, and OLI sensors, accounted
296 for 80% of our dataset. Spatial resolution and spectral reflectance of TM and ETM+
297 images, which were used for vegetation analysis in this study, remained unaltered.

298

299 2.4 Spring snow cover change

300 *Step 1: Snow cover extraction*

301 For the high-resolution images (KeyHole-4B and Pléiades-1), snow cover extent
302 was extracted by using a supervised classification method combined with visual
303 interpretation. For the Landsat data, snow cover extent was retrieved using NDSI
304 (Hall, 2012). Pixels with NDSI values higher than 0.4 were classified as snow if their
305 visible reflectances (Landsat band 3) were greater than 0.10 and their near-infrared
306 reflectances (Landsat band 4) were greater than 0.11 (Dozier, 1989). The water body
307 (i.e., Tianchi Lake at the top of the mountain) was masked from the final snow
308 occurrence map.

309

310 *Step 2: Snow retrieval validation*

311 We did not use ground-level snow cover data for the validation of the derived
312 snow cover because of its sparsity due to the remoteness and inaccessibility of the
313 high mountain areas under study. However, studies showed that snow cover can be
314 effectively validated using higher resolution images (Beck et al., 2005). To achieve
315 this validation, we compared one pair of Pléiades-1 (0.8 m resolution, acquired on 1
316 June 2014) and Landsat-8 data (10 m resolution, acquired on 30 May 2014); showing

317 a TSS statistic of 0.945. Overall, the snow identification ability of the coarser-grained
318 satellite images used in this study was thus deemed sufficiently high.

319

320 *Step 3: Pixel level snow cover change and snowmelt date*

321 The snow occurrence data acquired from images with various resolutions (i.e.,
322 1.83 m resolution from KeyHole-4B imagery and 30 m resolution from Landsat
323 imagery) were first resampled to a pixel size of 30 m by using the Cubic convolution
324 resampling method, which determines the new value of a cell based on fitting a
325 smooth curve through the 16 nearest input cell centers (Shen and Tan 2020). Pixel
326 level snow cover trend and snowmelt date was modelled using binomial generalized
327 linear model (GLM) following Macander et al. (2015) and Niittynen and Luoto
328 (2018). Every pixel had three strings of information that were passed as inputs for
329 pixel-based GLMs: binary scores of snow occurrence (dependent variable); the
330 corresponding year values (independent); and the corresponding DOY (day of year)
331 values (independent). For the snow cover trend results, a positive trend represented
332 increasing snow cover, while a negative trend represented decreasing snow cover. All
333 of the image processing and statistics were performed in R environment (R Core
334 Team) with the Raster package.

335

336 2.5 Distribution changes of *Rhododendron aureum*

337 2.5.1 Linear spectral unmixing

338 Spectral unmixing is a procedure which is implemented to decompose an image

339 pixel into several constituents or endmembers (Ichoku and Karnieli 1996). An
340 endmember is a pure surface material or land cover type that is assumed to have a
341 unique spectral signature (Asis and Omasa 2007). As a widely used spectral unmixing
342 method, the linear spectral unmixing model (LSUM) has proven to be effective in
343 estimating endmember fractions due to its simplicity, interpretability, and high
344 consistency for various land surface conditions (Small, 2003; Xiao and Moody, 2005;
345 Yu et al., 2017). Two constraints were maintained in the LSUM: the fractions across
346 all endmembers sum to one in a pixel, and each endmember fraction is in the range 0
347 to 1.

348 The LSUM assumed that (1) the spectral signature of a given pixel is the linear,
349 proportion-weighted combination of the endmember spectra (Smith et al., 1990); and
350 (2) each photon interacts only once with each endmember, without any non-linear
351 processes (i.e. multiple scattering effect) involved (Cortés et al., 2014). The multiple
352 scattering effect often occurs in areas with rugged ground surface, e.g., forested area
353 or urban build-up, which may affect the accuracy of spectral unmixing (Dixit and
354 Agarwal, 2021). The alpine tundra in this study area is distributed above the treeline,
355 with vegetation composed of dwarf plant communities. We could thus ignore the
356 bilinear mixing effects (i.e., interactions among components with different heights) in
357 the unmixing process. To assess whether terrain complexity may affect our results, we
358 analyzed terrain roughness at four resolutions (i.e., 5 m, 10 m, 15 m, and 30 m). We
359 first calculated a roughness index (Riley et al., 1999) based on the AW3D DEM data
360 with 5 m resolution data, and subsequently resampled the data to 10 m, 15 m, and 30

361 m resolutions in ArcGIS. We then applied a 30 m × 30 m window and calculated the
362 variance of roughness of the pixels within that window. Theoretically, if terrain is
363 rugged, the variance of roughness within a specific window should decrease as
364 resolution increased. We however found that the variance of roughness did not
365 decrease with increasing resolution from 5 m to 15 m (Supplementary Figure 1),
366 indicating that the terrain is relatively uniform at the 30 m resolution and multiple
367 scattering effects are limited in this study area.

368

369 *Step 1: Extraction of candidate endmembers*

370 The water body (the volcanic lake), cement road, and volcanic ash were excluded
371 by determining pixels with $NDVI < 0$. Next, the most critical step in LSUM
372 application is to find suitable endmembers to develop high quality fraction images. In
373 this study, we combined the minimum noise fraction (MNF) transform algorithm and
374 the pixel purity index (PPI) method to find the most spectrally pure pixels on the
375 Landsat imagery. We used the MNF algorithm to determine the intrinsic data
376 dimensionality and to separate signal from noise. The resulting data is represented in
377 the MNF subspace and enclosed with a best-fitting simplex, the vertices of which are
378 assumed to correspond with the component endmember (Ichoku and Karnieli 1996).
379 Then we used the pixel purity index (PPI), the most commonly used method to find
380 extremely pure pixels in multispectral images, to select candidate endmembers that
381 are linearly independent (Boardman et al., 1995). The purest pixel in a given image is
382 computed by repeatedly projecting n-D scatter plots on a random unit vector (Garg

383 2020). Both the MNF and PPI steps were conducted using ENVI software (version
384 5.3). In the end, candidate endmembers of *R. aureum* (representing greenness signal),
385 deciduous shrubs (representing redness signal), and grasses (representing yellowness
386 signal) were selected. The candidate endmembers of *R. aureum* were later evaluated
387 by comparing to the reference endmembers in the next Step 2&3. The fraction cover
388 of deciduous shrubs and grasses were no longer used.

389

390 *Step 2: Extraction of reference endmembers of Rhododendron aureum*

391 The high-resolution GF-2 image (25 September 2017) and IKONOS image (20
392 September 2002) were used to extract reference endmembers of *Rhododendron*
393 *aureum*. In order to establish links between plot-level *R. aureum* cover and *R. aureum*
394 occurrence on the high resolution imagery with a 1 m pixel size, we conducted field
395 surveys at peak growing season and in autumn between 2014 and 2017, each year
396 covering a different side of the mountain for logistical reasons. Three transects along
397 the northern, western and southern sides of the mountain were set along the entire
398 elevation range from 1850 to 2600 m. Each transect had a width of 200 m and
399 included plots positioned at elevation intervals of 50 m. Four plots of 1 m² were
400 established at each of the elevations, for a total of 192 plots across the three transects.
401 The GPS location of each plot was measured using a handheld GPS (GARMIN GPS
402 60CX) with a horizontal error of 3 m. The abundance, height, and cover of each plant
403 species inside the plots were recorded. Plant cover was calculated as the ratio of the
404 area a species occupied divided by the sample plot size (1×1 m²) and was measured

405 using a frame ($1 \times 1 \text{ m}^2$ equally divided into 100 subplots) for each species in the field.

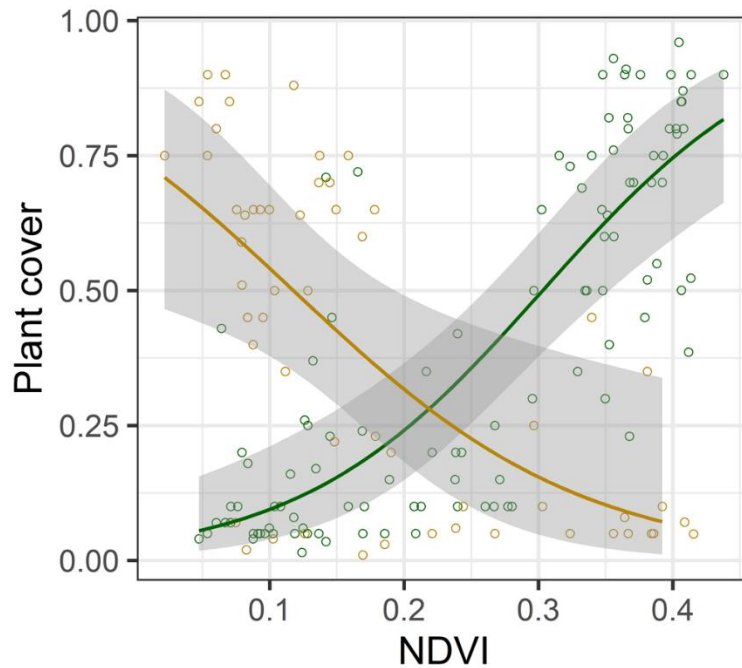
406 We then calculated the NDVI of the high resolution GF-2 image (25 September
407 2017) and IKONOS image (20 September 2002) with the following equation:

$$408 \quad \text{NDVI} = (\text{NIR} - \text{Red}) / (\text{NIR} + \text{Red}) \quad (1)$$

409 where NIR and Red are the spectral reflectance in the near-infrared band and red
410 band, respectively.

411 From the derived NDVI map, we extracted the NDVI values of locations of 165
412 field survey plot with *R. aureum* occurrences (plot size= 1 m^2) and compared the
413 NDVI values with the cover of *R. aureum* and herbs within these plots. Although the
414 horizontal error (about 3 m) of the handheld GPS (GARMIN GPS 60CX) used during
415 field work might have caused a mismatch between the 1 m resolution GF-2 image
416 pixel and the 1 m^2 field survey plot, we still found a significant non-linear relationship
417 between the NDVI greenness value and the plot-level *R. aureum* cover (Fig. 4), aided
418 by the fact that most *R. aureum* patches were larger than 10 m in diameter. Autumn
419 NDVI values and percent cover of *R. aureum* were indeed highly correlated (Figure 4).
420 Ideally, when plant cover reaches 100%, NDVI equals 1. However, *R. aureum* is not a
421 high leaf area plant, with extensive lateral branches occupying the space. Also, the
422 GF-2 image was taken in late September, when *R. aureum* already passed its peak
423 growth of the year. These factors may have resulted in a mismatch between NDVI and
424 *R. aureum* cover. Our predictive model suggests when *R. aureum* cover was greater
425 than 90%, the NDVI value could reach 0.4, yet few higher NDVI values were
426 observed (Figure 4). We can be 95% certain that NDVI-values of 0.4 or higher

427 correspond with pixels with *R. aureum* cover of 90% or higher. Therefore, we set the
428 NDVI threshold at 0.4, corresponding to a plot-level *R. aureum* cover of > 90%, and
429 then extracted pixels which were treated as reference *R. aureum* endmembers (pixels
430 purely occupied by this species with distinct spectral information).



431
432 Figure 4. Correspondence between plant cover of *R. aureum* (green color) and herbs
433 (brown color, as shown in Figure 1c) in 1 m² field survey plots and the NDVI value of
434 a GF-2 high-resolution satellite image (23 September 2017, pixel size=1 m). Number
435 of plots=165. The lines represent the results from generalized linear regressions (the
436 *glm* function with ‘logit’ link from the ‘MASS’ package in R 2020). The grey zone
437 indicates the 0.95 confidence interval.

438

439 *Step 3: Extract Rhododendron aureum distributions*

440 To further verify that the candidate *R. aureum* endmembers with 30 m resolution
441 from Landsat imagery were sufficiently accurate for LUSM, the reference *R. aureum*

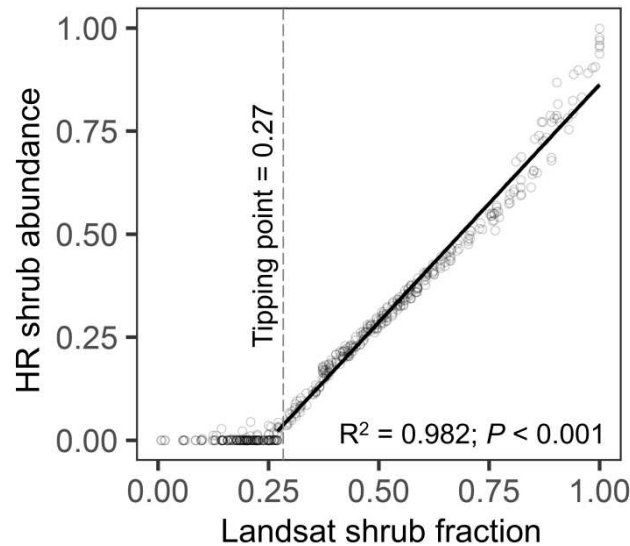
442 endmembers from the 1 m resolution imagery (as identified with the field survey data)
443 were compared to the candidate *R. aureum* endmembers (Asis and Omasa 2007). We
444 abandoned candidate endmembers that mismatched reference endmembers (i.e., the
445 candidate endmembers did not purely contain reference endmembers). Finally, three
446 distinct endmembers were identified: the evergreen *R. aureum*, the deciduous shrubs,
447 and the grasses (Supplementary Fig. 2). LUSM was then employed to extract *R.*
448 *aureum* fractions from Landsat images about every 5 years (1988, 1992, 2001, 2004,
449 2009, 2013, and 2019). The whole process of linear spectral unmixing was conducted
450 using the software ENVI (Version 5.3).

451

452 *Step 4: Validation of Rhododendron aureum distributions*

453 The *R. aureum* fraction images were assessed using the overall root-mean-square
454 error (RMSE) of classification (Willmott, 1982). The lower the RMSE obtained, the
455 higher unmixing accuracy was. For all Landsat images, RMSE were always lower
456 than 3.9, indicating high unmixing accuracy (Supplementary Table 2). We conducted
457 validation by using the aforementioned high-resolution images and the Landsat *R.*
458 *aureum* fraction images (Suess et al., 2018). Specifically, the GF-2 image of 2017 and
459 the IKONOS image of 2002 were used to validate the Landsat shrub fraction maps of
460 2019 and 2001, respectively. High resolution shrub abundance was calculated as the
461 proportion of *R. aureum* footprints (i.e., 1-m resolution pixels identified as containing
462 *R. aureum*) in each Landsat pixel (i.e., 30 × 30 m area). We statistically compared the
463 estimated Landsat-based shrub cover fractions with the reference high resolution

464 shrub abundance. As a measure of accuracy, we calculated the coefficient of
465 determination ($R^2 = 0.982$) of the fitted linear regression model (Figure 5).



466

467 Figure 5. Scatterplot comparing Landsat shrub fraction to high resolution (HR) shrub
468 abundance (the proportion of *R. aureum* footprints in each Landsat pixel). The black
469 line represents the linear regression line fitting data that starts from the tipping point
470 (0.27) onward.

471

472 2.5.2 Comparison with historical and current distributions of *Rhododendron aureum*

473 We selected *R. aureum* distributions in 1988 and 2019 as the historical and
474 current distributions, respectively. By comparing high resolution image classification
475 results, we found that biased estimations occurred for pixels with a low fraction of *R.*
476 *aureum* cover, especially < 0.27 (Figure 5). Thus, we excluded non- *R. aureum* pixels
477 from the *R. aureum* fraction images, for both 1988 and 2019. The fractional cover
478 change of *R. aureum* was exhibited by using the differences of fraction cover of *R.*
479 *aureum* between 2019 and 1988 (i.e., fraction cover of 2019 minus that of 1988). To

480 verify this fraction cover change, *R. aureum* fraction dynamics computed from
481 Landsat images taken at intervals of approximately 5 years were linked to and
482 compared with changes we previously computed at the end years, 1988 and 2019.

483

484 2.6 Species distribution models (SDM)

485 To assess whether changes in *R. aureum* distribution follow changes in snow
486 melting date in the context of climate warming, we modelled *R. aureum* distribution
487 under current and future snowmelt scenarios. The current snowmelt scenario used the
488 average snowmelt date derived from the GLM result from Section 2.3 *Spring snow*
489 *cover change*. As a simple theoretical advanced snowmelt scenario, we advanced
490 snowmelt date by 5 days, as it has been observed that snow cover duration has
491 declined on average by 5 days per decade in mountain ecosystems (Pörtner et al.,
492 2019).

493 The fractional cover of *R. aureum* for the year 1988 was used as the response
494 variable as this species was more likely in equilibrium with snow conditions in 1988
495 before rapid climate warming. Environmental predictive variables included one snow
496 variable (i.e., the snowmelt date, supplementary Figure 5, a); one temperature variable
497 (Land surface temperature data with 30 m resolution derived as the mean of four
498 Landsat images covering the growing season from June to September in 2019
499 (downloaded from <http://databank.casearth.cn>). Landsat based LST data has been
500 successfully applied in SDM studies even at fine spatial scale (He et al., 2015;
501 Hernández-Lambrano et al., 2020), as such data can be used to obtain synoptic,

502 spatially continuous ecological values without interpolation or geographical biases at
503 varying spatial and temporal resolutions (He et al., 2015). We applied Landsat based
504 LST data because (1) weather stations are scarce in this region, (2) the widely used
505 interpolated climate grids (e.g. WorldClim) are unlikely to capture fine spatial scale
506 characteristics of the climate in mountain ecosystem (Fernandez et al., 2013), (3) the
507 resolution of Landsat LST could well match other variables used in this study (all at
508 30 m resolution). Besides, we could also use LST data to focus on spatial variation of
509 temperature during growing season. We also included one edaphic variable (30 m
510 resolution, compiled using ArcGIS spatial analysis) derived from an earlier study
511 (Zong et al., 2014) which identified the distribution of the two dominant types of soil
512 (tundra soil and volcanic ash) in the region, and 11 topographic variables from the
513 SRTM DEM data (30 m resolution), representing various ecological conditions that
514 are known to have important effects on vegetation in our study area (Zong et al.,
515 2014), using ArcGIS spatial analysis (Supplementary Table 3).

516 To eliminate multi-collinearity caused by the correlation among explanatory
517 variables, only the variables with weak correlations (Pearson correlation coefficient <
518 0.3) were imported into the model (Zuur et al., 2010). Plane curvature, profile
519 curvature, surface relief and roughness were thus excluded. Since spatial
520 autocorrelation may inflate statistical significance due to the similarity between
521 neighboring pixels, we applied the incremental spatial autocorrelation method (Lu et
522 al., 2019) from the ArcGIS toolbox to determine the appropriate sampling distance
523 (120 m) in this study.

524 We parameterized SDMs within the BIOMOD2 framework in the R modeling
525 environment (Thuiller et al. 2009). To decrease the algorithm-based error, we applied
526 four modelling methods (generalized linear model, GLM; multiple adaptive
527 regression splines, MARS; flexible discriminant analysis, FDA; generalized boosted
528 method, GBM). The GLMs were fitted including quadratic terms with a setting of
529 `interaction.level = 1`. The GBMs were fitted using out-of-bag estimates of model
530 improvement and the `n.trees` set as 1000. We used default settings for the MARS and
531 FDA models. For each method, parameterization was replicated three times using
532 random split-sampling (70% training and 30% evaluation). For each replicate, model
533 accuracy was evaluated by means of the Area Under Curve (AUC) and the True Skill
534 Statistic score (TSS). The models provide the probability of *R. aureum* for each cell
535 that was then binarized by thresholding the probability according to a level that
536 maximizes the TSS value of the predictions. In order to decrease the importance of a
537 single modelling method, we applied the ensemble prediction method that judges that
538 the species is present in a certain pixel if at least three of the four methods predicted
539 an occurrence (Niittynen and Luoto, 2018).

540 2.7 Effects of snow cover change on the fractional cover change of *R. aureum*

541 We used a GLM to test the effects of snow cover change on the fractional cover
542 change of *R. aureum*. As a response variable, we used the fractional cover change of *R.*
543 *aureum* derived from section 2.5.2. Environmental predictive variables included one
544 snow variable (i.e., snow cover trend derived from section 2.4, step 3), the
545 aforementioned Land surface temperature and 11 topographic variables. We also

546 conducted assessments of multi-collinearity and spatial autocorrelation. The statistics
547 were performed in R (R Core Team) and ArcGIS environment.

548

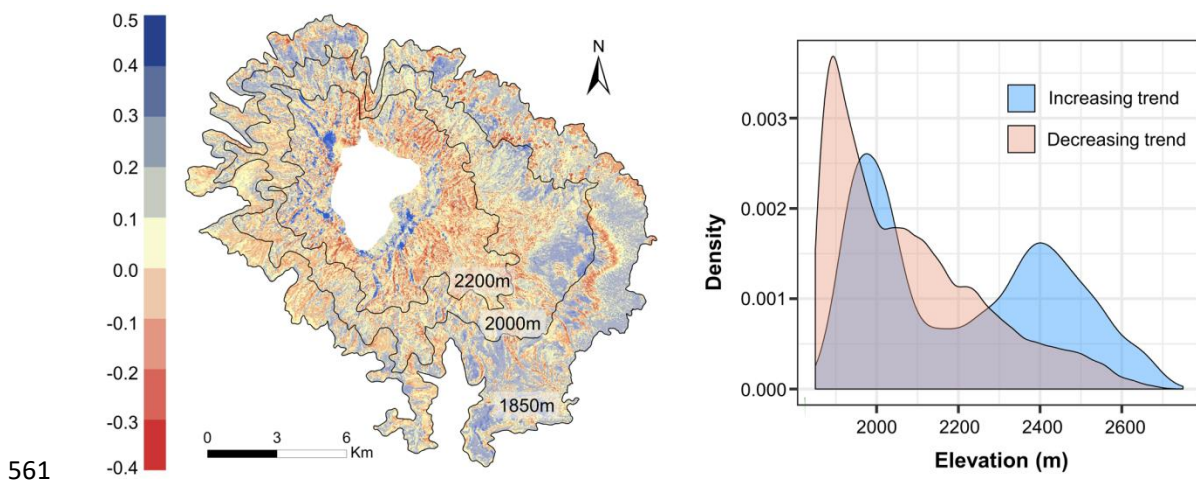
549

550 3. Results

551

552 3.1 Spring snow cover change

553 The alpine tundra of the Changbai Mountains has warmed significantly at an
554 average rate of 0.028 °C/year from 1959 to 2017 ($P < 0.001$, Supplementary Fig. 3 and
555 4). Accordingly, snow cover decreased in most of the alpine tundra (Fig. 6) at
556 elevations below 1950 m and in the elevation range of 2050 to 2250 m. An increasing
557 trend of snow cover occurred not only at high elevations (above 2300 m) but also
558 around an elevation of 2000 m. The average snowmelt date of our study area was 25
559 April (DOY = 115), ranging from mid-March (DOY = 78) to early June (DOY = 152).
560 The snowmelt season thus lasts more than three months (Supplementary Fig. 5).



562 Figure 6. Pixel-level trends in snow cover (i.e., the coefficient of the ‘year’-term in
563 the generalized linear model) during the snowmelt period (1 April to 15 June) along
564 elevation gradients in the alpine tundra of the Changbai Mountains from 1965 to
565 2019.

566

567 3.2 Distribution changes of *Rhododendron aureum*

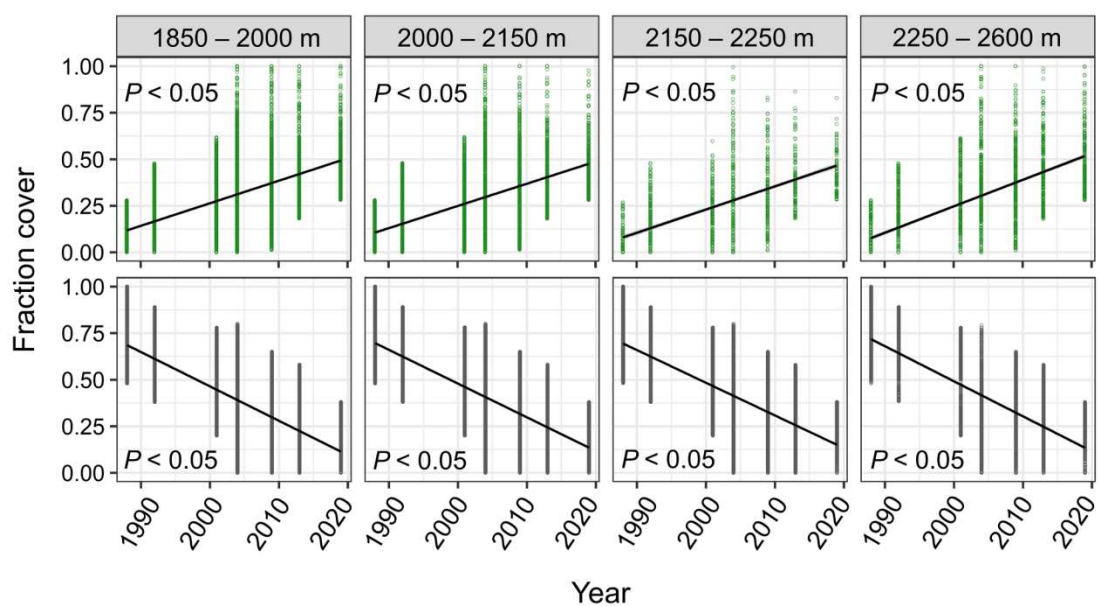
568 During the past 30 years, the fraction cover of *R. aureum* experienced greater loss
 569 than gain (Tab. 1). The fractional changes of *R. aureum* cover were confirmed by the
 570 dynamic of *R. aureum* fraction cover at about five years' interval (Fig. 7). In general,
 571 fraction cover loss of *R. aureum* (8.66 km² area with cover loss of 50% or more and
 572 10.29 km² of area with loss of -50% to -10%) was about twice as much as gain (5.57
 573 km² of area with cover gain of +50% or more and 2.54 km² of area with gain of +10%
 574 to +50%) (Tab. 1).

575

576 Table 1. Change in fraction cover of *Rhododendron aureum* between 1988 and 2019

Change in fraction cover of <i>Rhododendron aureum</i>					
Fractional change	< -50%	-50% – -10%	-10% – +10%	+10% – +50%	> +50%
Area (km ²)	8.66	10.29	3.45	2.54	5.57

577



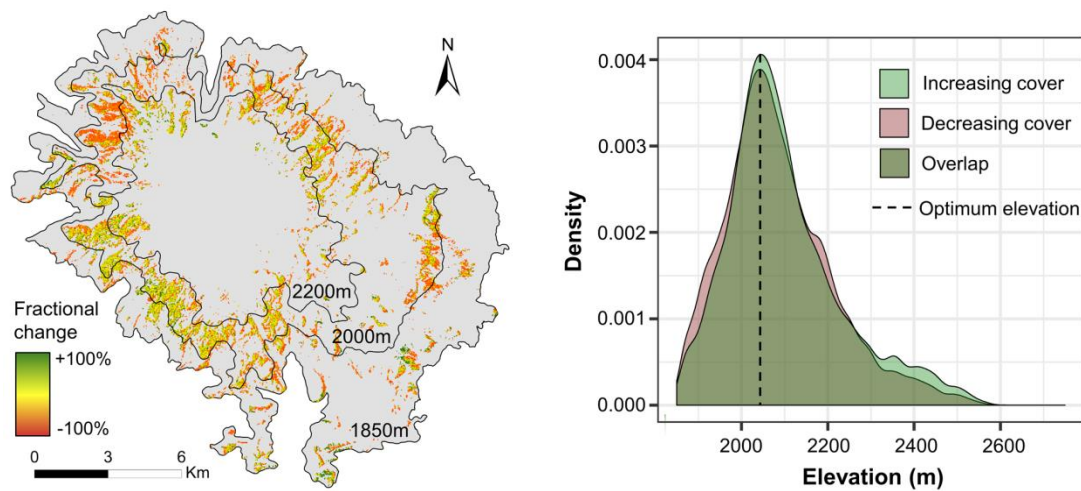
578

579 Figure 7. *R. aureum* fraction cover dynamics for increasing fraction cover (top four

580 panels) and decreasing cover (bottom four panels) pixels for the years 1988, 1992,
581 2001, 2004, 2009, 2013, and 2019.

582

583 Along the elevation gradient, *R. aureum* expanded at the leading edge (above 2300 m,
584 Fig. 8) and retracted at the trailing edge (below 2000 m, Fig. 8). Interestingly,
585 increasing and decreasing cover of *R. aureum* corresponded well with the increasing
586 and decreasing trend of snow cover (Fig. 6) along the elevation gradient. This was
587 confirmed by the GLM results (Tab. 2) indicating that the snow cover trend was the
588 explanatory variable with the most influence on the change of fraction cover for *R.*
589 *aureum*.



590

591 Figure 8. Change in fraction cover of *Rhododendron aureum* along elevation gradient
592 between 1988 and 2019. Linear unmixing model was applied to extract fractional
593 cover of *R. aureum* in 1988 and 2019.

594 Table 2. Results of the generalized linear model for predicting pixel-level change in
595 fraction cover of *Rhododendron aureum* as a function of the snow cover trend, 8
596 topographic variables, and one temperature variable. Only variables that contributed

597 significantly ($P < 0.001$) to the model fit were included in the final model. LST = Land
 598 surface temperature.

The change in fraction cover of <i>R. aureum</i>			
Variable	Coefficients	t value	<i>P</i>
Snow cover trend	0.747	6.097	***
LST	-0.017	-5.534	***
Slope	-0.001	-3.292	***
Aspect	0.001	16.232	***
Elevation	-0.0002	-10.261	***
Intercept	1.842	16.893	***

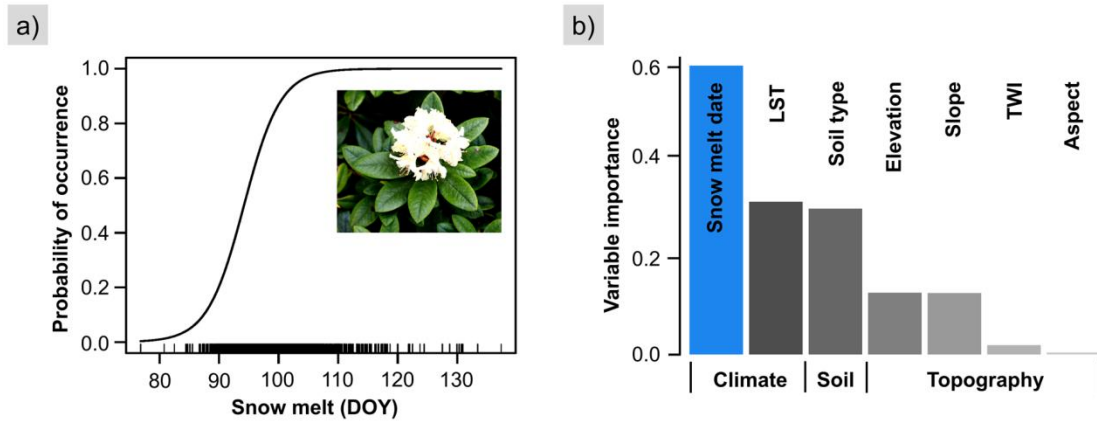
599

600

601 3.3 Distribution changes of *Rhododendron aureum* under future snowmelt scenarios

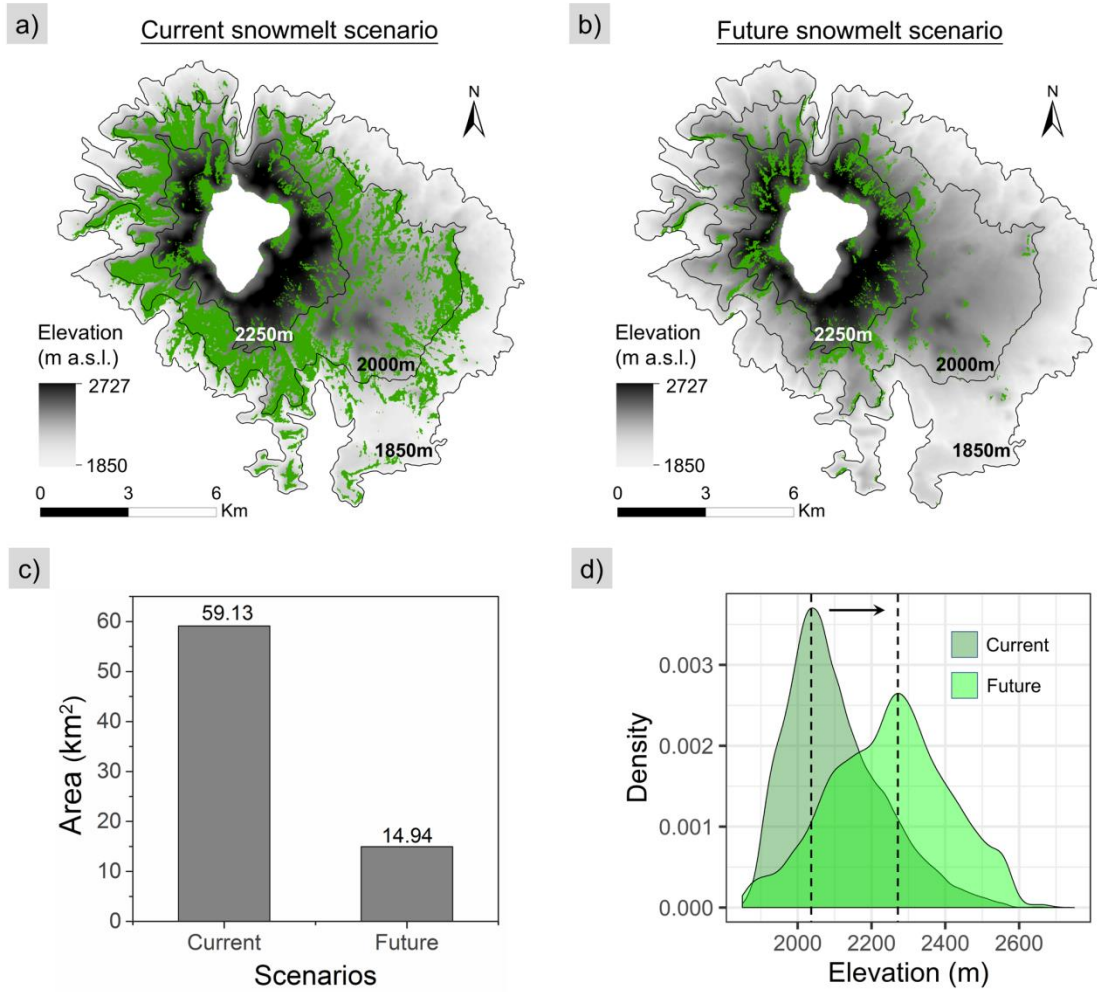
602 *R. aureum* preferred relatively late snowmelt regimes, with high occurrences
 603 predicted from DOY = 110 (i.e., 20 April) onwards (Fig. 9, a). Among various
 604 environmental variables (Fig. 9, b), snowmelt date was the most important predictor
 605 for *R. aureum* distribution, indicating a key role for snow in determining its
 606 distribution change. The species distribution models showed a good average model fit
 607 (area under curve (AUC) = 0.858; true skill statistics (TSS) = 0.578) for predicting *R.*
 608 *aureum* distribution (Supplementary Fig. 6). Our models suggested that, under a
 609 simplified future snowmelt scenario (i.e., five days advanced snowmelt across the
 610 whole study area), habitat loss of *R. aureum* could reach 75% (from 59.13 to 14.94
 611 km², Fig. 10, c), especially at low elevations (Fig. 10, b). The optimum elevation of *R.*
 612 *aureum* moved to higher elevations under the future snowmelt scenario, indicating

613 further upward range shifts of this shrub species in a climate with advanced snowmelt,
614 when keeping all other factors constant (Fig. 10, d).



615
616 Figure 9. The snowmelt regime preference of the evergreen shrub, *Rhododendron*
617 *aureum*. The response curve as a function of snowmelt day (DOY = day of year) is
618 based on a generalized linear model. The vertical lines on the x-axis in a) indicate
619 distribution density of *R. aureum*. The variable importance scores are mean values
620 from all four species distribution modelling methods. LST indicates land surface
621 temperature derived from Landsat imagery. TWI indicates topographical wetness
622 index.

623



624

625 Figure 10. The projected distributions of *Rhododendron aureum* (represented in green)

626 under current (a) and future snowmelt scenarios (b) based on a species distribution

627 model. The number of pixels (c) and distribution frequencies along the elevation

628 gradient (d) of *R. aureum* as predicted under scenarios for the current snowmelt

629 regime and a future regime. The dashed lines indicate the elevation optimum in each

630 scenario.

631

632

633

634

635 4. Discussion

636 4.1 Half a century of spring snow cover change

637 Warming in the alpine tundra of the Changbai Mountains (0.0278 °C/year during
638 the period 1959 to 2017) has been comparable to trends observed for other mountains
639 in the northern hemisphere over similar periods, e.g., in North America (0.035 °C/year
640 on Mt. Washington, NH, USA), the European Alps (0.03 °C/year on Mt. Sonnblick,
641 Austria) and Asia (0.035 °C/year on Mt. Fuji, Japan) (Pörtner et al., 2019). In line
642 with the warming trend, snow cover during snowmelt period (1 April to 15 June)
643 showed a decreasing trend for most of the study area during the last 50 years, which
644 supported the recent assessment report about the cryosphere indicating that mountain
645 snow cover has declined remarkably and globally (Pörtner et al., 2019). In general,
646 fine-scale remote sensing records are considered insufficiently long to assess alpine
647 snow cover trends (Bormann et al., 2018). However, by taking advantage of the
648 declassified high-resolution KeyHole data starting in the 1960s, we demonstrate a
649 practical way to build a snow dataset for alpine areas spanning over half a century.
650 Snow classification accuracies of the images employed in this study were > 0.94,
651 much higher than those from combined mid- and coarse-resolution datasets (Dietz et
652 al., 2012). The resolution discrepancy between high- and mid-resolution data was <
653 30 m, much lower than those (> 200 m) between mid- and coarse-resolution data.
654 Thus, this dataset could match fine-scale snow cover change in heterogeneous alpine
655 landscapes much more closely than previous alpine snow datasets with a
656 hundred-meter level resolution (Molotch and Margulis, 2008; Wan et al., 2014; Wang

657 et al., 2017).

658 To the best of our knowledge, the snow dataset we built was the first one based
659 on remote sensing to span 50 years for alpine ecosystems. Other alpine snow products
660 exist, such as simulated potential snow accumulation patterns calculated from
661 topographic data (Gottfried et al., 1998; Randin et al., 2009), first snow-free day
662 extracted from multiple satellite datasets (Dedieu et al., 2016), predicted snow cover
663 duration of 5 years derived from the Landsat dataset (Carlson et al., 2015), or snow
664 cover duration (SCD) derived from the SPOT satellite for a period of less than 20
665 years (Dirnböck et al., 2003). All of these snow products emphasized the importance
666 of snow in explaining vegetation distributions in cold biomes. The spring snowmelt
667 period is probably ecologically more relevant than the snow onset in autumn, as
668 earlier snowmelt has been found to contribute more to a reduction in SCD than late
669 snow onset (Klein et al., 2016) and is potentially correlated with the number of
670 freezing days experienced by plants in spring, as well as with growing season length
671 (Winkler et al., 2018). The main limitation of our snow dataset may be that the snow
672 variable used here does not incorporate snow depth, although snow depth has been
673 shown to correlate with SCA in alpine terrain. From this perspective, proper
674 utilization of synthetic aperture radar data was recommended as auxiliary data.
675 However, there is always a trade-off between long timespan and high temporal
676 resolution when analyzing snow dynamics. Nevertheless, further investigation of the
677 links between snow cover area, snow depth and snowmelt data could prove useful for
678 understanding (changes in) plant species distributions (Falk et al., 2016).

679

680 4.2 Links between snow cover and distribution changes of *Rhododendron aureum*

681 We found that snowmelt date was the most important predictor for *R. aureum*
682 distribution, indicating the important role of snow in determining persistence of this
683 shrub species in a warming climate. Using an SDM approach, we obtained the snow
684 response curve of *R. aureum* and identified its preferred snowmelt regime as those
685 habitats with snowmelt date starting from 20 April. This analysis supports previous
686 findings that *R. aureum* is not a typical frost-tolerant alpine species, yet that it requires
687 snow cover protection from extreme low temperatures to survive in this alpine tundra
688 (Liu et al., 2009; Zhang et al., 2010). *R. aureum* preferred relatively late snow cover
689 conditions, indicating that their distribution is prone to be affected as climate – and
690 thus snowmelt dates – changes. Indeed, we have observed changes in *R. aureum*
691 distribution following snow cover changes over the last decades. Our models
692 suggested that *R. aureum* would further shift the distribution range to higher
693 elevations under an advanced snowmelt scenario, results in line with field
694 observations and model predictions that alpine plant species shift their ranges to
695 higher elevations, with losses at their trailing edge in response to the warming climate
696 (Gottfried et al., 2012; Lenoir et al., 2008; Rixen and Wipf, 2017; Sandvik and
697 Odland, 2014). Furthermore, the distribution area of *R. aureum* would reduce by 75%,
698 a number significantly higher than the projections for the end of the twenty-first
699 century (33–55%) from model predictions for alpine plant species in the European
700 Alps (Dullinger et al., 2012). Note, however, that this is a theoretical prediction under

701 a largely simplified scenario in which all other global change factors (e.g., other
702 influences of temperature and precipitation change) are kept constant.

703 Range shifts of alpine plant species under environmental change are driven by
704 two major processes: the extinction of existing populations at sites that have become
705 unsuitable and the colonization of sites that become newly suitable (Rumpf et al.,
706 2019). The reduced snow cover area in the Changbai Mountains probably caused the
707 loss of *R. aureum* at the trailing edge. At the leading edge, *R. aureum* colonized areas
708 with deep snow cover, indicating that the currently snow-rich habitats might be
709 suitable sites for *R. aureum* in a warmer climate. Early snowmelt can expose shrubs to
710 lower spring temperatures and increase the risk of frost damage (Wipf et al., 2006).
711 Indeed, the European *Rhododendron ferrugineum* has been shown to rely on snow
712 cover for protection from frost (Francon et al., 2020). Snow habitats hence provide
713 microrefugia, buffering against frost damage and protecting *Rhododendron* at higher
714 elevations. One limitation of our SDMs is that we don't have fine resolution
715 temperature data to match the snowmelt change, which is due to the lack of evenly
716 distributed and long term *in situ* temperature observations in this alpine tundra, as it
717 has been found that high resolution spatial temperature information is helpful to
718 predict biodiversity change in cold biome (Niittynen et al., 2018). We thus
719 recommend further investigation of long-term and fine scale temperature observations
720 for understanding (changes in) plant distributions in alpine ecosystems (Lembrechts et
721 al., 2019).

722 Other possible mechanisms underlying *R. aureum* distribution change could be

723 related to summer warming or drought as observed in many arctic and alpine
724 ecosystems (Berner et al., 2020). However, summer precipitation is fairly high in this
725 alpine tundra (about 960 mm for four months), and hence might not be the limiting
726 factor for *R. aureum* growth. Nevertheless, tree-ring analysis might be a possibility to
727 disentangle the effects of summer warming and snow cover change. Other causes
728 such as disturbances are minimal since the area has practically no access for humans,
729 and there have been no other reported natural disturbances for the past decades.

730

731 4.3 Distribution changes of *R. aureum* over last decades

732 The main novelty of our study is that we used the species-specific phenology of
733 the evergreen shrub *R. aureum* to identify its cover. We extracted its historical and
734 current fraction cover distributions from Landsat images by taking advantage of *R.*
735 *aureum*'s greenness in autumn compared with the brownness of surrounding plants in
736 this study system. The combination of plot-scale field data and the GF-2 image with a
737 pixel size of 1 m provided sufficient and effective endmembers for the linear
738 unmixing analysis. In a similar study, Tape et al. (2006) applied repeated
739 high-resolution aerial photographs to document shrub expansion in the Alaskan Arctic.
740 In the same region, shrubs could be accurately identified at the treeline ecotone,
741 where they usually exhibited textures (e.g., high plant height) distinctive from those
742 of the surrounding vegetation in imagery (Selkowitz, 2010). However, our study
743 provides an approach to extracting shrubs beyond the treeline by focusing on
744 phenological anomalies of shrubs rather than texture, thus introducing a novel way to

745 study shrub distribution changes in inner Arctic or alpine ecosystems (Bayle et al.,
746 2019; van Lier et al., 2009). Phenological differences in autumn between shrubs and
747 other plant functional types have long been neglected. So far, using phenology to
748 identify plant cover has been applied to map expansion processes of invasive plants
749 (Bradley, 2014; Peterson, 2005; Weisberg et al., 2017). We identified only one study
750 in which shrubland in the French Alps was successfully extracted by using the
751 specific phenology of reddening shrubs in autumn based on the red edge band of
752 Sentinel-2 data (Bayle et al., 2019).

753 Expansion of deciduous shrubs, such as birch (*Betula* spp.), willow (*Salix* spp.),
754 and alder (*Alnus* spp.), through infilling of existing patches, an increase in growth, or
755 an advancing shrubline, i.e., shrubification, was found to contribute to the vegetation
756 change during past decades (Myers-Smith et al., 2011). However, there is evidence
757 that evergreen shrubs (e.g., crowberry) are also expanding (Elmendorf et al., 2012;
758 Klanderud and Birks, 2003; Maliniemi et al., 2018; Vowles and Björk, 2019; Vuorinen
759 et al., 2017; Wilson and Nilsson, 2009). Thus, the approach introduced in our study is
760 a promising way to extract the distribution of these evergreen shrubs, if they are
761 abundant, in Arctic and alpine ecosystems by using autumn NDVI signals. This
762 knowledge in turn can contribute to an improved understanding of shrub distribution
763 changes and help to address the complexities of interpreting satellite-spectral and
764 ground-vegetation greening trends (Myers-Smith et al., 2020). It should be noted that
765 phenology shift due to climate warming might affect the detection of specific species
766 especially in alpine ecosystems with sharp environmental gradients in elevation and

767 topography (Gottfried et al., 1998). However, warming mostly advanced or delayed
768 the die-off of other perennial plants by less than a week during the past 30 years (Liu
769 et al., 2016), suggesting that warming-caused phenology change should not affect the
770 detection of *R. aureum* in this study, which had a window of several weeks for
771 successful detection due to its unique greenness in autumn. Nevertheless, field
772 phenology observation along elevation gradient could be a good supplementary to the
773 selection of proper satellite imagery.

774

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1138 **List of Figure Captions**

1139

1140 Figure 1. Upper Left: location of Changbai Mountains in Northeast China. Lower left:
1141 The alpine tundra in the study region, extending from 1850 to the summit at 2749 m
1142 (bottom). The red star represents the location of the climate station at the summit of
1143 the Changbai Mountains. The yellow line represents the international boundary
1144 between China and North Korea. Plots for ground truthing are indicated as green
1145 points. Right: field photos: (A) early autumn, 1 September 2017; (B) spring, 13 June
1146 2017; and (C) early spring, 22 May 2015, showing the study site landscape at high
1147 (above 2300 m), mid (2000-2300 m), and low elevations (1850-2000 m), respectively.
1148 Plant communities with green color in the field photos indicate *R. aureum*
1149 communities. Panel (B) shows *R. aureum* in white bloom. Plant communities with
1150 yellow and gray color are deciduous shrubs and sedge communities in (A) and herb
1151 communities in (B) and (C).

1152

1153 Figure 2. Schematic workflow that summarizes data preparation and processing steps,
1154 including the estimation of *Rhododendron aureum* fraction cover time series based on
1155 NDVI from Landsat images and high resolution images and field survey data (left),
1156 extraction of environmental data, most importantly the assessment of snow cover
1157 trends and snowmelt date based on various satellite datasets (right), and integration of
1158 both into two models for *R. aureum* (bottom). *RA* = *R. aureum*. HR refers to high

1159 resolution. PPI is the pixel purity index while MNF stands for minimum noise fraction
1160 transformation with ENVI. LST refers to land surface temperature derived from
1161 Landsat images. ① NDVI calibration includes orthorectification, atmospheric and
1162 radiometric calibrations; ② Harmonizations of multi-source images with ENVI; ③
1163 C correction method for terrain correction.

1164

1165 Figure 3. True color RGB image of Sentinel-2 (middle and right) and field photos (left)
1166 taken in the alpine tundra of the Changbai Mountains at peak (a) and end (b) of the
1167 growing season. Green color at the end of the growing season represents the
1168 evergreen shrub, *R. aureum*; the white circle marks a distinctive rock for reference.

1169

1170 Figure 4. Correspondence between plant cover of *R. aureum* (green color) and herbs
1171 (brown color, as shown in Figure 1 C) in a 1 m² field survey plot and the NDVI value
1172 of a GF-2 high-resolution satellite image (23 September 2017, pixel size = 1 m).
1173 Number of plots = 165. The lines represent the results from generalized linear
1174 regressions (the *glm* function with 'logit' link from the 'MASS' package in R
1175 2020). The grey zone indicates the 0.95 confidence interval.

1176

1177 Figure 5. Scatterplot comparing Landsat shrub fraction to high resolution (HR) shrub
1178 abundance (the proportion of *R. aureum* footprints in each Landsat pixel). The black
1179 line represents the linear regression line fitting data that starts from the tipping point
1180 (0.27) onward.

1181

1182 Figure 6. Pixel-level trends in snow cover (i.e., the coefficient of the ‘year’-term in
1183 the generalized linear model) during the snowmelt period (1 April to 15 June) along
1184 elevation gradients in the alpine tundra of the Changbai Mountains from 1965 to
1185 2019.

1186

1187 Figure 7. *R. aureum* fraction cover dynamics for increasing fraction cover (top four
1188 panels) and decreasing cover (bottom four) pixels for the years 1988, 1992, 2001,
1189 2004, 2009, 2013, and 2019.

1190

1191 Figure 8. Change in fraction cover of *Rhododendron aureum* along elevation gradient
1192 between 1988 and 2019. Linear unmixing model was applied to extract fractional
1193 cover of *R. aureum* in 1988 and 2019.

1194

1195 Figure 9. The snowmelt regime preference of the evergreen shrub, *Rhododendron*
1196 *aureum*. The response curve as a function of snowmelt day (DOY = day of year) is
1197 based on a generalized linear model. The vertical lines on the x-axis in a) indicate
1198 distribution density of *R. aureum*. The variable importance scores are mean values
1199 from all four species distribution modelling methods. LST indicates land surface
1200 temperature derived from Landsat imagery. TWI indicates topographical wetness
1201 index.

1202

1203 Figure 10. The projected distributions of *Rhododendron aureum* (represented in green)
1204 under current (a) and future snowmelt scenarios (b) based on a species distribution
1205 model. The number of pixels (c) and distribution frequencies along the elevation
1206 gradient (d) of *R. aureum* as predicted under scenarios for the current snowmelt
1207 regime and a future regime. The dashed lines indicate the elevation optimum in each
1208 scenario.

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