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3D vibration patterns of alto saxophone reeds measured on different mouthpieces under mimicked realistic playing conditions

Enis Ukshini, Joris J.J. Dirckx,
Department of Physics, Laboratory of Biomedical Physics BIMEF, University of Antwerp, Groenenborgerlaan 171, Antwerp, 2020, Belgium

In single reed musical instruments, vibrations of the reed, in conjunction with the geometry of the mouthpiece and the acoustic feedback of the instrument, play an essential role in sound generation. Up till now, 3D reed vibration patterns have only been studied under external acoustic stimulation, or at a single note and lip force. This paper investigates vibration patterns of saxophone reeds under imitated realistic playing conditions. On different notes displacement measurements on the entire optically accessible part of the reed are performed using stroboscopic digital image correlation. These vibration data are decomposed onto the harmonic frequencies of the generated note pitch and into the operational modes. Motion data as a function of time are shown on single points. All points on the reed predominantly move in phase, corresponding to the first flexural mode of the reed. At higher note harmonics very low amplitude higher vibration modes are superimposed on the fundamental mode. Mouthpiece characteristics and lip force influence the vibration patterns. Vibration patterns differ strongly from earlier measurements on free vibrating reeds. Results show that single-point measurements on the tip of the reed can give a good indication of the 3D vibration amplitude, also at higher note pitches.

23 I. INTRODUCTION

24 Reeds used in woodwind instruments play a crucial role in the instrument's acoustic functioning as
25 the reed's oscillation is the driving mechanism for the airflow modulation, and hence for the
26 production of sound. In single-reed instruments such as the clarinet and the saxophone, the reed is a
27 flat piece of natural or synthetic material, machined into a certain shape. The instrument mouthpiece
28 has a long-shaped opening, which is largely closed by the reed lying on top of it. Towards its tip, the
29 mouthpiece surface gradually bends down so that the distance between the reed and the mouthpiece
30 sidewalls (also called "rails" or "facings") increases, leaving a slit-shaped opening at the tip of the
31 mouthpiece. Drawings of mouthpieces explaining the terminology to indicate mouthpiece parts can
32 be found in (Chen, 2009) and in Figure 2. The player's lower lip bends the reed towards the
33 mouthpiece, and air is forced through the remaining opening. A complex interplay between reed
34 mechanics, lip force, hydrodynamics of the airstream and acoustic properties of the mouthpiece and
35 the instrument sets the reed into periodic motion. Under normal playing conditions, the instrument
36 is the dominant oscillator and the reed locks in to the instrument's acoustic frequency (Fletcher,
37 1978). Different combinations of opened and closed tone holes change the resonance characteristics
38 of the instrument so that standing waves of different frequencies are excited.

39
40 The musical sound of the instrument depends strongly on the details of the reed's oscillation
41 through one full periodic motion (Fabre et al., 2011). The reed in itself is a long-shaped slab and
42 behaves as a multi-mode vibrating system (Campbell, 1999). Traditionally it is made out of natural
43 cane, but in recent years synthetic materials have gained interest and use, as their mechanical
44 properties are coming closer to the natural materials (Ukshini and Dirckx, 2020). Along its length
45 the thickness of the reed is machined towards a very thin tip, and the cross-section changes from a
46 bulge in the center to a uniform thickness at the tip. An important parameter is the stiffness of the

47 reed towards its tip, which is indicated by a so-called “strength”, but relationships between stiffness
48 and strength number vary between manufacturers and reed shapes. The behavior of natural reeds
49 can differ rather strongly between specimen and depends greatly on humidity, while with synthetic
50 materials the mechanical properties of different specimens vary far less. The link between the
51 objectively measured mechanical parameters of the reed and the subjective characterization of the
52 sound has been studied in detail (Gazengel et al., 2016). In the study correlation coefficients between
53 subjective descriptors and mechanical parameters were retrieved. A strong correlation was shown
54 between the mechanical parameters and the perceived quality of the reeds. Actual stiffness of
55 individual cane reeds can differ rather strongly from one sample to the next. The material
56 parameters of high-quality synthetic reeds are far more constant, so that mechanical variability
57 between individual reeds is also much smaller. Nevertheless, some degree of variability may exist.
58 Also, the mounting of the reed on the mouthpiece and the exact placement of the lip can slightly
59 vary. Therefore, some tests will be repeated on different specimens. For the sake of conciseness not
60 all measurements can be shown, but for relevant figures the result of a repeat measurement will be
61 added so the reader can judge the degree of repeatability. To reduce effects of reed variability, the
62 present study will use Légère Signature synthetic reeds produced by the Légère company (Légère
63 Reed Ltd., Barrie, Ontario, Canada), one of the leading manufacturers.

64
65 Previous studies have investigated natural frequencies of the clarinet reed using digital holographic
66 interferometry (Pinard et al., 2003; Taillard et al., 2014). In both publications, single-axis digital
67 holography was used to measure the vibrational patterns along the axis perpendicular to the rest
68 position of the reed. In the paper by (Pinard et al., 2003) clarinet reeds were mounted on a
69 mouthpiece and reeds were stimulated acoustically to determine their natural modes. In the paper by

70 (Taillard et al., 2014), the reed was also stimulated acoustically and could move fully freely, hence the
71 natural vibration modes of the free vibrating reed could be measured.

72 Opinions are divided on the link between the natural modes and the properties of the instrument's
73 sound. In one paper (Taillard et al., 2014) it is suggested that the higher modes of the reed probably
74 do not play an important role in the acoustics of the instrument, whereas another paper (Pinard et
75 al., 2003) concludes that the musical quality of a reed can easily be assessed from the first three or
76 four vibrational modes of the reed.

77 Although the study of reed vibrational modes gives important insight in the vibroacoustic behavior,
78 it remains unclear how the reed vibrates in natural playing conditions and how it is influenced by the
79 lip force and the shape of the mouthpiece. The frequency at which the reed starts to vibrate depends
80 on the reed's own natural frequencies, lip force, mouthpiece shape and the natural frequencies of the
81 air column to which the reed is connected (Benade and Gans, 1968). Depending on the tone hole
82 configuration (which can be changed by opening and closing different tone holes) different
83 acoustical properties are obtained in the instrument bore (Nederveen, 1969).

84 To develop a better understanding of the functioning of the reed and mouthpiece, the reed motion
85 of the clarinet was studied by (Backus, 1961) with a photoelectric method using an artificial blowing
86 machine. He showed reed vibrations for different notes. The results were only presented in a
87 qualitative way so that no values are available for the displacement signal. (Colinot et al., 2020)
88 studied alto saxophone reed vibrations using a single point optical probe in fully realistic playing
89 conditions and defined several oscillation regimes. With the mouthpiece placed in the mouth, it is
90 obviously impossible to measure reed vibrations over the entire surface, and conclusions need to be
91 drawn on basis of single point measurements. The authors demonstrated that several oscillation
92 regimes exist: during a note period, the reed can move to the mouthpiece once (single two-step
93 regime) or twice (double two-step regime). If for some notes (e.g. in the higher playing register) the

94 reed would be vibrating at a higher vibrational mode, as was the case in studies using external
95 stimulation on free vibrating reeds, the amplitude at a single measurement point could be very
96 different from the amplitude at other nearby points and give a poor representation of the overall
97 motion of the reed. To verify if such higher vibration modes are of importance, measurements of
98 3D vibration patterns under realistic circumstances are needed.

99

100 (Picart et al., 2007, 2010) presented a testing method (digital Fresnel holography) for the
101 measurement of reed vibrations over the entire visible surface and demonstrated the method with a
102 measurement taken on a clarinet reed for a single note and lip force. The paper mentions the
103 necessity to test reeds in different realistic playing conditions.

104

105 The shape of the mouthpiece has an important influence on instrument sound. Different
106 mouthpiece designs exist for the clarinet, but for the saxophone the range of shapes is even more
107 broad. Progress is being made in acoustical modeling of saxophone mouthpieces taking into account
108 complex mouthpiece geometry information (Wang et al., 2021). Especially in mouthpieces aimed at
109 jazz music, where an even broader range of pitch bending and loudness variation is preferred, a
110 broad variety of geometries is being used in different music styles. Therefore, this work will focus on
111 alto saxophone reeds mounted on two mouthpieces of different geometry. To analyze the motion of
112 the reed, a previously developed setup is used. The measuring method is based on constant phase
113 stroboscopic digital image correlation using high resolution cameras (see section II.C). Details on the
114 setup and the measuring technique have been described earlier (Ukshini and Dirckx, 2021).

115 The reeds are mounted on a mouthpiece coupled to the instrument and driven by airflow between
116 reed and mouthpiece, just like in the natural playing situation. The reed is bent and damped by a
117 visco-elastic artificial lip (section II.B) with adjustable lip force. 3D vibration patterns are shown for

118 a number of different musical notes over the instrument playing range, different mouthpieces and
119 under different lip forces.

120 The alto saxophone is a transposing instrument, meaning that the written note name differs from
121 the note name in concert pitch. For the alto saxophone, the written note F# corresponds to concert
122 note A. In all that follows, note names will be given in concert pitch.

123

124 In the present paper, the following research questions will be investigated:

- 125 • How does the reed vibrate under airflow-induced auto-oscillation, in presence of lip force,
126 mouthpiece and instrument?
- 127 • Does mouthpiece geometry influence the 3D vibration patterns of the reed?
- 128 • Does the reed close against the mouthpiece only at the tip or also at the rest of its
129 circumference?
- 130 • Do single point measurements give a correct representation for the overall (3D) motion of
131 the reed?

132

133

134 The paper is structured as follows. Section II describes the materials and the measurement setup
135 (details are given in (Ukshini and Dirckx, 2021)). In section III the results are presented for a single
136 point (III.A), in three dimensions (III.B) and along selected cross sections (III.C). Because of the
137 large amount of gathered data, only samples recorded at several notes and settings are shown in the
138 paper. Full data sets, and 3D animations of reed motion are available online. Results are discussed in
139 section IV and conclusions are drawn in section V.

140

141

142 **II. MATERIALS AND METHODS**

143 **A. Mouthpiece and reed choice**

144 For this study, two different mouthpieces (Concept and Spirit alto saxophone mouthpiece, Henri
145 Selmer Paris) are used on an alto saxophone. The ‘Concept’ mouthpiece has a tip opening of
146 1.47mm and table length of 24 mm and is mainly intended to play classical music. The ‘Spirit’
147 mouthpiece has a larger tip opening of 2.1mm and table length of 27 mm and is aimed for jazz
148 musicians. For the reed, new synthetic alto saxophone reeds made of polyethylene fibers (Légère
149 Reed Ltd., Barrie, Ontario, Canada) are used. The use of synthetic reeds from the Signature series of
150 Légère avoids variability between specimens and problems with humidification. The strength of the
151 reed is 2 ³/₄ which is a commonly used average strength. To investigate the behavior in different
152 musical registers, measurements were performed at the notes F3, A3, C4, F4, A4, C5 and F5. Tone
153 holes were held with elastic bands to produce the appropriate note.

154

155 **B. Experimental apparatus**

156 Figure 1 shows a schematic representation of the experimental setup in top view. The saxophone is
157 mounted on a metal support and part of the neck and the mouthpiece are placed in a transparent
158 sealed pressurized container with dimensions of 20 by 20 centimeters. For practical reasons, the
159 mouthpiece is turned over 180 degrees in comparison to the usual playing condition, so that the reed
160 faces the camera. The cameras are at the level of the mouthpiece tip. Other views and more details
161 on the setup can be found in (Ukshini and Dirckx, 2021).

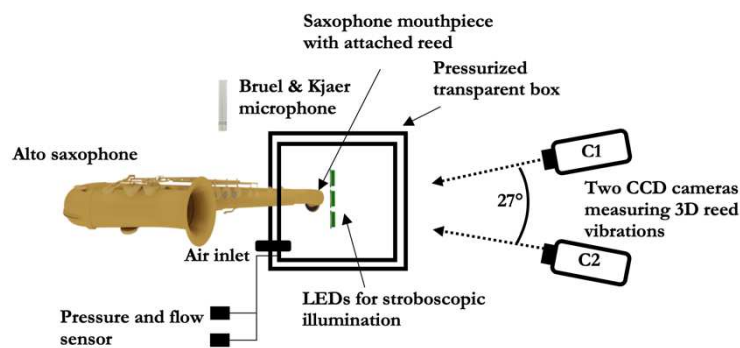


Figure 1: Top view schematic representation of the measurement setup. Two cameras (C1 & C2) observe the reed motion. The mouthpiece is positioned inside a pressurized transparent box and is turned in such a way that the reed faces the cameras. (color online)

162 A free field microphone (Bruel and Kjaer Type 2669, Denmark) is placed in the vicinity of the
163 instrument to record the sound spectrum. An artificial lip was designed based on the average lip
164 contact area of different players. The lip is made from a silicon resin with a Shore value of
165 approximately 0. The amount of force exerted on the lip is measured with a loadcell (Honeywell FSS
166 1500NSR) and is controlled by the same computer that regulates air pressure and acquires sound
167 signals and DIC images.

168 Air pressure is measured with a SCX 01 transducer (SenSym ICT) inside the pressurized box and
169 airflow is measured with a flow sensor (Honeywell AWM720P1). Air pressure is computer
170 controlled and can be set from 0 to 10 kPa, which covers the normal playing range of the saxophone
171 (Fuks and Sundberg, 1999). Because the saxophone is designed to operate at the air temperature
172 exhaled by the player, air temperature is maintained at $35 \pm 0.5^{\circ}\text{C}$ independent of the airflow
173 through the instrument.

174 C. 3D DIC and coordinate system

175 Stereo digital Image Correlation (DIC) is used to obtain the full 3D motion of the reed over the
176 visible surface of the reed. In DIC, the images are subdivided in a number of facets consisting of
177 for instance 40 by 40 pixels. The gray scale information of each facet in the image of one camera is
178 then correlated to the corresponding facet in the image of the other camera. After calibration, this
179 method allows to calculate the position of each facet in 3D space. Because the entire gray scale
180 distribution is used, the average in-plane position of the facet can be determined with a subpixel
181 precision of typically 0.1 image pixel. To be able to use gray scale information and in order to have a
182 unique solution in the correlation process, the object surface needs to have some random optical
183 texture (Pan et al., 2010). A commonly used technique to obtain such texture is by spraying a fine
184 ink speckle pattern onto the object surface. Since the Légère reeds are transparent, the background
185 in the images is dark due to the black color of the mouthpieces. Therefore, a white speckle pattern is
186 sprayed on the reed utilizing an aerosol with white ink. Before starting a measurement, a calibration
187 of the DIC system is performed using a calibration plate. Because the displacements of the reed are
188 of order tenths of a mm (Bucur, 2019), DIC is a highly suitable technique to study the reed motion.
189 More details on the DIC technique can be found in (Pan et al., 2009; Sutton et al., 2009).

190

191 For the sake of clarity, Figure 2 defines a coordinate system in which all the data is presented. The
192 origin of the x-axis is set at the middle of the mouthpiece tip and the z-axis is chosen perpendicular
193

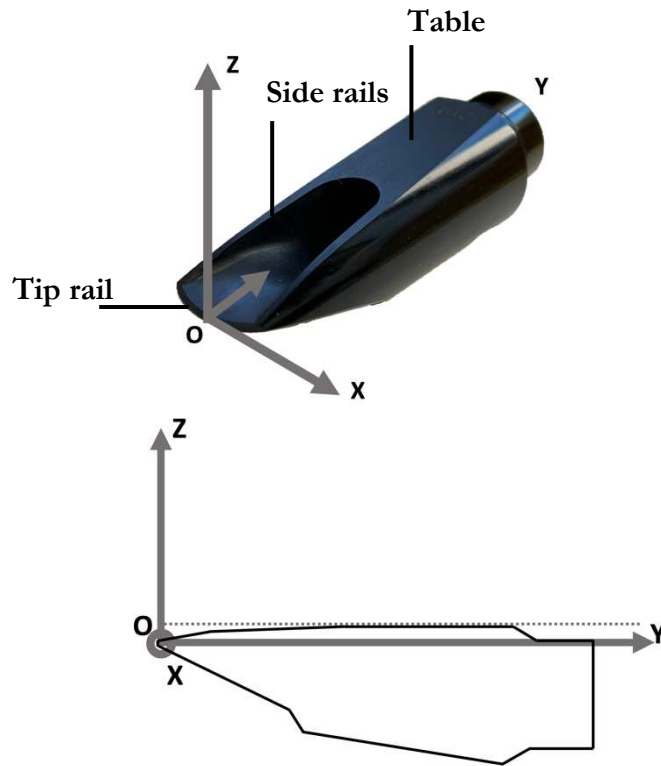


Figure 2: Coordinate system in which the data is presented in. The origin of the x-axis is set at the middle of the mouthpiece tip. The z-axis is chosen perpendicular to the surface of the mouthpiece table, with its origin at the level of the mouthpiece tip. The dotted line indicates the level of the mouthpiece table. (color online)

194

195



Figure 3: Top view on a reed with the artificial lip in place. The black line indicates the circumference of the zone in which 3D vibration data are measured. The dotted lines indicate the locations of cross sections along which reed motion will be shown (Section III.C). The artificial lip is indicated with gray. (color online)

196

197

198 In Figure 3 the position of the artificial lip is shown, and the boundary of the visible reed surface, on
199 which 3D vibration data is presented, is indicated in a black line. The dotted lines indicate the
200 position along which cross section data is presented (Section III.C, Fig. 14).

201

202 **D. Reed dynamic motion**

203 To examine the dynamic 3D reed vibrations, stereoscopic DIC was combined with a stroboscopic
204 illumination technique based on a delay triggering system. A Q400 3D digital image correlation
205 system with commercially available software (ISTRA 4D 4.4.7, Dantec Dynamics, Skovlunde,
206 Denmark) was used to capture and correlate the recorded stereoscopic images. Two Manta G609B

207 cameras (Allied Vision Technologies, GmbH, Stadtroda, Germany) with a resolution of 2056x2464
208 pixels were placed under and an angle of 13.5° to the left and to the right of the normal on the reed
209 plane, which is good suitable angle to capture the out of plane displacement (Reu, 2013). For the
210 evaluation of the DIC results, the facet and grid size were set respectively 39 and 29 pixels. The
211 distance between two data points on the reed is $210\mu m$. The stereoscopic DIC technique allows to
212 measure three dimensional motions on a dense grid of points on an object surface, with a measuring
213 precision up to 0.1 pixels for in-plane motions (Bornert et al., 2012; Reu et al., 2015). For the out-of-
214 plane motions (which are by far the largest component in the motion of the reed), the measuring
215 precision is a factor 2-3 less good (Balcaen et al., 2017). In a separate experiment¹ the out-of-plane
216 measurement uncertainty for the current setup was found to be better than $2\mu m$ for all displacement
217 measurements.

218

219 The inlet blowing pressure (pressure in the box containing the mouthpiece) is adjusted to obtain
220 stable oscillation. The signals of the pressure and lip transducer were recorded in ISTR4 4D
221 simultaneously with the captured images. In the dynamic regime, the periodic motion of the reed is
222 an auto-oscillation generated by the physics of the musical instrument and the airflow-reed-
223 mouthpiece combination.

224

225 To sample the reed's vibration cycle, the oscillation frequency is first determined from the measured
226 sound spectrum. The zero-passing of the sound signal is used to trigger image acquisition. Because
227 the motion of the reed is highly non-sinusoidal, a programmable bandpass filter is used to filter the
228 fundamental frequency out of the sound signal before the signal is passed on to the zero-crossing
229 detector. This assured that the high-power LEDs used for the stroboscopic illumination are not
230 triggered incorrectly by a zero-passing different from the fundamental frequency. Finally, the LED

231 light stroboscopic pulses are fired at a computer-controlled time delay after this zero passing
232 (corresponding to the desired phase in the vibration period). The light pulses are integrated over the
233 shutter time to gather enough light for the correlation of the data. The duty cycle of the LEDs was
234 set to 1% of the reed vibration period, and images were acquired at 20 equidistant time steps. The
235 reed vibration cycle was sampled in 20 subsequent steps equally divided over the vibration cycle.
236 From the 20 acquired images the full periodic motion of the reed could be reconstructed over the
237 entire visible surface of the reed. In accordance with the Nyquist theorem, sampling the periodic
238 motion in such a way allows an analysis up to the ninth harmonic.

239

240 The DIC technique measures the location of the upper surface of the reed. To make interpretation
241 easier, the thickness of the reed is subtracted from the DIC data, so that the motion of the reed
242 backplane is shown (section III, Figures 4,6,7,8,14). In this way, the closing of the reed against the
243 mouthpiece can be easily seen.

244

245 **III. RESULTS**

246 **A. Single point analysis of reed periodic motion**

247 ***1. Single two-step motion and repeatability***

248

249 Figure 4 shows the periodic motion at A4 for a point in the middle of the reed tip close to
250 the rim, for three different lip forces and with the reed mounted on the Concept mouthpiece. For
251 each lip force the measurement is repeated using three new Légère Reeds. Repeat measurements for
252 each lip force are indicated using the same marker and color line. Due to the mechanical limitations
253 of the lip translation setup, the actual measured lip force deviates slightly from the set lip force (5%).

254 The blowing pressure is set to a constant value of 4 kPa. This value is in the middle of the blowing
 255 pressures measured for the alto saxophone (Fuks and Sundberg, 1999).
 256 The behavior of position as function of time is very similar for the three different reeds. For all lip
 257 forces, the variability of the peak-to-peak amplitude over the three reeds is less than 10%.

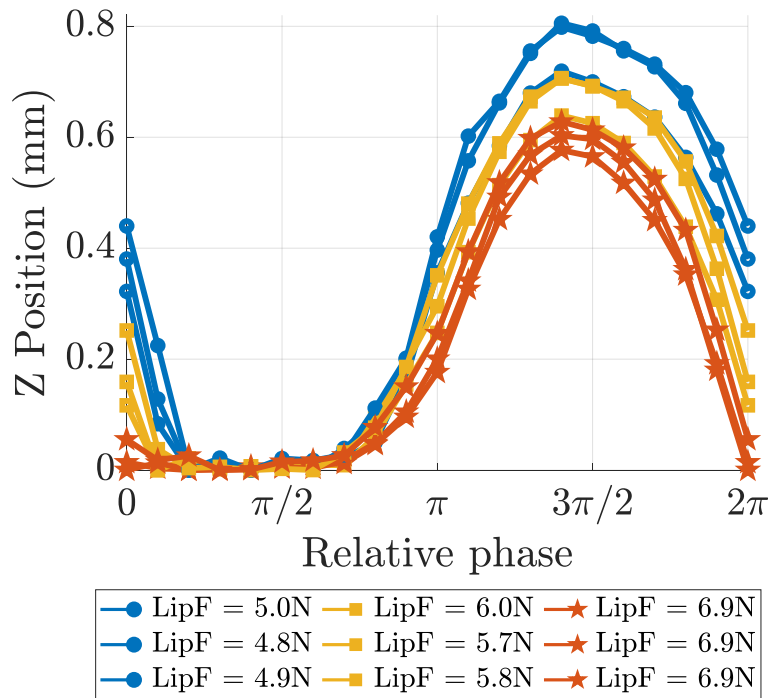


Figure 4: Position of the backside of the reed tip center as a function of time measured at note A4 for three different lip forces on a reed mounted on the Concept mouthpiece. Measurements are repeated using three different reeds with the same nominal strength. Repeat measurements are indicated using the same markers and line colors.
 (color online)

258
 259 On this note, the simple two-step vibration regime is observed (Colinot et al., 2020), and the reed
 260 comes very close to the mouthpiece tip for about one third of the vibration period. For the different

261 lip forces, the overall motion of the reed is similar, yet the amplitude differs. For the lowest lip force,
262 the reed opening can be as high as 0.79mm. The maximum opening for the highest lip force is
263 0.62mm. The lip influences the maximum deflection of the reed relative to the mouthpiece. In the
264 closing part of the vibration cycle, the reed does not remain in contact with the mouthpiece. A
265 bounce effect of 22 μm is observed for a lip force applied of 4.9N. The bounce effect is seen in
266 more detail in Figure 8 (section III.A.4.)

267

268 Figure 5(a) shows the corresponding spectrum of the vibration cycle shown in Figure 4. The
269 fundamental frequency and the first six harmonics of the displacement signal are represented.
270 Higher harmonics are not shown because the corresponding values were not always above the noise
271 level. The amplitude is plotted on a logarithmic scale to better visualize the higher harmonics. In
272 contrary to all other figures, the spectrum is calculated for the reed displacement relative to the
273 reed's resting position with lip force applied but without airflow. In this way, the DC component
274 indicates the change of equilibrium position caused by the airflow.

275

276 The DC displacement of the reed (induced by air flow) is seen as the first peak at zero, and it is
277 about as high as the amplitude at the fundamental vibration frequency. The frequency at which the
278 reed oscillated was respectively 440Hz at 4.9N, 441Hz at 5.8N and 442Hz at 6.9N. The frequency of
279 the reed's vibration is slightly increased by adding more lip force. The DC component of the Fourier
280 spectrum with $F_{\text{lip,mean}} = 4.9\text{N}$ is 0.44mm meaning the reed's oscillation is occurring around 0.44mm
281 lower than rest position (with lip force applied). The DC offset value for $F_{\text{lip,mean}} = 5.8\text{N}$ and $F_{\text{lip,mean}} =$
282 6.9N are respectively 0.41mm and 0.39mm. Figure 5 shows that the strongest frequency component
283 of the reed motion is at the fundamental frequency, with an amplitude of at least a factor of four
284 higher at the middle than the amplitude at the first harmonic. The harmonics of the signal are much

285 lower in amplitude as compared to the fundamental frequency. For the first three harmonics,
 286 amplitudes above 5% of the amplitude of the fundamental are observed. The fourth until the sixth
 287 harmonic all show relative amplitudes below 5%.

288
 289

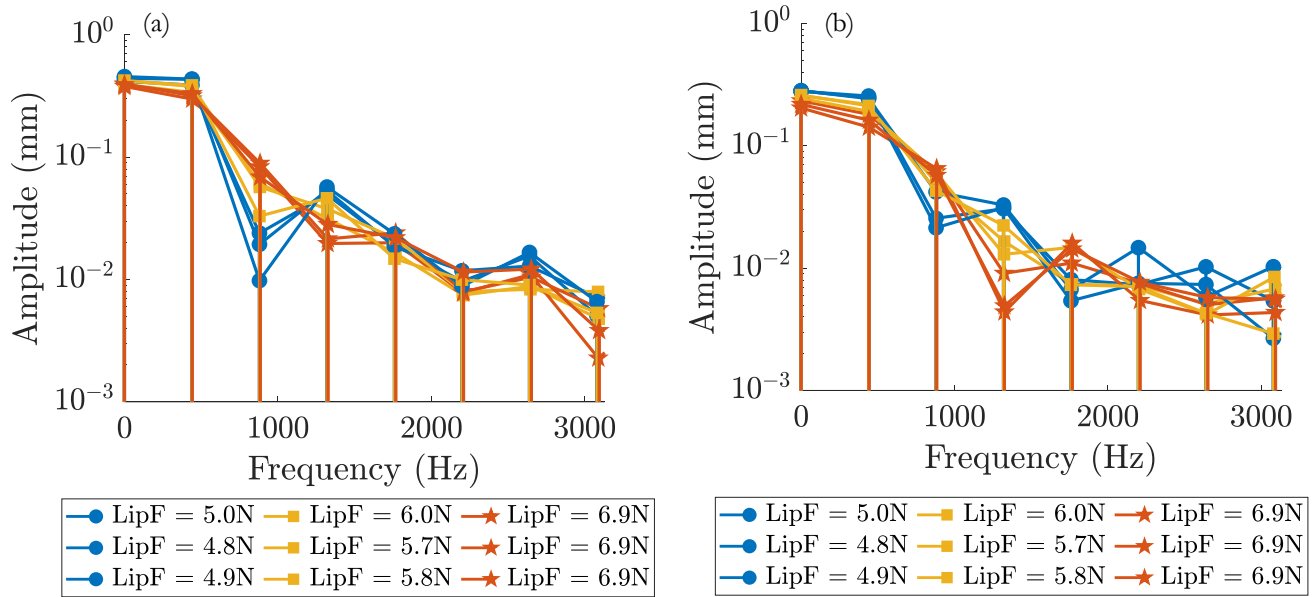


Figure 5: Frequency spectra for A4 measured at (a) the vicinity of the reed tip and (b) bottom left side of the reed. The fundamental and the first six harmonics are shown. Different markers are used to show the different lip forces. Repeat measurements with different reeds are indicated by the same markers. The DC component is shown as well. (color online)

290

291 Figure 5(b) shows the spectrum for a left bottom point at the reed's surface near the side rails of the
 292 mouthpiece. Generally, the amplitude of the spectrum is lower as compared to the amplitude
 293 spectrum obtained at the center of the reed tip. The relative strength of the first harmonic is larger
 294 near the side rails than in the middle. Different lip forces mainly influence the relative amplitudes of

295 the harmonics. For all lip forces, the spectra obtained for three different reed samples are very
296 similar.

297 **2. *Double two-step motion***

298 Figure 6 shows the periodic motion at F3 as a function of relative phase, for 20 subsequent phase
299 steps measured over one period. The motion is shown for a point in the middle of the reed at the
300 vicinity of the tip with the reed mounted on the Concept mouthpiece. During one oscillation, the
301 reed moves multiple times towards and away from the mouthpiece. At this note, the double two-
302 step behavior is seen, as described earlier (Colinot et al., 2020) on the alto saxophone under realistic
303 playing conditions. A negative slope of the curve means the reed moves towards the mouthpiece.
304 The pressure at which stable dynamic motion was initiated is 3.4 kPa for the highest lip force and
305 2.4 kPa for the lowest lip force. The maximum deflection of the reed from the mouthpiece tip is
306 0.91 mm (third point on the vibration cycle). At this phase, the reed is furthest from the mouthpiece.
307 Next, the opening between the reed and the mouthpiece becomes smaller and nearly fully closes,
308 leaving a gap of less than 30 μm . Afterwards the reed moves away from the mouthpiece tip and the
309 reed channel becomes again 0.91mm and finally the reed closes against the mouthpiece for a second
310 time within the same vibration period. The vibration amplitude again depends on lip force. For
311 $F_{\text{Lip}}=6.9\text{N}$ the maximum amplitude is 0.53mm.

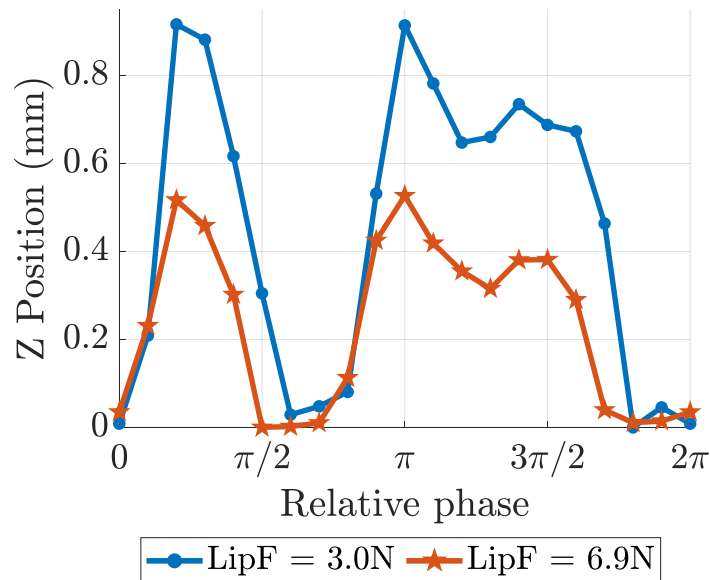


Figure 6: Position of the backside of the reed tip center as a function of time measured at note F3 for two different lip forces on a reed mounted on the Concept mouthpiece. The markers indicate the measured time steps. The double two-step vibration regime is seen. (color online)

313

314 *3. Influence of mouthpiece on reed periodic motion*

315 A direct comparison between the two mouthpieces could not be performed because the different
 316 mouthpieces required a different minimal lip force to initiate auto-oscillation of the reed. Therefore,
 317 the influence of the two different mouthpieces on the motion of the reed is analyzed using different
 318 lip forces. Figure 7 shows the vibration cycle at A4 for the different mouthpieces and different lip
 319 forces. The reed motion on the Spirit mouthpiece is clearly different from the motion on the
 320 Concept mouthpiece: when the reed is attached to the jazz mouthpiece, the reed remains open
 321 during a longer part of its periodic motion.

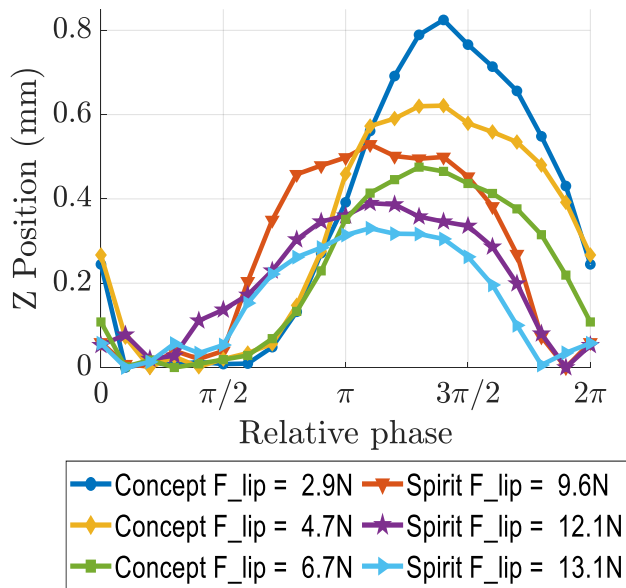


Figure 7: Position of the reed bottom for different lip forces and different mouthpieces measured at note A4. When the reed is attached to the Concept mouthpiece the reed gap remains closed for a longer fraction of the vibration period than when the reed is mounted on the Spirit mouthpiece. (color online)

322

323

324 To initiate auto-oscillation with the reed attached to the jazz mouthpiece, the reed is initially more
 325 bent by the applied lip force. A minimal lip force of 9.6N is needed whereas for the Concept
 326 mouthpiece the lip force can be more than a factor three lower to start the reed vibrating. The
 327 amplitude of the reed motion on the Spirit mouthpiece is smaller than 0.6mm for all tested lip
 328 forces, while for the Concept mouthpiece the amplitude reaches 0.8mm. When using the same reed
 329 strength, higher lip forces are needed to obtain oscillation on the Spirit mouthpiece. When lip force
 330 is changed over a similar range of about 3N, the change in vibration amplitude is considerably larger
 331 on the Concept mouthpiece (0.35mm) compared to the Spirit mouthpiece (0.2mm). The figure

332 demonstrates that mouthpiece geometry has an important influence on reed motion as function of
333 time.

334 4. Bounce effect

335 Figure 8 shows the position of a point at the center of the reed tip (near the edge) as a function of
336 time, for 20 subsequent phase steps measured over one period of C5. The circles and triangles
337 indicate the subsequent measured time steps (different symbols and gray scales were used
338 corresponding to cross-section profiles which will be shown in Figure 14). The peak-to-peak
339 amplitude of the tip vibration is 0.77 mm. For more than a quarter of the vibration period, the reed
340 tip is practically in contact with the mouthpiece, although at some time points a re-opening with an
341 amplitude of 40 μ m occurs.

342

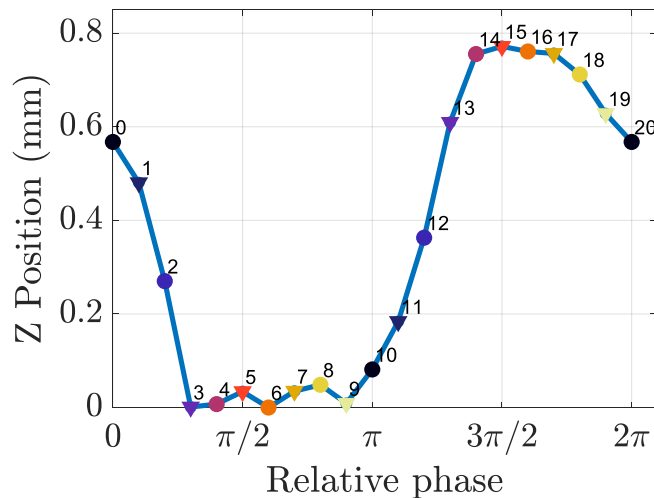


Figure 8: Position of the backside of the reed tip center as a function of vibration phase measured at note C5. Dots and triangles indicate the time steps at which measurements were made (subsequent gray values and symbols correspond to reed cross sections shown in Figure 14) and are labeled from 0 to 20. Each subsequent time step number corresponds to a phase increase of $2\pi/20$. The reed tip nearly touches the mouthpiece for more than a quarter of the vibration period. (color online)

343 **B. 3D reed motion**

344 *1. Magnitude maps of reeds mounted on a Concept mouthpiece*

345 Section III.A showed the vibration cycle for single points on the reed's surface. DIC provides
346 displacement maps over the whole visible surface of the reed at all measured time steps, which
347 results in a substantial amount of data. Instead of presenting the displacement maps for each
348 measured time step, the maximum measured magnitude map and its decomposition on the
349 fundamental frequency and harmonics are shown. Full field animations of reed motion can be found
350 in the supplementary material.²

351

352 To calculate the frequency decomposed magnitude maps, the out of plane displacement is stored in
353 a 2D matrix where each point in the matrix represents a position on the reed's surface. Next, a 3D
354 matrix is constructed by adding the data of the out of plane displacement of each sampled time step.
355 Afterwards, the Fourier spectra of the displacement signal are calculated in each point of the matrix.
356 Only the first six harmonics are shown because for higher harmonics the magnitudes become too
357 low to surpass the 2 micrometer sensitivity level of the DIC setup.

358 As an example, Figure 9 shows the measured magnitude maps and their decomposition on the note
359 fundamental and the sixth upper harmonics for A4 played on the Concept mouthpiece for three
360 different lip forces. The final column shows the full-field amplitude maps for a repeat measurement
361 using a different reed and approximately the same lip force as used to obtain the data shown in the
362 second column (Lip force $\approx 6\text{N}$). For the sake of clarity, the magnitude is chosen different for each
363 row because amplitudes strongly decrease for the higher harmonics. The magnitude scales at the
364 harmonics are a factor 8, 8, 20, 20, 20 and 40 times smaller than the scale used for the fundamental
365 frequency. The blowing pressure is 4 kPa for all the measurements. The measured magnitude
366 distributions (top row of Figure 9) show that the reed mainly vibrates in its first flexural mode.

367 Increasing lip force leads to smaller vibration amplitudes, but overall the shape of the magnitude
368 map remains the same. Decomposing the magnitude map onto the note fundamental and its
369 harmonics reveals more detail. For all lip forces, the amplitudes at f_0 are at least a factor of 3.5 higher
370 than the amplitudes of any of the harmonics. For the lowest lip force, the magnitude at the first
371 harmonic at a point in the middle of the reed tip is more than 20 times smaller than the magnitude at
372 f_0 . For harmonics beyond f_3 , all magnitudes are more than 20 times smaller than the magnitude at f_0 .
373 Increasing lip force results in lower amplitudes, as was already shown for a single point in section
374 III.A.1. At f_0 the maximum magnitude at the lowest lip force is 0.44mm at the tip of the reed
375 (decreasing to 0.24mm at 5mm from the tip). For the highest lip force, the maximum magnitude is
376 0.32mm, or a factor of 1.4 lower as compared to the value obtained at the lowest lip force. At the
377 harmonics, lip force also influences the shape of the magnitude maps. At f_1 the lowest lip force
378 shows a different map than at the higher lip forces, and for f_6 the different lip forces yield very
379 different magnitude maps. Apart from that, the shape of all magnitude maps is rather similar for the
380 different lip forces.

381 The right most column of Figure 9 shows the repeat measurement with a different reed, for
382 approximately the same lip force settings as used for the second column of the figure. A very similar
383 result was obtained for a third reed. Both for the fundamental as well as for all harmonics, the
384 magnitude patterns are largely the same, but some subtle differences can be seen. At f_4 the amplitude
385 at the side tips of the reed is markedly larger in the first measurement than in the repeat
386 measurement. Especially at f_6 the vibration patterns differ: for both reeds the magnitude map
387 corresponds to a torsional mode of the reed, but amplitudes at center and side tips are markedly
388 different.

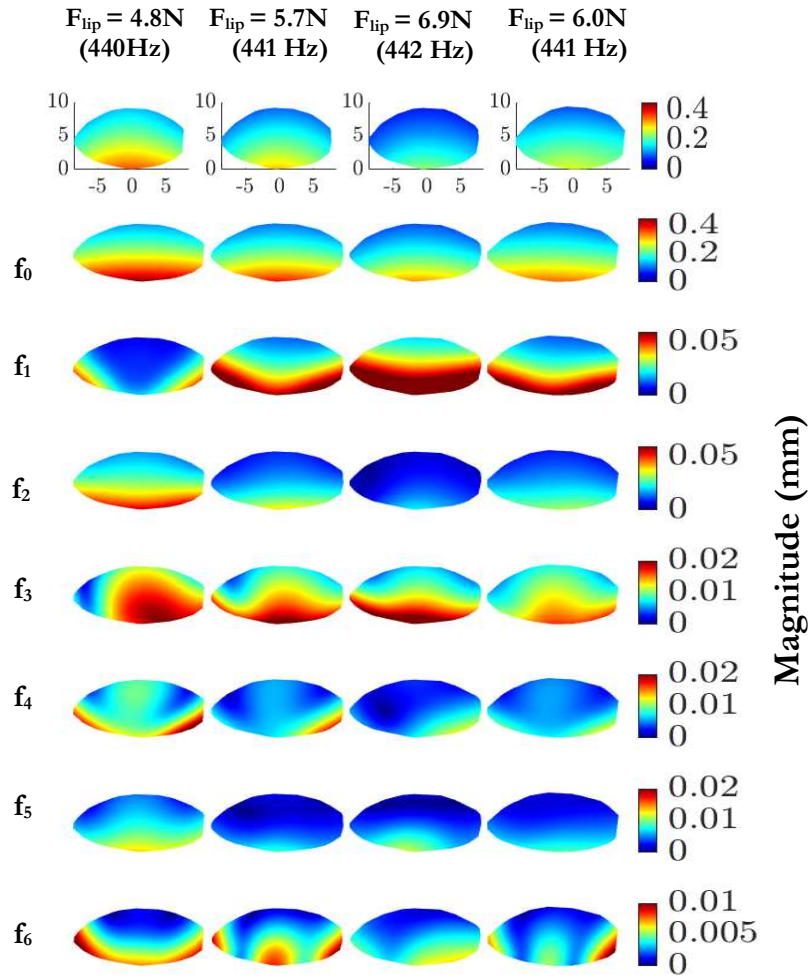


Figure 9: Measured magnitude maps (first row) and their decomposition on the fundamental and first six harmonic components for the reed vibrating at A4 on a Concept mouthpiece with different lip forces applied. The simple up-and-down movement of the first fundamental is by far the most important component in the motion, but higher vibration modes are also present and differ in relative amplitude depending on lip force. The most right column shows a repeat measurement on a different reed using approximately the same reed force as used for the data shown in the second column. (color online)

390

391 *2. Effect of mouthpiece on reed vibrations*

392 Figure 10 shows the measured magnitude maps, and their decomposition on the note fundamental
393 and its harmonics, for the Concept and Sprit mouthpiece at the note C5. The jazz mouthpiece
394 requires a higher lip force and different blowing pressure to initiate auto-oscillation, so care should
395 be taken in comparing the results. The main observation is that for both mouthpieces the reed
396 vibrates mainly in its first flexural mode again. For both mouthpieces amplitudes at the harmonics
397 are at least a factor of 5 smaller than on the fundamental. On the jazz mouthpiece, the amplitude of
398 the fourth harmonic is 66% higher than on the Concept mouthpiece. For both mouthpieces,
399 existence of small amplitude higher vibration modes can be seen at different harmonics.

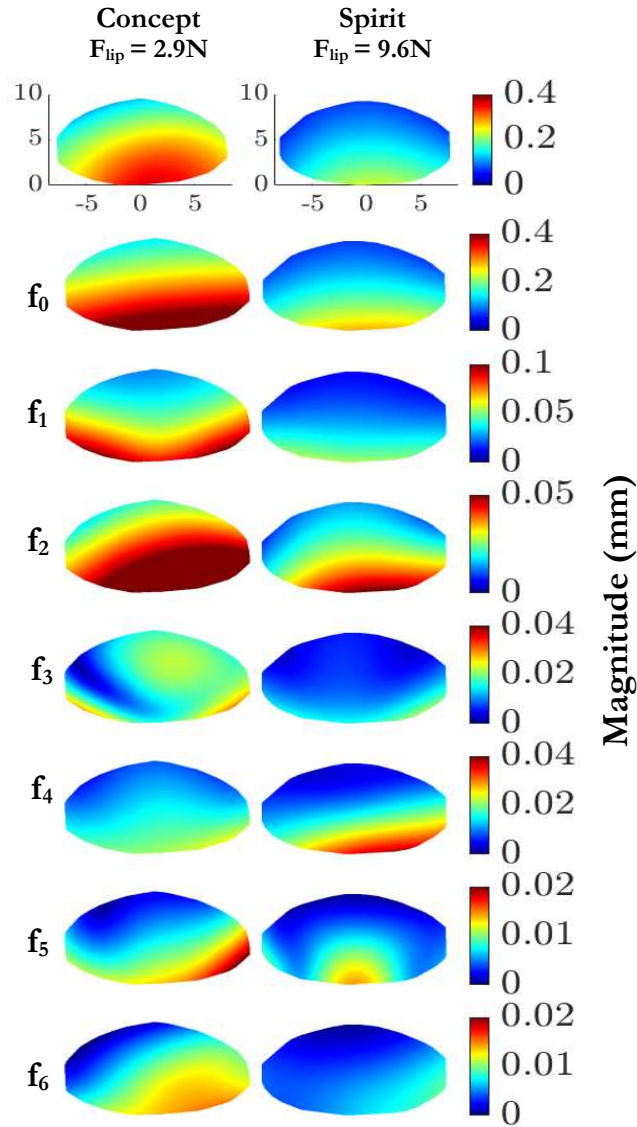


Figure 10: Measured magnitude maps (first row) and their decomposition on the fundamental and first six harmonic components for the reed vibrating at C5 on a Concept and Spirit mouthpiece. On the Spirit mouthpiece a higher lip force is needed, and vibration magnitude is smaller than on the Concept mouthpiece. The contribution at f_4 is however much higher on the Spirit mouthpiece. (color online)

401 3. *Magnitude maps for different musical notes*

402 Finally, Figure 11 shows an overview of the magnitude of the reed displacement obtained for the
403 reed mounted on the Concept mouthpiece, for all the tested notes. A similar figure for the Spirit
404 mouthpiece can be found online³ (see supplementary material). Magnitudes are again calculated at
405 the fundamental of the played note and at the first six harmonics of that note. For each
406 fundamental, and for each mouthpiece, different color scales are used to be able to represent the
407 values clearly. The figure shows that for all notes the reed vibrates in a simple up-and-down going
408 motion up till at least the second harmonic. As of the third harmonic, more complex magnitude
409 distribution patterns start to show up, especially in the higher notes. For the three lowest measured
410 notes, which are notes of the first register of the saxophone, the maximum magnitudes for f_0 are
411 respectively 0.17mm, 0.33mm and 0.34mm. For the notes F4, A4, C5, the maximum magnitudes are
412 respectively 0.45, 0.44 and 0.46mm for f_0 . For f_1 the maximum magnitudes of the second register
413 notes (F4, A4, C5) are smaller (resp. 0.06mm, 0.07mm, 0.1mm) compared to the maximum
414 magnitudes of the first register notes (resp. 0.32mm, 0.22mm, 0.24mm).

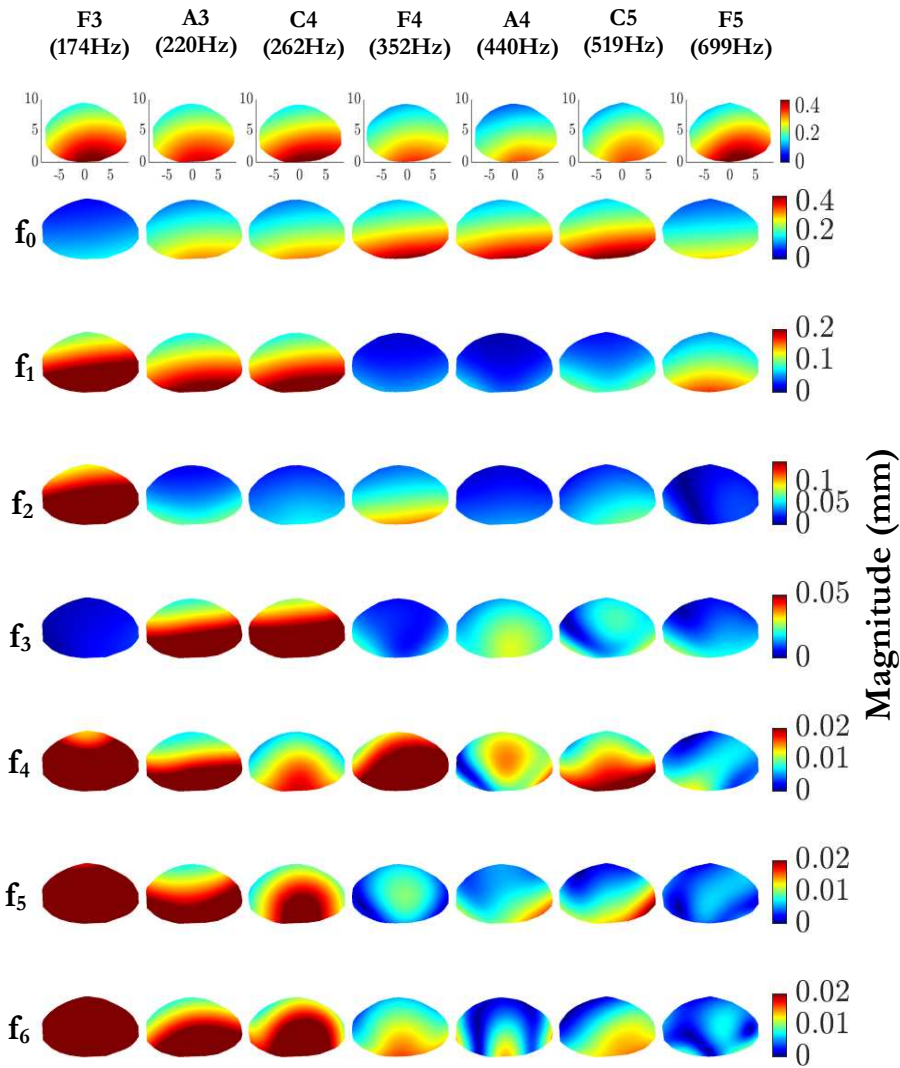


Figure 11: Measured magnitude maps (first row) and their decomposition on the fundamental and first six harmonic components, with the reed mounted on the Concept mouthpiece, with lip force applied of 2.9N. (color online)

415

416

417 **4. 3D displacement maps as a function of time**

418 As an example of the measured 3D motions a 3D animated representation of the movement of the
419 reed vibrating on a Spirit mouthpiece at F5 can be seen in Mm.1.

420 Mm.1. Animation: 3D reed motion at F5 on a Spirit mouthpiece.

421 Even at this highest tested note, the reed is essentially still moving in first flexural mode. The
422 amplitude of the higher modes is so small that they can better be seen by the harmonic
423 decompositions shown in Figures 9,10,11.

424 In Mm.2, a 3D animation is also presented of the fraction of the motion taken at the 4th harmonic of
425 the played note.

426 Mm.2. Animation: 3D reed motion at fourth harmonic of F5 measured on a Spirit mouthpiece.

427 Obviously, a very different scale had to be used to be able to show this motion. The scale of the
428 harmonic is 30 times smaller. This complex vibration pattern is superimposed on the vibration
429 pattern at the fundamental, but its amplitude is so small that it can hardly be observed in the overall
430 motion.

431

432 **5. Operational modal analysis**

433 In the previous section (III.B.), the measured vibration pattern was decomposed onto the harmonics
434 of the produced musical note. Another way to analyze the data is to perform an operational modal
435 analysis (OMA) (Brincker, 2015). The response of the structure (here the reed) due to the excitation
436 by airflow may contain many vibrational modes. This modal representation allows to quantitatively
437 evaluate the implication of the various modes (flexural/torsional) in the observed motion of the
438 reed. The benefit of an OMA is that it does not require any controlled excitation. To estimate the

439 modal parameters from OMA, the Frequency Domain Decomposition (FDD) method was used. In
440 brief, the magnitude data over the whole visible surface of the reed of each harmonic component in
441 the frequency domain is stored into a column of a matrix A . Next, a Singular Value Decomposition
442 (SVD) of this large matrix A is performed so that $A=USV'$ (Fadhil Shazmir et al., 2018) where U
443 and V are unitary matrices with U holding the shape modes. S is a diagonal matrix holding the real
444 and nonnegative singular values of A in descending order starting with the highest value at the upper
445 left corner (Brincker, 2015). Each singular value corresponds to a column vector of U which
446 represents a shape mode. Figure 12 shows the shape of these vibrational modes obtained for note
447 C5 on the Concept and the Spirit mouthpiece. Their normalized singular values in both mouthpieces
448 and for all notes are given in Figure 13 ((a) Concept, (b) Spirit). Figure 12 shows that for both
449 mouthpieces, the first operational mode is the first bending mode. The higher operational modes
450 have more complicated patterns. For the Concept mouthpiece, Figure 13(a) shows that the
451 amplitude of the second vibrational mode is nearly a factor of 36 lower than the first mode.
452

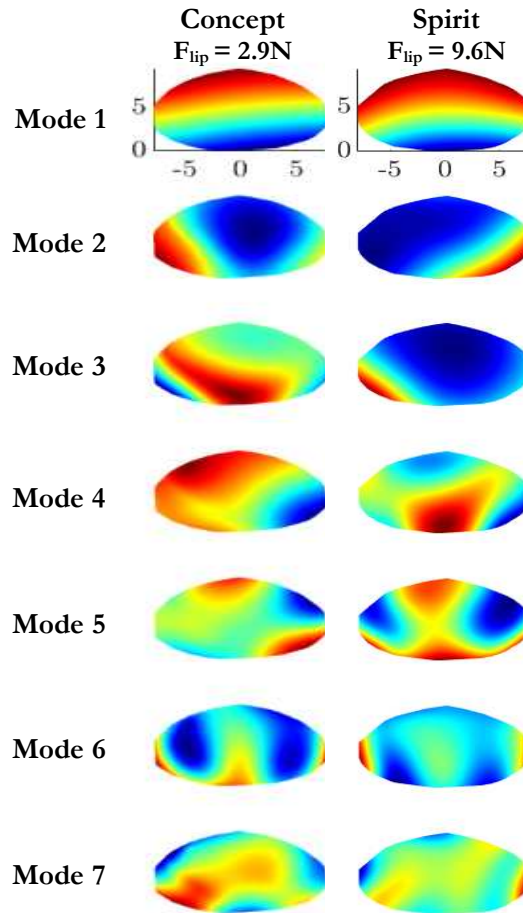


Figure 12: Shape of the first seven operational modes of the reed vibrating on the Concept (left column) and Spirit (right column) mouthpiece, for note C5. The grayscale (color online) only display the shape of the mode. On both mouthpieces, the first operational mode is the simple bending mode. The second mode is a torsional mode. Higher modes are more complicated. (color online)

454 For the Spirit mouthpiece, this factor is 39. In the supplementary material⁴, the first seven
 455 operational shape modes are shown for all measured notes on both mouthpieces. In all cases, the

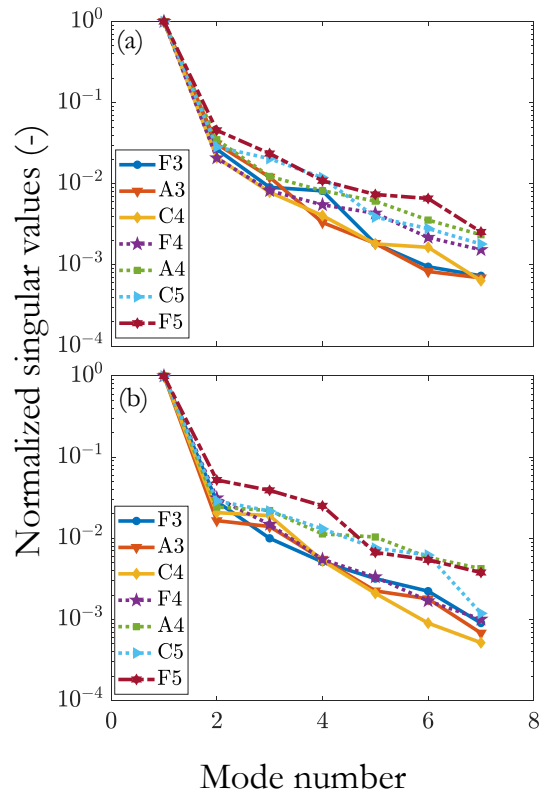


Figure 13: Relative contribution of the first seven operational modes for (a) Concept mouthpiece, (b) Spirit mouthpiece shown in Fig. 12. Results for notes in the lower register of the instrument are indicated by full lines, results for notes in the higher register are indicated by dashed lines. Because values decrease dramatically with mode number, results are shown on a logarithmic scale. The amplitude of the second mode is nearly 40 times smaller than the amplitude of the first mode. Values haven been normalized with respect to the value at the first mode. (color online)

456 first bending mode is clearly seen and by far the most dominant, being a factor of nearly 40 times
457 larger than the next mode.

458 **C. Cross-sectional views at centerline and near side rails**

459 For subsequent time steps, the top row of Figure 14 shows the position of the back side of the reed
460 along a vertical cross section taken near the side of the mouthpiece (see Figure 3). The bottom row
461 shows the positions for a cross section at the center of the mouthpiece. Results at time steps in the
462 first and second half of the vibration period are shown in the first and second column, respectively.
463 The position of the start of the artificial lip is indicated by an arrow. As the lip is curved, the y-
464 coordinate of this position is different for the two sections. As the tip of the reed is also curved, the
465 position of the start of the section is also different between the top and bottom row panes of Figure
466 14. In each pane, the mouthpiece material, at the level position where the cross section was taken, is
467 indicated in black. The projection of the entire mouthpiece on a plane parallel to the Y-Z plane is
468 indicated in gray.

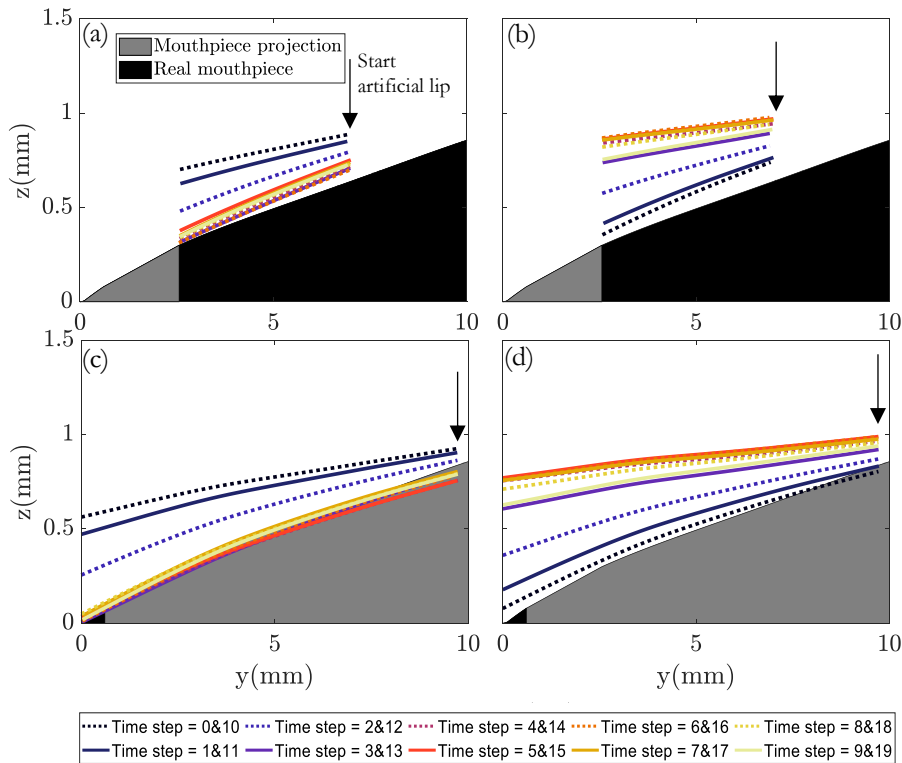


Figure 14: cross sections along the Y-axis of the back side of the reed, measured at the subsequent time steps indicated in Figure 8. Top row shows motion of the section taken at the side of the reed, bottom row shows motion of the section at the center of the reed (location of the section as indicated in Fig. 3). Left and right panel respectively show the first and second half of the vibration cycle. To better distinguish subsequent curves, data obtained at even time points are indicated with dashed lines and data at odd time points are indicated using full lines. The black zone indicates the mouthpiece material at the location of the section. The gray zone indicates the projection of the mouthpiece shape onto the Y-Z plane. The position of the start of the lip is indicated by arrows. (color online)

470 To obtain the cross sections at the backplane of the reed, the reed thickness profile is subtracted.
471 This reed thickness profile is obtained from a separate DIC measurement in which the reed is placed
472 on a flat reference surface. The DIC measurement accuracy on the line profiles near the edge is less
473 good than on smooth surfaces, and the thickness is obtained from a separate DIC measurement,
474 reducing the measurement accuracy to about 15 micrometers in the reference frame of the
475 mouthpiece. Each cross section corresponds to a subsequent time step: to better distinguish
476 between the different profiles, different gray values and line shapes have been used, as defined in the
477 legend of the figure (color online). It is important to notice that to better visualize details, the Z-axis
478 has been expanded with a factor of 5 with respect to the Y-axis.

479 At the front the reed almost completely closes against the mouthpiece. The gap is less than 15 μm .
480 Along the visible part of the reed, the gap slightly increases to a value of about 50 μm at the position
481 $y=7\text{mm}$ for the lowest cross-section position in the first half part of the vibration period.
482

483 Figure 14(c) and (d) show the position of the back plane of the reed for a cross section along the Y-
484 axis taken in the center. Different gray values and line shapes are again used to indicate profiles
485 measured at subsequent time steps. At time step 3 the reed touches the mouthpiece. For several time
486 steps the back side of the reed is lower than the position of the side rails of the mouthpiece. For
487 time step 5 (when the bounce effect occurs) the reed's back side profile at $y=9\text{mm}$ lies 70 μm below
488 the level of the facings of the mouthpiece.
489

490 IV. DISCUSSION

491 A. Methodology

492 1. *Lip design*

493 The results shown in this paper were acquired with a custom-developed artificial lip. The lip has a
494 significant influence on the reed motion. When a lip is made from a material with a higher stiffness,
495 the lip force can be smaller to initiate auto-oscillation of the reed, but the produced sound is affected
496 as well. Moreover, the lip needs to be useful over the different registers of the saxophone. In a
497 previous paper, little information was found about the lip design and its material parameters (Kobata
498 and Idogawa, 1993). (Almeida et al., 2013) used a lip of polyurethane foam with a rectangular
499 geometry. In this work, the lip design was based on lip prints taken from experienced players to
500 determine the contact zone of the lip on the reed. Based on these prints the lip had a semi-circular
501 ending and was placed at approximately 10 mm from the reed rim. On basis of qualitative
502 preparatory experiments, a silicone material with hardness Shore A ≈ 0 was used which was found to
503 be in the same stiffness range as a natural lip. For the present study it was sufficient to use a lip
504 which allows to set the reed into vibration over a broad range of notes and with physiologically
505 acceptable lip forces and blowing pressures. The lip design produced comfortably the musical notes
506 over a broad playing range. The applied lip forces in the experiment are in the range of 2-7N on the
507 Concept mouthpiece and 10-13N on the Spirit mouthpiece. Other authors have reported lip forces
508 in the range of 1-7N for the clarinet (Mayer, 2003), using a Plasticover reed with strength 5, but
509 specifications of the lip are not mentioned in the paper. As the influence of the lip is clearly
510 important, further systematic investigation of lip shape and material parameters will be most
511 relevant, but this is beyond the scope of the present paper.

512

513 2. *Repeatability*

514 Figure 4 showed the motion of the center of the tip of the reed as a function of time, for three
515 different new reeds. The three measurement results are very similar, and the difference in peak-to-
516 peak amplitude is less than 10%. The final column of Figure 9 showed a repeat measurement of full-
517 field vibration amplitude for a different reed under the same circumstances as the sample presented
518 in the first column. The overall amplitude distribution, and the amplitude distribution at the lower
519 harmonics (until f_2) is very similar. At the higher harmonics, repeatability is less good. Similar results
520 were obtained for a third reed. As a whole, the repeatability measurements show that vibration
521 amplitudes differ only little between different reed samples, but small effects of shape and mounting
522 do have an influence on the low-amplitude higher harmonics. (Gazengel et al., 2016) found far larger
523 variability in natural cane reeds. In such reeds, the density and orientation of fibers, and hence the
524 mechanical characteristics, can differ rather strongly from one sample to the next, even though the
525 external profile of the reeds is milled into the same shape to a very high precision. For the synthetic
526 reeds, differences in mechanical behavior are caused only by tolerances in the milling process of the
527 reed shape, as mechanical parameters of the synthetic materials can be expected to be nearly
528 identical over all samples.

529 3. *Reed excitation*

530 The blowing pressure is actively regulated by a PID feedback circuit using proportional valves, but it
531 can still slightly change over time. This and other mechanical variations may lead to small changes of
532 the reed vibration frequency, which in turn could result in some blurring of the phase at which
533 measurements are obtained within the vibration period. The acquisition of the 20 phase steps takes
534 about 50 s in total. A laser vibrometer was used to constantly measure the full vibration signal at one
535 point of the reed. The Fourier spectrum of this measurement showed that the deviation of the

536 vibration frequency over a period of 50 seconds is less than 0.25%, so phase blurring is also smaller
537 than this number.

538 In experiments using acoustic stimulation, the input pressure driving the reed is well defined, and
539 the input/output relationship between pressure and reed motion can be precisely determined. In the
540 current experiments the driving pressure is not known; it is the result of the aerodynamic properties
541 of the mouthpiece, the acoustic properties of the mouthpiece and the instrument, and of the reed
542 motion itself. Therefore, no conclusions can yet be drawn as to the relationship between the motion
543 of the reed and the driving pressure.

544 **4. Data analysis**

545 The DIC measurements are performed on 20 phase steps, so according to the Nyquist criterion,
546 undersampling artifacts can occur if the reed vibration contains frequency components starting from
547 10 times the fundamental frequency. The duty cycle of the LED illumination is set to 1% of the
548 vibration period, and motion of the reed within this time frame is integrated on the camera sensor.
549 This integration functions as an analog low-pass filtering (much the same as a low-pass filter
550 preceding an A/D converter), so that no higher frequencies than 200 times the fundamental
551 vibration frequency can enter the system. This however still leaves a gap between the analog low-
552 pass filtering cut-off and the highest frequency that can be reliably measured at 20 samples per
553 period. In a preparatory investigation it was checked that no undersampling artifacts were recorded.
554 A single point laser vibrometer (Ukshini and Dirckx, 2021) was used to check the entire spectral
555 content of reed vibration on several points, using a sampling frequency of 100ks/s. These
556 measurements showed that for frequencies 10 times the fundamental and beyond, amplitudes are far
557 smaller than what can be detected by the DIC system, so they cannot influence the amplitudes
558 calculated at the lower frequencies. Results shown for the higher harmonics need to be interpreted
559 with caution. Although they theoretically meet the Nyquist criterion, the exact amplitude values are

560 subject to noise as data are based on just a few samples per period. The main purpose of the
561 decomposition on the note harmonics is to demonstrate that reed vibration at these harmonics is far
562 smaller than on the fundamental.

563

564 **B. Single point analysis of vibration cycles**

565 *1. Center motion as a function of time*

566 The periodic motion of the reed was found to be highly non-sinusoidal. The shape of the vibration
567 curve depends on which note is excited, blowing pressure, lip force and on mouthpiece design. The
568 acoustic pressure in the instrument and mouthpiece has an important effect on the vibration
569 behavior of the reed, which makes testing of reed vibration under imitation of realistic
570 circumstances and using airflow excited vibration relevant.

571 Figure 4 showed the position of the back side of the reed tip relative to the mouthpiece, measured
572 on a point at the center and for the reed vibrating at note A4. The reed is practically in contact with
573 the mouthpiece for nearly a quarter of the vibration period: between relative phases $\frac{3\pi}{10}$ and $\frac{\pi}{2}$.

574 Within this contact period, a very small bouncing effect can be seen, with an opening of less than
575 $30\mu\text{m}$ between the reed and the mouthpiece tip. A similar effect was observed in the simple two-step
576 regime of the clarinet reed (Picart et al., 2007, 2010), where also a small bounce of about $50\mu\text{m}$ was
577 observed during the closing phase. The curves shown in Figure 4 are similar to the single two-step
578 regime measured by (Colinot et al., 2020) under natural playing conditions. Figure 6 showed the data
579 obtained at note F3. In this case, the special double two-step regime is observed. A bounce effect of
580 $52\mu\text{m}$ was seen for the lowest lip force. Within the time resolution of 20 steps per period, no
581 secondary openings are seen when the reed touches the mouthpiece.

582 The displacement of clarinet reeds in playing conditions lies between 0.1-0.5mm (Bucur, 2019;
583 Gazengel et al., 2016). The order of magnitude of displacement of the alto saxophone reed was the
584 same, yet higher displacements (values up to 0.9mm) were found for different tested notes.

585

586 The frequency spectra of different notes revealed that the oscillation of the reed is dominated by the
587 first two or three harmonics. It is beyond the scope of the present study to investigate the effect of
588 different blowing pressures, but this variable can also influence harmonic content of produced
589 sound and reed vibration.

590 In Section III.A.3., single point measurements were compared between different mouthpieces.

591 Different lip forces had to be used to obtain oscillation which hinders direct comparison. However,
592 Section III.A.2. showed that lip force influences the vibration amplitude, but the shape of the
593 position curve as function of time is largely force independent. Therefore, relevant comparison
594 between mouthpieces can be made despite of the different lip forces used. Figure 7 showed that the
595 time dependence of reed motion is significantly different for the two mouthpieces.

596

597 For the different mouthpieces, it was seen that the vibration cycle of the reed attached to a Concept
598 mouthpiece remains closed for a longer fraction of the vibration period as compared to the Spirit
599 mouthpiece. For the Concept mouthpiece, the reed closes for about 35% of the vibration cycle. For
600 the Spirit mouthpiece the closing time is only 25% of the cycle. The Spirit mouthpiece has a
601 markedly larger tip opening (distance between mouthpiece tip and reed in rest position) and
602 different internal geometry than the Concept mouthpiece. The measurements show that this plays
603 an essential role in the motion of the reed.

604

605 **C. 3D vibrations**

606 *1. Effect of lip and mouthpiece*

607 Section III.C examined the full field reed displacement. By analyzing the individual contributions of
608 each frequency component to the total displacement, it is seen that the fundamental frequency
609 component is by far the highest in magnitude. Different musical notes showed different
610 contributions of harmonic content. Figure 9 showed that the shape of the magnitude maps
611 measured for different lip forces on the same mouthpiece are all rather similar, except for the sixth
612 harmonic. Although the Nyquist criterion is still met for this harmonic, the number of time data
613 points becomes low, and additionally the measured amplitude is on the edge of the measuring
614 resolution. Therefore, the result needs to be interpreted with care. Nevertheless, the figure shows
615 that under certain lip forces a higher torsional mode can become apparent in the higher note
616 harmonics, and that such mode is strongly influenced by lip force, but its amplitude is at least a
617 factor of 40 below the amplitude of the simple flexural mode.

618
619 (Wilson and Beavers, 1974) found that there are two types of excitable modes at operation in single
620 reed woodwind instruments. The first type is near the natural frequency of the reed and the second
621 type near resonance frequencies of the tube of the instrument which are lower than the reed's
622 natural frequencies. Further they showed that if the reed is lightly damped, the reed will vibrate at its
623 own natural frequencies. The importance of the lip is further explicitly cited. When reeds are heavily
624 damped, instruments such as the saxophone and clarinet operate at resonance frequencies of the
625 instrument's tube. Generally, the air column within the instrument has several natural frequencies,
626 part of them being able to support the auto-oscillation (Facchinetti et al., 2003; Wilson and Beavers,
627 1974).

628 (Facchinetti et al., 2003) performed a numerical analysis of the reed and pipe of a clarinet. The
629 modal acoustic pressure at an eigenfrequency of 4119Hz was shown for a reed/mouthpiece system
630 coupled to a short open portion of a pipe. At this mode, a behavior of the reed similar to the first
631 torsional mode was seen. Nonlinear phenomena such as the contact forces between the reed and
632 mouthpiece, as well as lip forces were excluded from the analysis.

633
634 In the experimental investigation under mimicked realistic playing conditions, the results indicated
635 that the reed is essentially moving in the simple first bending mode. The first torsional mode was
636 observed in Figure 9 with the lowest lip force. When the lip force was increased, the first torsional
637 mode did not appear in the measurements. The maximum magnitude for the first torsional mode
638 was 0.048 mm measured on the side being 9 times smaller compared to the maximum magnitude at
639 the fundamental f_0 .

640
641 The magnitude maps at the harmonics which have a major contribution to the motion of the reed,
642 all show a simple in-phase motion over the entire measured surface, corresponding to the first
643 flexural mode. Therefore, the results demonstrate that the behavior of the reed is mainly determined
644 by the first flexural mode of the reed and only marginally by its higher modes. However, by
645 decomposing the full motion of the reed in different harmonic components it was noticed that the
646 reed motion can also to a small extent be influenced by the reed's own resonances.

647
648 Many players have the tendency to use softer reeds on mouthpieces with larger openings, but some
649 players also use the same reed strength, or use different strengths on the same mouthpiece
650 depending on playing circumstances and music style. Within the scope of the present paper, it was
651 the aim to demonstrate that mouthpiece geometry can have an important effect on reed vibration.

652 To focus purely on mouthpiece effect, the data given in Figure 10 were gathered using the same reed
653 strength. For the two mouthpieces, different vibration amplitudes are found, but in both cases the
654 first flexural mode is dominant at all notes. The figure should not be used to draw conclusions
655 concerning mouthpiece performance.

656
657 As pointed out in literature (Chaigne and Kergomard, 2016; Fletcher and Rossing, 1998), a
658 mouthpiece with a larger tip opening such as the Spirit mouthpiece needs higher lip pressure or
659 higher blowing pressure, or a combination of both, to initiate auto-oscillation. When using the same
660 reed strength on both mouthpieces, auto-oscillation starts when blowing pressure reduces the reed
661 channel to about one third of the height (relative to the rest state with lip pressure applied). The size
662 of the tip opening therefore is one of the main design parameters of the mouthpiece, together with
663 the distance between the baffle and the backside of the reed. On the Spirit mouthpiece, the vibration
664 amplitude is smaller than on the Concept mouthpiece, but the channel between the reed and the
665 mouthpiece is open for a longer period of time, so these two parameters are strongly inversely
666 correlated. The size of the tip opening is of major importance, and therefore also on the overall
667 vibration behavior of the reed.

668
669 In general, the figures demonstrate the important influence of the mouthpiece: both the maximum
670 magnitudes and the spatial distribution of the magnitude over the reed surface differ between the
671 mouthpieces although the reed is driven at the same fundamental frequencies. The relative values of
672 the magnitude at the higher harmonics are also different for both mouthpieces, emphasizing that
673 mouthpiece geometry influences the vibrational behavior of the reed.

674

675 *2. Magnitude maps at different musical notes*

676

677 Note frequency sometimes deviates slightly from the defined frequency due to small changes in
678 tuning of the instrument, but the deviation is smaller than 15 cents or about 1% of the note
679 frequency for the Concept mouthpiece for the same lip force. It was shown that the pitch of the
680 instruments can be influenced by the lip force applied as well. The precision of perceptibility in pitch
681 varies largely between human beings. (Loeffler, 2006) explains that humans can distinguish a
682 difference in pitch of about 5–6 cents. The threshold of what is perceptible, known as the just
683 noticeable difference (JND), also varies as a function of the amplitude, the timbre and frequency
684 (Emiroglu, 2007). The precision differs for each individual and depends on the level of training of
685 the auditory skills. In a melodic context, intervals of less than a few cents are imperceptible to the
686 human ear. However, in harmony very small changes can cause large changes in beats and roughness
687 of chords (Benson, 2006).

688 On the alto saxophone (unlike the clarinet), the fingering (opened and closed tone holes) for notes
689 F3 till Eb4 is the same as for notes F4 till Eb5, apart from one small valve (the “octave valve”) in
690 the neck of the instrument which is opened to play the notes F4 till Eb5. A somewhat experienced
691 player can even excite the octave notes without opening the valves (like on the traverse flute), just by
692 changing lip force, air pressure and vocal tract manipulations (Chen et al., 2011; Scavone et al.,
693 2008). The note F5 is again played with the octave valve closed but using an entirely different
694 fingering (side valves on the top part of the saxophone body).

695

696 Figure 11 showed that for both mouthpieces the vibration patterns can be divided into two main
697 categories. For F3, the magnitude at the first harmonic can be as large as the fundamental note
698 frequency. For notes F3, A3 and C4 the vibration at the second harmonic is important, with values

699 up to about half of the values at the fundamental. As of F4, when the octave valve is opened, the
700 pattern completely changes and the amplitude at the first harmonic becomes nearly 5 times smaller
701 as compared to the amplitude at the fundamental. For the note F5, which is again played with octave
702 valve but with other fingering than F4, the amplitude at the second harmonic again becomes more
703 important. When exciting the reed at different notes the acoustic feedback of the instrument is
704 altered. The result demonstrates the important influence of the presence of the entire instrument on
705 measured reed vibration.

706

707 *3. Natural modes*

708 At some of the higher harmonics, more complex distributions of the vibration magnitude are seen,
709 corresponding to higher vibration modes of the reed. In the work of (Taillard et al., 2014),
710 vibrational modes of the clarinet reed were measured and calculated over a broad range of
711 frequencies. Using holographic techniques, (Taillard et al., 2014) measured different vibration modes
712 and their mixtures and showed computational simulations of the different resonance frequencies of
713 the clarinet reed without damping. As an example, four of these simulated results for the clarinet
714 have been added to the supplementary material.⁵ The black line in the figure delineates the zone in
715 which measurements were made in the current paper (on saxophone reeds). When comparing this
716 oval-shaped part to the magnitude maps presented in Figure 11, it is seen that the first flexural mode
717 is recognized at all fundamentals and at many harmonics. Further, the measurements show that the
718 first flexural mode is dominant for all notes up till the second harmonic. So, although for F5 the
719 second harmonic is above 2000Hz, the reed is still mainly vibrating in first flexural mode. This
720 possibly happens because of the presence of the lip, making the vibrating part of the reed
721 significantly shorter than for a free vibrating reed and thus pushing the resonance frequencies of
722 higher vibration modes to higher values. The magnitude patterns at the reed tip observed by

723 (Taillard et al., 2014) for higher vibration modes can be recognized for some of the note harmonics
724 in Figure 11. At the sixth harmonic of A4 (3094Hz) on the Concept mouthpiece, for instance, the
725 typical pattern of the second torsional mode is clearly seen. At some other harmonics, mixtures of
726 higher vibration modes appear. For most of the notes and harmonics, the simple flexure mode is
727 seen, often with a small asymmetry. The distribution of the higher modes over the notes and
728 harmonics is very different for the Spirit and the Concept mouthpiece.

729

730 For the Concept mouthpiece the higher harmonics showed a pattern similar to the first torsional
731 mode of the reed. This pattern was also found in the investigations of (Pinard et al., 2003) for free
732 vibrating clarinet reeds. The authors performed a study on the reed's resonances of different reeds
733 and concluded that 'good' and 'very good' reeds show a resonance at the first torsional mode. 'Poor'
734 and 'very poor' reeds did not show a strong resonance at the first torsional mode. The current
735 results show that also in realistic playing conditions the reed motion can be influenced by the natural
736 modes of the reed. This was noticed for example when the reed was mounted on the Concept
737 mouthpiece. When the same reed is mounted on the Spirit mouthpiece, the first torsional mode is
738 no longer excited. On a qualitative level, the reed motion in realistic playing conditions is slightly
739 influenced by the first two transverse modes and generic modes, as seen in the magnitude maps of
740 the higher harmonics.

741

742 *4. 3D displacement maps as a function of time and lip force*

743 As an example, Mm.1 showed a 3D animated representation of the movement of the reed vibrating
744 on a Spirit mouthpiece at F5. Even at the highest tested note, the reed is still vibrating mainly in its
745 first flexural mode. Figure 11 showed that the higher vibrational modes can be present at some
746 harmonics, but in far smaller amplitude than the first flexural mode. Mm.2 demonstrates that the

747 motion at some of the harmonics becomes much more complicated, but with a very small
748 amplitude. Without decomposition of the vibration onto the harmonics of the played note, the
749 presence of other harmonics is practically impossible to discern in the measured vibration pattern.

750
751 Increasing lip force (2.9N-6.7N) slightly increased the playing frequency with 2Hz. In (Benade,
752 1990), the author stated that changes of the reed's natural frequency (e.g. lip force) can produce
753 small but parallel changes in the air column modes of the instrument that are situated below the
754 reed's natural frequency. The paper explains that these changes become larger for the higher modes
755 that are situated nearer to the reed's natural frequency. The current results demonstrate that
756 increasing lip force has a larger influence on the higher harmonics of the reed motion which lie
757 closer at the eigenfrequencies of the reed.

758 *5. Operational modal analysis*

759
760 Figures 12 and 13 showed that the first bending mode of the reed is by far the most important,
761 followed by the first torsional mode which has an amplitude of nearly 40 times smaller. On the
762 Concept mouthpiece, the second mode shows a very symmetrical and similar behavior for nearly all
763 tested notes. Only at F5 (see supplementary material) the mode shape differs a bit from simple
764 symmetrical torsion. On the Spirit mouthpiece the second mode shows asymmetric bending at all
765 notes. For some notes the amplitude is largest at the left side of the reed, for others on the right
766 side. In general, on the Spirit mouthpiece all higher modes show more variability between the notes.
767 On both mouthpieces, the vibration modes beyond the first two modes have more complicated
768 patterns. It needs to be noted however that their amplitude is very small and that the calculation is
769 based on the 20 time steps within one vibration period, which increases the uncertainty of the
770 result.

771

772 In Figure 13, results obtained for the notes in the lower register of the instrument (F3, A3, C4) are
773 indicated with full lines, the higher notes are indicated with dashed lines. As of the third mode, the
774 relative contribution of the higher operational modes for notes in the higher register decreases less
775 as a function of mode number than for notes in the lower registers. In the Spirit mouthpiece the
776 difference is somewhat less systematic. Between the first and the second mode, the decrease in
777 relative contribution averaged over all notes is a factor of 35.8 ± 9.6 for the Concept mouthpiece
778 and 38.7 ± 13.1 for the Spirit mouthpiece, or 30.8 ± 2.4 dB and 31.3 ± 3.0 dB. As of the second
779 mode, the decrease in relative contribution is nearly linear on the logarithmic scale. For the notes in
780 the lower register the averaged decrease is 6.4dB per mode number and 6.1dB per mode number for
781 the Concept and the Spirit mouthpiece respectively. For the higher register notes this decrease is
782 4.6dB per mode number and 4.8dB per mode number.

783

784 *D. Reed vibration profiles along the Y-axis*

785

786 For the sake of clarity, the Z-axis in Figure 14 was expanded over a factor of 5 with respect to the
787 Y-axis. Consequently, the mouthpiece top profile also looks much more curved than in reality, and
788 openings between the reed and the mouthpiece are exaggerated. Figure 14(a) & (b) show that along
789 its entire visible length (the part that is not covered by the lip), the reed backplane side profile nearly
790 follows the top profile of the mouthpiece when the reed is in its lowest position. Because of the
791 curved shape of the reed tip, the profile measurements at the side start at about 2.5 mm from the
792 origin. At its tip, the reed completely rests on the mouthpiece, but along the Y-direction a small
793 vertical opening between reed and mouthpiece side rails gradually develops. At 7mm from the

794 mouthpiece tip, the opening is about 50 μm wide. Measurements at positions further along the Y-
795 axis were not possible, because there the lip covers the reed front surface.

796 Figure 14(c) and (d) showed that also at its center profile, the back surface of the reed touches the
797 mouthpiece tip when it is in its lowest position. Further on, the reed backplane is for different time
798 steps even below the surface of the mouthpiece rails, indicating reed bending along the X-axis. Cross
799 sections along the X-axis confirmed the presence of this bending and at position $y = 9\text{mm}$ the
800 center of the reed is 70 μm below the top surface defined by the facings of the mouthpiece. This
801 demonstrates that at the lowest position, the motion of the reed becomes distinctly different from
802 what is measured on free vibrating reeds. During this part of the vibration cycle, the boundary
803 conditions change. Before the reed touches the mouthpiece, it has a free boundary condition apart
804 from the zone where it is touched by the lip. In the part of the vibration cycle where the reed is in its
805 lowest position, boundary conditions change from free into supported over a major part of the reed
806 tip circumference. This can be one of the causes why the reed bends along the x-axis.

807 As a whole, Figure 14 shows that in normal playing conditions, the reed nearly closes against the
808 mouthpiece over its entire circumference but, away from the tip, a thin slit exists between reed and
809 mouthpiece. Through such a slit, air can pass from the mouth to the mouthpiece, which may act as a
810 source noise in the sound of the instrument.

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816 **V. CONCLUSION**

817 Full field vibration measurements were shown on the visible part of alto saxophone reeds vibrating
818 under imitation of natural conditions of airflow and lip force. The motion of the reed is highly non-
819 sinusoidal, and the gap between the reed and the mouthpiece can close for a significant part of the
820 vibration cycle. The shape of the mouthpiece and the lip force change both the amplitude of the
821 reed vibration and its harmonic content. For all notes and both mouthpiece shapes, the simple first
822 flexural vibration mode of the reed is predominant, but at higher note harmonics other vibration
823 modes can be present. These modes contribute to the overall timbre of the produced sound. The
824 vibrations of the reed set into motion by airflow and loaded with a visco-elastic lip differ strongly
825 from motions of an acoustically driven free vibrating reed. The acoustic feedback of the instrument,
826 together with the acoustic and hydrodynamic properties of the mouthpiece have an important role
827 on reed vibration. The measurement method allows to study the effect of different mouthpiece
828 geometries and can deliver important data for parametric design improvement of mouthpieces.
829 Previous work has shown that the main difference between high quality artificial reeds and natural
830 cane reeds is the fact that in natural cane the Youngs modulus in transversal direction is much
831 smaller than in longitudinal direction. As the current work shows that the first bending mode is far
832 more important than any of the higher reed modes, this could indicate that the ratio of longitudinal
833 versus transversal stiffness is not of major importance. This can be a reason why artificial reeds
834 perform well, despite of the important difference in transversal stiffness with natural reeds.

835

836

837 Coming back to the research questions, the conclusions can by summarized as follows:

- 838 • Under airflow-induced auto oscillation and in presence of lip force, mouthpiece and
839 instrument, the reed vibrates mainly in its simple first flexural mode for all note pitches. This

840 is very different from the vibrations observed on free vibrating reeds. Amplitudes of higher
841 vibration modes observed at note harmonics are at least a factor of 8 smaller than the
842 amplitude of the first flexural mode. The operational modal analysis showed that higher
843 modes have a nearly 40 times smaller contribution compared to the first operational mode
844 which is the first flexural mode of the reed.

- 845 • The primary influence of mouthpiece geometry and lip force is on the amplitude of the reed
846 motion, but effects are also present on the 3D amplitude maps at the higher harmonics of
847 the played note.
- 848 • During a major part of the vibration cycle, the reed tip closes against the mouthpiece. For
849 positions away from the tip, a small gap develops between reed and mouthpiece upper
850 surface.
- 851 • As the reed mainly vibrates in its first flexural mode for all notes, a single point measurement
852 can be used as a good first-order representation of reed motion at all note pitches.

853

854

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858 Barrie, Ontario, Canada) for putting reeds to our disposal.

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863 **SUPPLEMENTAL FILES**

864 ¹ See supplementary material at [URL will be inserted by AIP] for the measurement precision of the
865 DIC algorithm for an out of plane displacement caused by a uniform translation of 700 μm .

866 SuppPub.1

867
868 ² See supplementary material at [URL will be inserted by AIP] for full field animations of reed
869 motion for different musical notes. SuppPubmm1-13.

870
871 ³ See supplementary material at [URL will be inserted by AIP] for magnitude maps of reed vibration
872 at the fundamental and the first 6 harmonic components, with the reed mounted on the Spirit
873 mouthpiece, with applied lip force of 9.6N. SuppPub.2

874
875 ⁴ See supplementary material at [URL will be inserted by AIP] for mode shapes of the first seven
876 operational modes of the reed vibrating on the Concept and Spirit for different notes. The color
877 scales only display the shape of the mode. The first operational mode is the simple bending mode.
878 The second mode is a torsional mode for most of the tested notes. Higher modes are more
879 complicated. SuppPub. 3 & 4

880
881 ⁵ See supplementary material at [URL will be inserted by AIP] for simulated natural modes of a
882 clarinet reed (results from the paper by (Taillard et al., 2014) reprinted with permission of the
883 authors.). The black line delineates the portion of the reed on which measurements were made in the
884 current paper. (a) first flexural mode, (b) first torsional mode, (c) second torsional mode, (d) second
885 generic mode magnitude distributions. SuppPub. 5

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980

981 **FIGURE CAPTIONS**

982

983 Figure 1: Top view schematic representation of the measurement setup. Two cameras (C1 & C2)
984 observe the reed motion. The mouthpiece is positioned inside a pressurized transparent box and is
985 turned in such a way that the reed faces the cameras. (color online)

986

987 Figure 2: Coordinate system in which the data is presented in. The origin of the x-axis is set at the
988 middle of the mouthpiece tip. The z- axis is chosen perpendicular to the surface of the mouthpiece
989 table, with its origin at the level of the mouthpiece tip. The dotted line indicates the level of the
990 mouthpiece table. (color online)

991

992 Figure 3: Top view on a reed with the artificial lip in place. The black line indicates the
993 circumference of the zone in which 3D vibration data are measured. The dotted lines indicate the
994 locations of cross sections along which reed motion will be shown (Section III.C). The artificial lip is
995 indicated with gray. (color online)

996

997 Figure 4: Position of the backside of the reed tip center as a function of time measured at note A4
998 for three different lip forces on a reed mounted on the Concept mouthpiece. Measurements are
999 repeated using three different reeds with the same nominal strength. Repeat measurements are
1000 indicated using the same markers and line colors. (color online)

1001

1002 Figure 5: Frequency spectra for A4 measured at (a) the vicinity of the reed tip and (b) bottom left
1003 side of the reed. The fundamental and the first six harmonics are shown. Different markers are used

1004 to show the different lip forces. Repeat measurements with different reeds are indicated by the same
1005 markers. The DC component is shown as well. (color online)

1006 Figure 6: Position of the backside of the reed tip center as a function of time measured at note F3
1007 for two different lip forces on a reed mounted on the Concept mouthpiece. The markers indicate
1008 the measured time steps. The double two-step vibration regime is seen. (color online)

1009

1010 Figure 7: Position of the reed bottom for different lip forces and different mouthpieces measured at
1011 note A4. When the reed is attached to the Concept mouthpiece the reed gap remains closed for a
1012 longer fraction of the vibration period than when the reed is mounted on the Spirit mouthpiece.

1013 (color online)

1014

1015 Figure 8: Position of the backside of the reed tip center as a function of vibration phase measured at
1016 note C5. Dots and triangles indicate the time steps at which measurements were made (subsequent
1017 gray values and symbols correspond to reed cross sections shown in Figure 14) and are labeled from
1018 0 to 20. Each subsequent time step number corresponds to a phase increase of $2\pi/20$. The reed tip
1019 nearly touches the mouthpiece for more than a quarter of the vibration period. (color online)

1020

1021 Figure 9: Measured magnitude maps (first row) and their decomposition on the fundamental and
1022 first six harmonic components for the reed vibrating at A4 on a Concept mouthpiece with different
1023 lip forces applied. The simple up-and-down movement of the first fundamental is by far the most
1024 important component in the motion, but higher vibration modes are also present and differ in
1025 relative amplitude depending on lip force. The most right column shows a repeat measurement on a
1026 different reed using approximately the same reed force as used for the data shown in the second
1027 column. (color online)

1028 Figure 10: Measured magnitude maps (first row) and their decomposition on the fundamental and
1029 first six harmonic components for the reed vibrating at C5 on a Concept and Spirit mouthpiece. On
1030 the Spirit mouthpiece a higher lip force is needed and vibration magnitude is smaller than on the
1031 Concept mouthpiece. The contribution at f_4 is however much higher on the Spirit mouthpiece.
1032 (color online)

1033 Figure 11: Measured magnitude maps (first row) and their decomposition on the fundamental and
1034 first six harmonic components, with the reed mounted on the Concept mouthpiece, with lip force
1035 applied of 2.9N. (color online)

1036 Figure 12: Shape of the first seven operational modes of the reed vibrating on the Concept (left
1037 column) and Spirit (right column) mouthpiece, for note C5. The grayscales (color online) only
1038 display the shape of the mode. On both mouthpieces, the first operational mode is the simple
1039 bending mode. The second mode is a torsional mode. Higher modes are more complicated. (color
1040 online)

1041 Figure 13: Relative contribution of the first seven operational modes for (a) Concept mouthpiece,
1042 (b) Spirit mouthpiece shown in Fig. 12. Results for notes in the lower register of the instrument are
1043 indicated by full lines, results for notes in the higher register are indicated by dashed lines. Because
1044 values decrease dramatically with mode number, results are shown on a logarithmic scale. The
1045 amplitude of the second mode is nearly 40 times smaller than the amplitude of the first mode.
1046 Values haven been normalized with respect to the value at the first mode. (color online)

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1049 Figure 14: cross sections along the Y-axis of the back side of the reed, measured at the subsequent
1050 time steps indicated in Figure 8. Top row shows motion of the section taken at the side of the reed,
1051 bottom row shows motion of the section at the center of the reed (location of the section as
1052 indicated in Fig. 3). Left and right panel respectively show the first and second half of the vibration
1053 cycle. To better distinguish subsequent curves, data obtained at even time points are indicated with
1054 dashed lines and data at odd time points are indicated using full lines. The black zone indicates the
1055 mouthpiece material at the location of the section. The gray zone indicates the projection of the
1056 mouthpiece shape onto the Y-Z plane. The position of the start of the lip is indicated by arrows.
1057 (color online)

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