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Functional diversity and assembly rules of two deciduous seasonal forests in Southeastern Brazil

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Abstract

Deciduous seasonal forests (DSFs) have a peculiar floristic composition, with species capable of surviving periods of high water deficit in the year. Our goal was to demonstrate that abiotic filters lead to the assembly of two DSFs communities that have high floristic dissimilarity. For this, we carry out the environmental characterization of the areas and use the CWM, FRic and FDis indices for regional recognition of functional patterns. The local assessment of the assembly rules was carried out using null models. We found differences in the FRic and FDis indices between the areas, which was attributed to the different floristic influences exercised on the communities. However, in both, the typical attributes of dry formations were dominant (CWM), indicating that, on the regional scale of study, the dry season acts as a filter in the composition of species in the communities. On a local scale, stochastic dispersion was identified as the most influential mechanism in the assembly of communities. We conclude that deterministic and stochastic processes act in the assembly of the studied communities, and the proportion of each of these depends on the scale, with abiotic filtration predominating on a regional scale and stochastic dispersion events on a local scale.

Keywords: Environmental filtering, functional attributes, null model, tropical dry forests.

1. Introduction

The ecological functions exercised by plant communities are based on functional traits, which are defined as morphological, physiological, and phenological attributes of an organism that influence its individual performance (Tilman 2001, Villéger et al. 2008, Laliberté and Legendre 2010, Ricotta et al. 2014). These traits affect the fitness of individuals through their effects on growth, reproduction, and survival in certain environments (Medeiros et al. 2018, Raymundo et al. 2019; Subedi et al. 2019;). Because they are related to species niches (Soberón 2007, Thuiller et al. 2007), the variability of these functional diversity traits reflects the processes of assembling communities (Mouchet et al. 2010, Mason et al. 2013).

The species composition of a community is the result of ecological processes, known as hierarchical filters, which act at different scales and under different conditions, selecting species according to their functional traits (Keddy 1992, Gotzenberger et al. 2012). Dispersion, habitat filtering and biotic interactions are the three processes that dominate this theory (Kraft et al. 2015, Cadotte and Tucker 2017). Thus, species are filtered first by factors that act at global scales, such as randomness, historical speciation patterns, extinction, migration, and dispersion processes. At regional scales, the performance of abiotic factors, such as climate and physical restrictions of the environment, is predominant. Finally, biotic interactions (facilitation or competition) operate at the local scale (Valladares et al. 2015, Blanchard et al. 2019).

Under adverse conditions such as extreme cold or drought, a strong pattern of abiotic filtering is expected, determining the set of species capable of surviving in that particular environment. In these circumstances, the competitive hierarchy between species is increased (Menezes et al. 2020). This is because biotic interactions can be strongly influenced by the abiotic context, since the construction of communities is also

26 dependent on the relationship between the intrinsic growth rates of the species and the
27 environment. Thus, in stressful sites, the competition of a species with those better
28 adapted (higher rates of local growth) may result in patterns of presence/absence and
29 abundances similar to those expected by a strict environmental filter (Kraft et al. 2015,
30 Cadotte and Tucker 2017).

31 Deciduous seasonal forests (DSFs) occur in regions of accentuated climatic
32 seasonality, with a marked dry season, and are generally associated with rocky
33 limestone outcrops (Gonzaga et al. 2017). Because of these stressful conditions, the
34 plants growing there show extraordinary adaptations to survive, among them, high
35 wood density, smaller leaf size and deciduousness (Raymundo et al. 2018, Pooter et al.
36 2019). Moreover, DSFs include floristically very distinct communities (Gonzaga et al.
37 2013). This dissimilarity is attributed to the input from the adjacent biomes, which
38 provides them with high α and β diversity (Silva et al. 2020). Although there is this
39 floristic diversity, when studying dry forests, some authors have found that functional
40 diversity responds to the environmental gradient, resulting in trait convergence as the
41 environment becomes more restrictive (Méndez-Toribio et al. 2017, Raymundo et al.
42 2018, Menezes et al. 2020;).

43 The vulnerability of these forests in the face of the current climate change
44 scenario and the intense anthropic activity (Pennington et al. 2018) make it urgent to
45 improve our understanding of this physiognomy. Changes in the structure and
46 functioning of communities affect ecosystem services and human well-being. Therefore,
47 detecting how this vegetation works is important to ensure the conservation of its
48 unique diversity, and to promote restoration actions such as the introduction of species
49 with specific functional characteristics, among others.

50 Unfortunately, little is known about the functioning and processes that structure
51 DSFs at different spatial scales and with a different floristic composition. For this
52 reason, this work aimed to contribute to the recognition, on a regional and local scale, of
53 the functional patterns and the assembly mechanisms of two DSF communities that are
54 in different vegetation contacts and have high β diversity. We intended to prove that,
55 despite the high floristic dissimilarity, abiotic filtration is predominant in species
56 composition of those vegetation communities, on a regional and local scale.

57 We specifically seek to respond: I) regional scale: do the communities in the
58 studied areas have similar composition and functional diversity? II) local scale: is it
59 possible to identify the predominant filtering process in the assembly of each
60 community? We hypothesize that: I) the areas are functionally similar. We believe that
61 the restrictions imposed by abiotic filters are predominant to the floristic differences
62 resulting from the stochastic dispersion events arising from the adjacent biome; and II)
63 there is a pattern of functional convergence caused by the direct effects of abiotic
64 filtering on the survival of individuals and also on the biotic interactions between them.

65 **2. Materials and Methods**

66 **2.1. Study areas**

67 The study was carried out in two areas of deciduous seasonal forests located in
68 the municipalities of Paracatu and Presidente Juscelino in the state of Minas Gerais,
69 Brazil. The Paracatu area (17°3'16.6"S and 46°49'23.5"O) has 100 ha and is in the core
70 region of the Cerrado. The area in Presidente Juscelino (18°38'40"S and 44°04'57"O)
71 has 150 ha and is located in a transitional zone Cerrado-Atlantic Forest (Figure 1). The
72 two sites have steeply sloping relief, outcroppings of limestone rocks (belonging to the
73 BambuÍ group), predominant Lithic Neossols, and seasonal climate according to the
74 Köppen classification (Figure 1, Table 1). These two communities were previously

75 studied by Silva et al. (2020) and showed high β diversity, sharing only 23.4% of their
76 species. Both areas are protected and are in a good state of conservation.

77 **2.2. Sampling of vegetation**

78 We installed 25 plots of 20 × 20 m, perpendicular to the land declivity, totaling 1
79 ha of sampled surface in each area. In Paracatu the plots were randomly distributed,
80 while in Presidente Juscelino the plots were systematically allocated and distributed in
81 five transects, 50 m between transects and 20 m between plots.

82 In each plot we surveyed all live trees with a diameter at breast height over 5 cm,
83 which were labeled with numbered aluminum platelets. The inventories were conducted
84 in 2016 and 2017 in Paracatu and Presidente Juscelino, respectively.

85 Botanical samples from unidentified individuals were collected in the field and
86 deposited at the Jeanine Felfili Dendrological Herbarium (HDJF) of the Federal
87 University of the Valleys of Jequitinhonha and Mucuri (UFVJM). Identifications were
88 based on specialized literature, specialists' expertise, and comparisons with the samples
89 in the herbaria of the Federal University of Minas Gerais (BHCB/UFMG), University of
90 Brasília (UnB), IBGE Ecological Reserve, and Embrapa Genetic Resources (CEN). The
91 species were classified into families according to the APG system, Angiosperm
92 Phylogeny Group IV (APG IV, 2016). We checked spelling and nomenclatural
93 synonyms using databases from the Missouri Botanic Garden (MOBOT) and the
94 International Plant Names Index (IPNI).

95 **2.3. Environmental characterization**

96 Environmental data were collected from all plots used for the tree survey in both
97 areas. We analyzed physical and chemical edaphic characteristics, as well as the
98 topography and rockiness of the fragments. In particular, we assessed the contents of
99 sand, silt, clay, K^+ , Ca^{2+} , Mg^{2+} , $Ca^{2+}+Mg^{2+}$, pH, potential acidity (H + Al), sum of

100 bases (SB), base saturation (V), organic matter (OM), potential CTC (T) and effective
101 CTC (t), altitude (Alt), slope (Slp), and percentage of exposed rock (Roc). Precipitation
102 and temperature data (Table 1) extracted from the WorldClim version 2.1 (Fick and
103 Hijmans, 2017) were also used for environmental characterization.

104 The physical-chemical properties of the soils were obtained averaging five
105 samples collected from the top 20 cm depth in each plot. These sample collections were
106 made randomly in Presidente Juscelino and in the center of the plots and at each of the
107 four vertices in Paracatu. We measured the slope of each plot, calculating the difference
108 between the maximum and minimum elevations of the plot corners (Espírito-Santo et al.
109 2002).

110 The percentage of rock cover was assessed by adapting the method of Braun
111 Blanquet (1979). Thus, classes were assigned through nominal values: 0 = absence of
112 surface rocks; 1 = 0%–25%; 2 = 26%–50%; 3 = 51%–75%; and 4 = 76%–100%.

113 **2.4. Functional classification of species**

114 The sampled species were classified according to the following traits, whose
115 attributes are in parentheses: 1) EG: Ecological Group (PI = pioneer, NPI = non-
116 pioneer); 2) DS: Seed dispersal syndrome (Ane = anemochory, Aut = autochory, Zoo =
117 zoochory); 3) PS: Pollination syndrome (Anemo = anemophilous, Zoop= zoophilous);
118 4) DT: Seed desiccation tolerance (Ort = orthodox, Rec = recalcitrant), and 5) WD:
119 Wood density (light, medium, and heavy), according to Borchert's criteria (1994 a, b),
120 disregarding the shell, being: (a) light wood, species with wood density $< 0.5 \text{ g cm}^{-3}$; (b)
121 medium wood, density of $0.5\text{--}0.8 \text{ g cm}^{-3}$; (c) heavy wood, density $> 0.8 \text{ g cm}^{-3}$. The
122 classifications were based on specialized literature and knowledge of the authors (Table
123 S2).

124 **2.5. Composition and functional diversity**

125 The functional composition of each area was estimated using the community-
126 weighted mean (CWM) or “weighted average values of the traits within a community,”
127 proposed by Garnier et al. (2004). This index quantifies the average, weighted by the
128 abundance of species, for each functional trait. The higher the value of the CWM, the
129 more relevant the attribute is in the community structure. Consequently, it is possible to
130 obtain the functional identity of the fragments (Garnier et al., 2007, Leps et al. 2011).

131 Functional diversity was analyzed using functional richness (FRic) (Villéger et
132 al. 2008) and functional dispersion (FDis) indices (Laliberté and Legendre 2010). FRic
133 represents the size of the functional space occupied in the community without
134 considering the abundance of species. FDis is a measure of functional divergence and
135 measures the average distance of each species in the functional space in relation to the
136 centroid of all other species present in the community, and reflects the contribution of
137 each species in proportion to its abundance (Laliberté and Legendre 2010). These
138 indices were identified as those that best represented the processes that act in the
139 structuring and distribution of species in communities in response to the environment
140 (Mason et al. 2013).

141 For data processing, two matrices were created: one with the abundance of each
142 species in each plot (sample unit) and another with the respective traits of each species.
143 Since the variables are categorical, the trait matrix was converted into a functional
144 dissimilarity matrix, calculated by the Gower distance (Botta-Dukat 2005), and
145 transformed into a numerical matrix through a principal coordinates analysis (PCoA).
146 With the values of the axes resulting from the PCoA, the functional diversity indices
147 were calculated using the dbFD function of the FD package (Laliberté and Legendre
148 2010) in R version 3.5.1 R (R Development Core Team 2018). The CWM was
149 calculated using the same package.

150 **2.6. Data analysis and null models**

151 **2.6.1. Environmental variables**

152 The environmental variables of the areas, including mean annual temperature
153 and mean annual precipitation, were evaluated by principal component analysis (PCA),
154 using PC-ORD version 6.0 for Windows (McCune and Mefford 2011). After a
155 preliminary analysis, only variables whose eigenvalues were greater than 0.5 in one of
156 the ordering axes or those not correlated with each other were selected. Highly
157 redundant variables with the chosen ones were rejected. Consequently, sand, clay,
158 acidity, H+Al, SB, OM, Slp, Roc, and precipitation (highly correlated with temperature)
159 were maintained.

160 **2.6.2. Composition and Functional Diversity**

161 *Regional Scale*

162 In this analysis we compared the CWM values of the attributes of each trait in
163 order to test the hypothesis that the areas have a similar functional composition and are
164 mainly subject to abiotic filtration on a regional scale. This comparison was made at the
165 area level, based on the CWM value of the attributes obtained in the plots, to determine
166 the functional identity of each of the communities (e.g., the PI and NPI values of the GE
167 trait of each plot were compared). The Mann–Whitney test (Mann and Whitney 1947)
168 was used for traits with two levels (EG, PS, and DT) and the Kruskal–Wallis test (Zar
169 1996) was followed by the Mann–Whitney test when there was a significant difference
170 ($p < 0.05$) in traits with three levels (DS and WD).

171 Additionally, the functional diversity indices (FRic and FDis) between the areas
172 were compared using the Mann–Whitney test. These processes were conducted using
173 the Past program, version 3.23 (Hammer et al, 2009).

174 **2.6.3. Null Models**

175 *Local Scale*

176 To test the hypothesis of the prevalence of abiotic filtering acting also on a local
177 scale, we determined whether the observed values of FRic and FDis were higher or
178 lower than expected by chance, using null models (Mason et al. 2013). The models were
179 created based on the original null model of Connor and Simberloff (1979)
180 complemented with other approaches (Bernard-Verdier et al. 2012, Gotzenberger et al.
181 2012, Luzuriaga et al. 2015, Loranger et al. 2016, Liu et al. 2018). The set of data
182 measured for species richness and abundance in each plot was used in the models for
183 each area in which it was collected, to maintain the taxonomic characteristics of each
184 community (Connor and Simberloff 1979).

185 Unlike FRic, FDis considers the abundance of species, so a null model was
186 created for each of the two indexes. For FRic, a presence/absence matrix was used,
187 which was randomized with 1000 interactions, keeping the species richness constant.
188 For FDis, another set of 1000 interactions was created where the abundances were
189 randomized (between one and the maximum observed abundance of each species). The
190 species frequency was used as the probability of their occurrence in each plot.

191 To determine the significance of the difference between the observed
192 values and those of the communities simulated by null models, we calculated a P-value
193 (Eq. 1) and the standardized effect sizes, separately, for each index, FRic and FDis
194 (SESFRic and SESFDis, respectively; Eq. 2) (Loranger et al. 2016):

$$195 \quad P = \frac{\Sigma(\text{nullvalues} < \text{observedvalue}) + \frac{\Sigma(\text{nullvalues} = \text{observedvalues})}{2}}{1001} \quad (\text{Eq. 1.})$$

$$196 \quad \text{SES} = 2(P - 0.5) \quad (\text{Eq. 2})$$

197 A value of SES < -0.95 indicates higher convergence of traits than expected by
198 chance, which suggests that abiotic filtering (Cadotte and Tucker, 2017) can be the main
199 mechanism for assembling communities. In contrast, an SES > 0.95, which indicates

200 higher divergence of traits than expected by chance, suggests that limiting similarity
201 (biotic interactions) can be the predominant process in structuring communities
202 (Loranger et al. 2016, Kubota et al. 2018). SES values between -0.95 and 0.95 mean no
203 difference to what is expected by chance and indicate stochastic dispersion processes
204 (Kubota et al. 2018). In addition, we investigated the relationships between SESFRic
205 and SESFDis values and the environmental gradient using Spearman's correlation
206 coefficient (S).

207 **3. Results and Discussion**

208 **3.1. Environmental analysis**

209 The PCA performed for the environmental characteristics of the fragments
210 explained a significant proportion (80.93%) of the total variation in the data, which was
211 included in two axes (axis 1: 47.53%, $p < 0.01$; axis 2: 33.39%, $p < 0.01$) (Figure 2). The
212 first axis described an environmental gradient positively correlated with clay content in
213 the soil (0.78) and slope (0.79) and negatively correlated with OM (-0.85), sand
214 contents (-0.97), and mean annual precipitation (-0.98). The second axis reflected a
215 gradient positively correlated with the SB (0.92) and rockiness (0.72), and negatively
216 correlated with potential acidity (-0.81) (Figure 2).

217 The ordering separated the areas and converged in three distinct groups, two
218 referring to the parcels of Paracatu and the other to those of Presidente Juscelino (Figure
219 2). In the latter, there was greater slope and higher clay content. Additionally, the layout
220 of their plots along the ordering axes in the graph suggested that, despite the smoother
221 gradient compared to Paracatu, plots in Presidente Juscelino were highly heterogeneous.
222 In contrast, in Paracatu, there was a higher content of sand and OM, whereas the layout
223 of its plots indicated a more pronounced gradient resulting in two distinct environments.
224 One of those environments included more acidic and less fertile soils than the other,

225 which in turn had greater fertility and rockiness. It is important to highlight that
226 although the areas present seasonality of the same duration (five months of drought), the
227 volume of precipitation was higher in Paracatu (Table 1) and, although not very
228 discrepant, it probably contributed to the edaphic differentiation between the areas.

229 The process of soil formation takes considerable time, and is dependent on a
230 range of factors involving the climate, parent material, relief and biota (Jenny 1941,
231 Mocek et al. 2011). Paracatu and Presidente Juscelino, have the same type of parent
232 material, which is limestone. However, the same source material can generate soils with
233 different characteristics depending on the interaction of the other factors involved in
234 their genesis (Augusto et al. 2017). From the environmental data evaluated in this study,
235 we observed that one of the possible factors that would explain the differences in the
236 edaphic properties of the areas would be the difference in precipitation between them
237 (Figure 2). A similar result was found by Gonzaga et al. (2017), who studied this same
238 phytophysiology.

239 Rainfall is a fundamental element in the process of soil formation and, although
240 the difference in annual rainfall volume between areas is not significant in a short period
241 of time, this aspect was fundamental in their separation (Figure 2). Higher rainfall
242 results in greater weathering, which in the long run causes leaching and acidification of
243 the soil through the depletion of carbonate and base cations (Kramer and Chadwick
244 2018). For this reason, we believe that the Paracatu area, which is located in a region
245 with higher rainfall levels than Presidente Juscelino, would be subject to more intense
246 weathering over time, which would have resulted in soils with more pronounced acidity.

247 In addition, edaphic gradients can be influenced by topographic conditions,
248 which on a local scale, alter soil drainage and fertility conditions (Nakashima et al.
249 2017). From the results generated by the PCA, it is possible to infer that the slope was a

250 factor that also differentiated the areas, being greater in Presidente Juscelino. In places
251 with higher slopes, water infiltration is less, causing less intense leaching, and less loss
252 of nutrients (Zhang et al. 2020). It is impossible to determine the proportion that each of
253 these factors exerted in the formation and dynamics of these soils. However, we
254 understand that the isolated effect of none of these aspects would be strong enough to
255 cause the edaphic differences found in the areas. Unlike this, we believe that the
256 different interaction between them, over time, was responsible for the distinctions in the
257 weathering processes of each location, which resulted in soils with different
258 characteristics.

259 *Regional Scale*

260 **3.2. Community-weighted mean**

261 Regarding CWM, both fragments included a higher component in the
262 community with anemochory dispersion, anemophilous pollination, orthodox seeds, and
263 heavy WD (Table 2), revealing that they had a similar dominant functional composition,
264 corroborating our first hypothesis. There was only a difference in relation to the
265 ecological group (EG), in which the CWM showed a significantly higher amount of
266 pioneer species in Presidente Juscelino, whereas in Paracatu there was no difference
267 between these attributes.

268 The highest CWM value for the Ane attribute found in both fragments a
269 matched the expectations for dry forests. In these environments, wind dispersion is
270 more important compared to humid forests where there is a prevalence of seeds
271 dispersed by animals (Gentry, 1983, Macedo et al. 2019). The results also showed a
272 large number of individuals with orthodox seeds. This result is consistent with the fact
273 that in these forests seeds can tolerate low moisture levels, remaining viable until the
274 beginning of the rainy season, when they germinate (Mayrinck et al. 2019). This

275 explains why the Ort attribute was dominant and therefore more important than the Rec
276 attribute in both communities (Table 2).

277 Additionally, we found that both communities were dominated by species with
278 heavy wood, such as *Anadenanthera colubrina* (Vell.) Brenan, *Aspidosperma*
279 *pyrifolium* Mart., *Myracrodrum urundeuva* Allemao, and *Machaerium acutifolium*
280 Vogel, which are common in this vegetation type (Table S1). Higher density of the
281 wood is strongly associated with the capacity of the species to avoid hydraulic failures
282 generated by the water deficit, such as cavitation and embolism, which cause the death
283 of plants during drought (Markesteijn et al. 2011, Choat et al. 2016).

284 We suggest that the similarity found between areas is due to the fact that the
285 same abiotic filtration process, in this case the five month dry season, prevailed over
286 other factors in the functional composition of the two communities. Climate is widely
287 known as the main factor that shapes the patterns of species composition and diversity
288 at a regional scale (Towers and Dwyer 2018). Thus, the stress imposed by the dry
289 season in the regions where the DSF occurs (Ribeiro and Walter 2008, Gonzaga et al.
290 2017) would filter species with similar ecological functions, capable of maintaining
291 viable populations under such conditions (Medeiros et al. 2018, Towers and Dwyer,
292 2018, González-M et al. 2019).

293 **3.3. Functional richness and functional dispersion**

294 The FRic and FDis indices were significantly different between fragments, with
295 higher values in Presidente Juscelino (Figure 3), rejecting our hypothesis that posed no
296 distinction between communities.

297 Higher values of FRic and FDis indicated a greater diversity of functional
298 strategies (Villéger et al. 2008, Laliberte and Legendre 2010). From the coexistence
299 theory point of view, the increase in environmental heterogeneity offers more

300 opportunities for niche partitioning, which was reflected by greater functional diversity
301 and greater species richness (Chesson and Warner 1981, Price et al. 2017). Thus, the
302 highest values of FRic and FDis found in Presidente Juscelino were consistent with the
303 fact that this area was more heterogeneous. This area showed greater variation in
304 altitude, greater slope and less rocky, as observed through the PCA (Figure 2). Other
305 studies have also shown that environmental heterogeneity enhances the variety of
306 attributes, generating greater functional diversification (Price et al. 2017, Stark et al.
307 2017, Towers & Dwyer, 2018).

308 However, Presidente Juscelino revealed a smoother and less stressful edaphic
309 gradient than Paracatu, presenting less acidic and more clayey soils. Thus, this
310 environment is more favorable to plants, as it enables the survival and development of
311 individuals with diverse traits resulting in greater functional diversity (Soboleski et al.
312 2017, Menezes et al. 2020). Therefore, we believe that these conditions allowed certain
313 functionally different species to colonize the area through stochastic dispersion
314 processes (Tuiller et al. 2007, Mason et al. 2011, Gotzenberger et al. 2012, Cadotte et
315 al. 2017). This is because the Presidente Juscelino forest is located in an ecotonal area,
316 with floristic influence from the Cerrado and the Atlantic Forest (Silva et al. 2020). This
317 scenario may have contributed to increase the functional diversification of this area,
318 incorporating typical attributes of humid regions in the community composition
319 (Gentry, 1983, Poorter et al. 2019). Our results corroborate this discussion, since the
320 presence of species, typical of the Atlantic Forest, such as *Annona sylvatica* A.St.-Hil
321 (N PI, Zoo, Zoop, Rec, Light), *Deguelia costata* (Benth.) Az.-Tozzi (PI, Ane, Zoop,
322 Ort, Medium), *Senegalia tenuifolia* (L.) Britton & Rose (PI, Aut, Zoop , Ort, Light),
323 among others, was verified in the area (Table S1).

324 In contrast, the Paracatu area primarily had more sandy soils, which potentiated
325 the water deficit soils because the predominance of this texture results in less water
326 retention capacity (Gonzaga et al. 2017). Therefore, soil with greater acidity and high
327 sandiness, combined to a higher mean annual temperature make the Paracatu area more
328 stressful for plants. Consequently, in this environment, there was less functional
329 amplitude, as observed with the values of FRic and FDis (Figure 3).

330 Thus, from our results we observe that abiotic and stochastic factors are acting in
331 the assembly of the studied communities on a regional scale. However, although the
332 areas are floristically distinct and there are species with traits typical of the adjacent
333 biome in Presidente Juscelino, indicating dispersion events in the assembly of this
334 community, the functional composition (CWM) of this area was the same as that of
335 Paracatu. This means that the dominant functional traits of the two areas are the same
336 and, therefore, in general the plants of the two communities have performed the same
337 function in the environment.

338 *Local Scale*

339 **3.4. Null model**

340 The comparison of the observed functional diversity indices with those
341 generated by the null model did not indicate the predominance of functional
342 convergence ($SES < -0.95$) or abiotic filtering as the main process of assembling the
343 studied communities. Contrary to our expectations, most of the values of SESFRic and
344 SESFDis did not differ from that expected by chance; that is, it remained between the
345 range -0.95 to 0.95 (Figure 4a, b, c, and d), revealing the prevalence of stochastic
346 dispersion processes (Kubota et al. 2018). However, some plots had SES values that
347 exceeded the significance thresholds (-0.95 and 0.95), indicating convergence and
348 functional divergence at the local scale.

349 The distribution and species richness of plant communities are strongly
350 influenced by their dispersion patterns (Poschlod et al. 2013). In addition, anemochory
351 represents a pattern of random distribution (Polli et al. 2020). This is consistent with our
352 results, since the two communities presented anemochory as one of the dominant
353 functional traits, which resulted in a stochastic dispersion pattern. It is worth mentioning
354 that this discussion refers to the local scale of study. This shows that, despite the
355 dominance of the ANE trait in both areas (regional scale), individuals are randomly
356 distributed within each of these environments.

357 In addition, we can complement this discussion from different points of view.
358 One of them is related to the study scale. In this study, to understand the community
359 assembly processes, we reduced the observation scale, obtaining SESFRi and SESFDis
360 values at the plot level. Shipley et al. (2012) suggested that as the spatial scale
361 decreases, the population size is also reduced. In this way, fluctuations in germination
362 and mortality rates dominate population dynamics, even though the probabilities of
363 reproduction, survival, and growth of species are influenced by their attributes.
364 Therefore, under these conditions, a pattern of stochasticity may be observed in the
365 community assemblage.

366 Additionally, Fang et al. (2019) found that stochastic processes are more often
367 observed leading to the composition of the community when only interspecific diversity
368 is considered, as in this work. Meanwhile, intraspecific variation can reflect the relative
369 importance of deterministic processes (e.g., environmental filtering, limiting similarity).
370 Other works have also indicated the importance of using intraspecific traits in studies of
371 ecosystems and communities based on characteristics. Siefert et al. (2015) confirmed
372 that intraspecific trait variation (ITV) is generally responsible for a significant
373 proportion of total functional diversity within and between communities. In addition,

374 they demonstrated that the relative extent of these traits varies predictably between
375 traits, species richness and spatial scale. Furthermore, Bastias et al. (2017) suggested
376 that in addition to the relative importance of ITV, neutral processes or equalization
377 mechanisms can act as potential drivers shaping the community assembly in
378 hyperdiverse forests.

379 Another point to consider is that SES values of some plots exceeded the
380 significance thresholds -0.95 and 0.95 , indicating convergence and functional
381 divergence respectively (Figure 4). This indicates that in addition to the prevalence of
382 stochastic processes, deterministic factors are acting in the assembly of the studied
383 communities. Unfortunately, the set of environmental variables used in this work
384 showed significant correlations only between the variables $H + AI$ ($S = -0.49$, $p = 0.012$)
385 and Slope ($S = 0.43$, $p = 0.032$) and the SESFDis in PresidenteJuscelino, all other
386 correlations were not significant (Table S3).

387 Thus, although the correlations are weak, it is possible to infer that, in Presidente
388 Juscelino, the potential acidity influences the functional convergence pattern of some
389 plots, since this presented a negative correlation with the SESFDis values. In contrast,
390 slope showed a positive correlation with the SESFDis, revealing that part of the
391 functional divergence found in the plots is due to this variable. Soil acidity is commonly
392 considered a limiting factor for plant development, while higher slopes are favorable to
393 greater functional diversity (Moraes et al. 2016, Soboleski et al. 2017). In this case,
394 steeper slopes provide different levels of solar radiation, consequently interfering with
395 evapotranspiration rates and soil moisture, resulting in more diverse and milder
396 environments for plants (Ebel 2013, Chapman and McEwan 2018). In addition, some
397 environmental aspects that we did not measure such as the topographic position and
398 those already mentioned, soil moisture and incidence of light, among others, can

399 strongly affect the functional traits at the community level (Mendivelso et al. 2013,
400 Méndez-Toribio et al. 2017).

401 To sum up, the prevalence of stochastic processes does not necessarily exclude
402 deterministic processes of operating. In fact, deterministic and stochastic dispersion
403 processes can dynamically interact to drive the community pattern and are not mutually
404 exclusive (Gotzenberger et al. 2012, Valladares et al. 2015). Thus, they can structure the
405 species composition of a community simultaneously, and their effects can be interactive
406 (Shiple et al. 2012, Fang et al. 2019).

407 **4. Conclusions**

408 This study allowed us to conclude that environmental filtration and stochastic
409 processes participate in the assembly of the two communities, acting in different
410 proportions on a regional and local scale.

411 Despite the high β diversity of the areas and the influence of dispersion events,
412 arising from the adjacent vegetation, in the community of Presidente Juscelino, the
413 dominant functional composition of the two areas was the same. The dominant traits in
414 the two communities were those related to the adaptation of plants to severe periods of
415 drought, which revealed the predominant role of abiotic filters in the assembly of
416 communities on a regional scale. On a local scale, different from what we hypothesized,
417 stochastic processes prevailed in the assembly of the two communities, with few plots
418 showing functional convergence and divergence. Our set of environmental data was not
419 adequate to answer which deterministic factors would be acting in the composition of
420 these plots.

421 Finally, it is necessary to carry out broader studies, working in detail on different
422 spatial features and scales, investigating other areas of seasonal deciduous forests, in

423 order to confirm that the results found in this study reflect a general pattern for this
424 phytophysiognomy.

425 **5. Literature Cited**

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734

735 **Table 1.** Environmental information for the two areas of deciduous seasonal forests,
736 located in the municipalities of Paracatu and Presidente Juscelino, Brazil

737 **Table 2.** Variation in the community-weighted mean (CWM) values of the attributes of
738 each trait in two areas of deciduous seasonal forests, located in the municipalities of
739 Paracatu and Presidente Juscelino, Brazil

740

741 **Figure 1.** Location of areas of deciduous seasonal forests in Paracatu and Presidente
742 Juscelino in the state of Minas Gerais, Brazil, showing its biomes.

743

744 **Figure 2.** Ordering diagram (PCA) of the environmental variables related to the areas of
745 deciduous seasonal forests, located in Presidente Juscelino (●) and Paracatu (●), Brazil.
746 Legend: H + Al = potential acidity, SB = sum of bases, OM = organic matter and Roc =
747 percentage of exposed rock.

748

749 **Figure 3.** Variation in functional diversity indices between the two areas of deciduous
750 seasonal forests, located in Paracatu and Presidente Juscelino, Brazil. Boxes with
751 different letters are significantly different according to the Mann–Whitney test (p
752 <0.05). Legend: FRic = Functional Richness; FDis = Functional Dispersion; PAR =
753 President Juscelino; PCT = Paracatu.

754

755 **Figure 4.** Representation of the SES (standardized effect size) values of functional
756 richness (A and B) and functional dispersion (C and D) per plot. The dotted lines
757 indicate the significance threshold: 0.95 and -0.95 . Legend: SESFRic: SES of
758 functional richness, SESFDis: SES of functional dispersion.

759

760 **List of supplementary materials**

761

762 **Supplement 1.** Table with functional classification of species of fragments sampled in
763 the municipalities of Paracatu and Presidente Juscelino, Brazil.

764 **Supplement 2.** Table with functional attributes used in the classification of species and
765 their ecological implications in the functions of the studied community.

766 **Supplement 3.** Table with Spearman correlation coefficient (S) between environmental
767 variables and the SESFRic and SESFDis of Paracatu and Presidente Juscelino, Brazil.

Figure 1. Location of areas of Deciduous Seasonal Forest in Paracatu and Presidente Juscelino in the state of Minas Gerais, Brazil, showing its biomes.

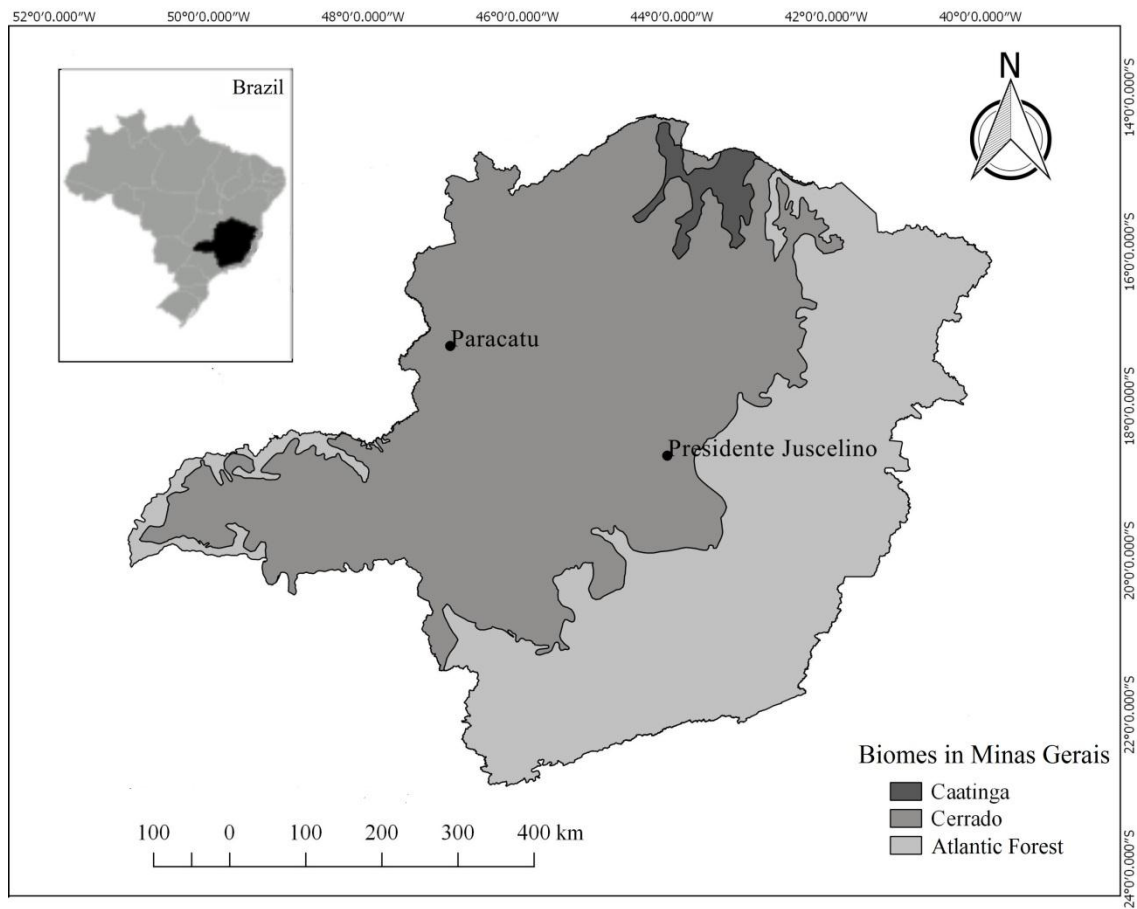


Figure 2. Ordering diagram (PCA) of the environmental variables related to the areas of Deciduous Seasonal Forest, located in Presidente Juscelino (●) and Paracatu (●), Brazil. Legend: H + Al = potential acidity, SB = sum of bases, OM = organic matter and Roc = percentage of exposed rock.

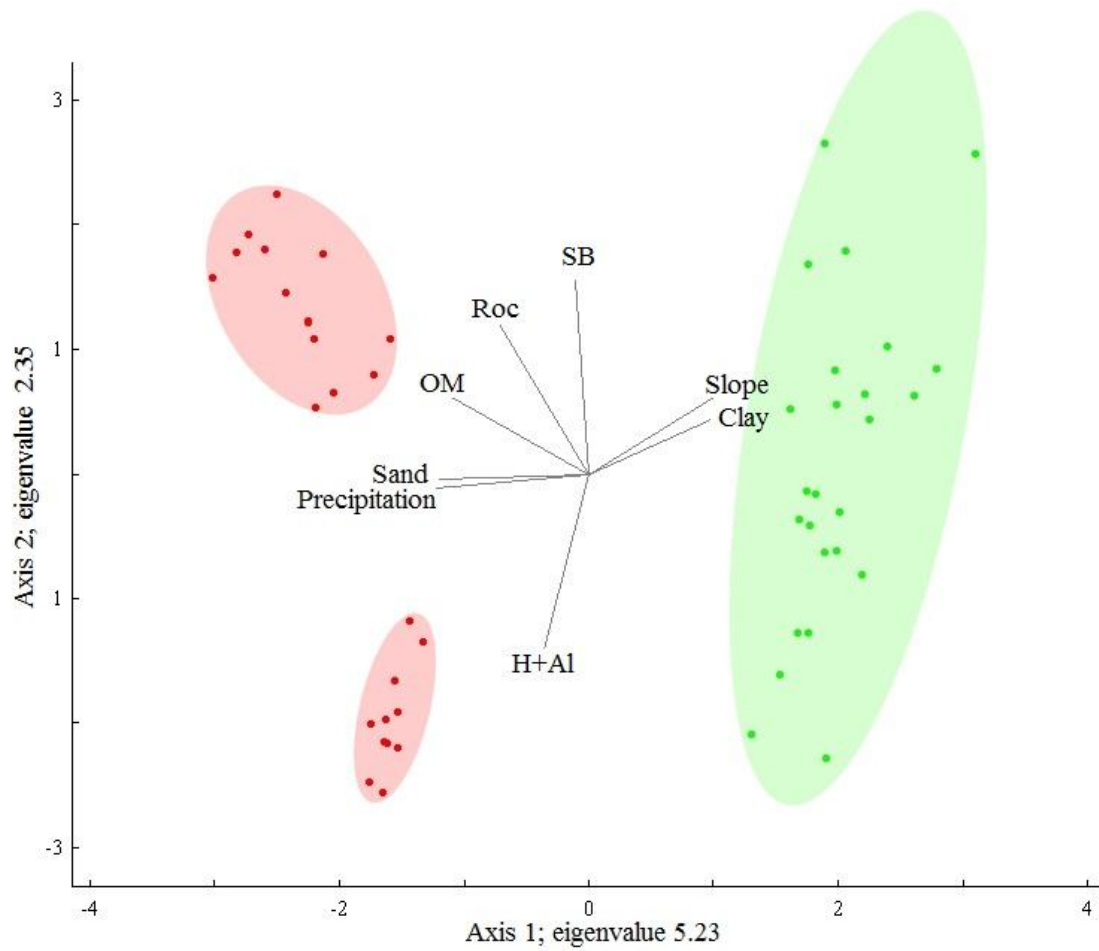


Figure 3. Variation in functional diversity indices between the two areas of Deciduous Seasonal Forest, located in Paracatu and Presidente Juscelino, Brazil. Boxes with different letters are significantly different according to the Mann–Whitney test ($p < 0.05$). Legend: FRic = Functional Richness; FDis = Functional Dispersion; PAR = Presidente Juscelino; PCT = Paracatu.

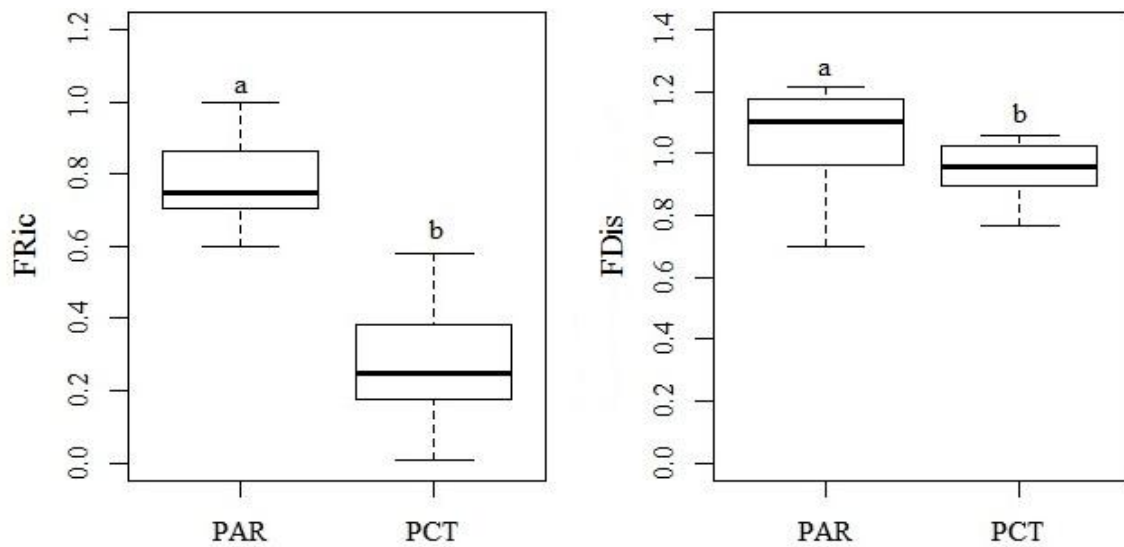


Figure 4. Representation of the SES (standardized effect size) values of functional richness (A and B) and functional dispersion (C and D) per plot. The dotted lines indicate the significance threshold: 0.95 and -0.95 . Legend: SESFRic: SES of functional richness, SESFDis: SES of functional dispersion.

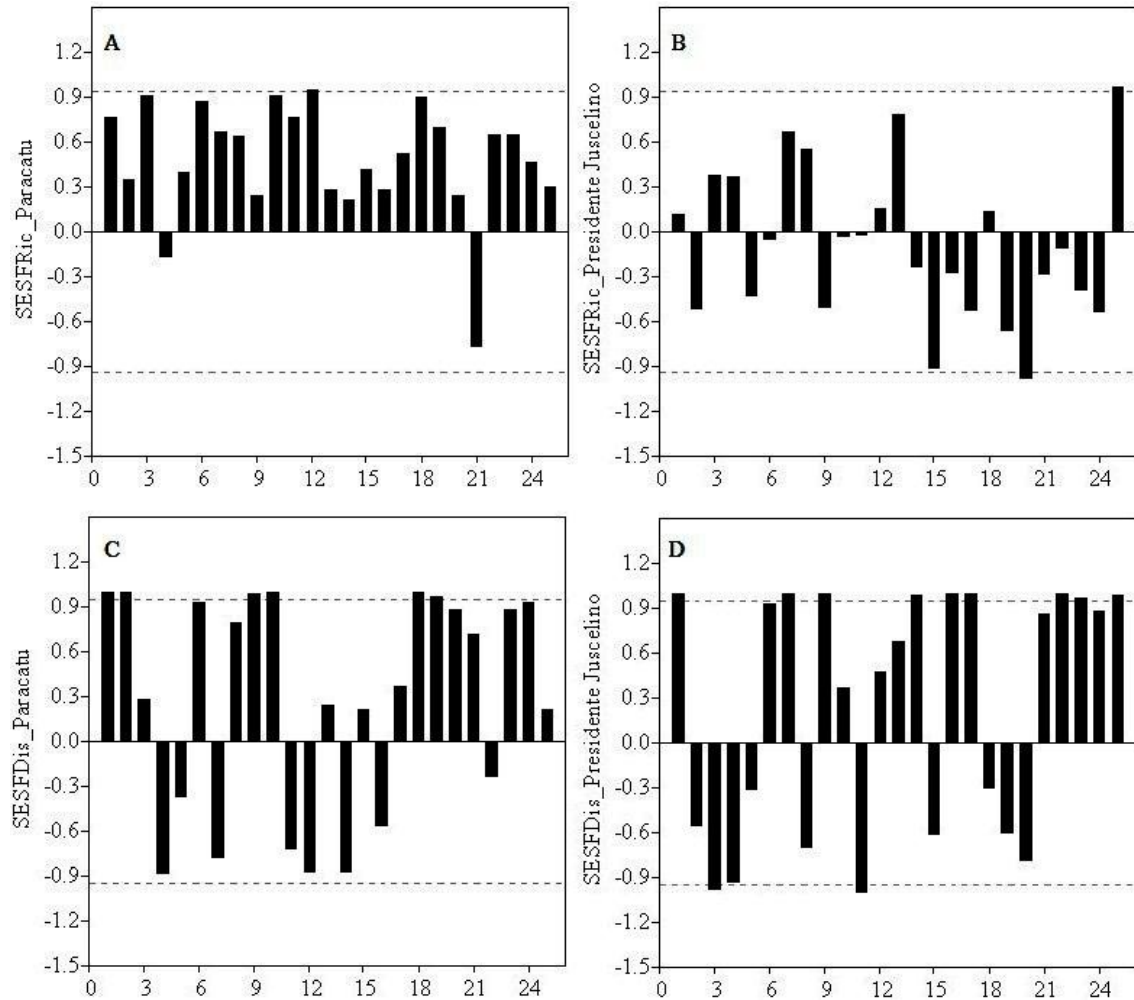


Table 1. Environmental information for the two areas of Deciduous Seasonal Forest, located in the municipalities of Paracatu and Presidente Juscelino, Brazil

	Paracatu	Presidente Juscelino
Altitude (m) (minimum and maximum)	598 a 695	687 a 826
Area (ha)	100	150
Climate (Köppen)	Aw	Cwa
Annual average temperature (°C) ^b	23.6	22.0
Annual rainfall (mm) ^b	1272	1116
Dry season (months/year) ^a	5	5

^aConsidering month with rainfall below 50 mm as dry months (Carvalho & Felfilli, 2011);

^bCorresponding to an interval of 30 years (Fick & Hijmans, 2017).

Table 2. Variation in the community-weighted mean (CWM) values of the attributes of each trait in two areas of Deciduous Seasonal Forest, located in the municipalities of Paracatu and Presidente Juscelino, Brazil

Traits	Attributes	CWM_Paracatu	<i>p</i>	CWM_Presidente Juscelino	<i>p</i>
EG	NPI	0.53 ^a	<i>Ns</i>	0.62 ^a	<0.001
	PI	0.47 ^a	-	0.38 ^b	-
DS	Ane	0.45 ^a	<0.001	0.42 ^a	<0.001
	Aut	0.32 ^{ab}	-	0.20 ^b	-
	Zoo	0.23 ^b	-	0.38 ^{ab}	-
PS	Anemo	0.04 ^b	<0.001	0.03 ^b	<0.001
	Zoop	0.96 ^a	-	0.97 ^a	-
DT	Ort	0.81 ^a	<0.001	0.80 ^a	<0.001
	Rec	0.19 ^b	-	0.20 ^b	-
WD	Light	0.15 ^c	<0.001	0.24 ^b	<0.001
	Medium	0.31 ^b	-	0.17 ^c	-
	Heavy	0.52 ^a	-	0.59 ^a	-

EG = ecological group (PI: pioneers; NPI: non-pioneers); DS = dispersal syndrome (Ane: anemochory; Aut: autochory; Zoo: zoochory); PS = Pollination syndrome (Anemo: anemophilous; Zoop: zoophilous); DT = seed desiccation tolerance (Ort: orthodox; Rec: recalcitrant); WD = Wood density (light, medium, and heavy). Kruskal-Wallis and Mann-Whitney tests with significance level $\alpha = 0.05$ in which means followed by the same letter in the column for each characteristic do not differ; ns = not significant.

SUPPLEMENT 1

Table S1. Functional classification of species of areas sampled in the municipalities of Paracatu and Presidente Juscelino, Minas Gerais, Brazil. Tree species are arranged in alphabetical order. Where Ni = number of individuals; EG = Ecological group (PI: pioneers; NPI: non-pioneers); DS = Seed dispersal syndrome (Ane: anemochory; Aut: autochory; Zoo: zoochory); PS = Pollination syndrome (Anemo: anemophilia; Zoop: zoophilia); DT = Seed desiccation tolerance (Ort: orthodox; Rec: recalcitrant); and WD = Wood density (light, medium, and heavy).

Species	Paracatu -MG						Presidente Juscelino -MG					
	Ni	EG	DS	PS	DT	WD	Ni	EG	DS	PS	DT	WD
<i>Agonandra brasiliensis</i> Miers ex Benth. & Hook.	-	-	-	-	-	-	4	PI	Zoo	Zoop	Ort	Light
<i>Albizia polycephala</i> Benth.	1	PI	Aut	Zoop	Ort	Light	-	-	-	-	-	-
<i>Allophylus sericeus</i> (Cambess.) Radlk.	2	N PI	Zoo	Zoop	Ort	Light	-	-	-	-	-	-
<i>Alseis floribunda</i> Schot.	-	-	-	-	-	-	2	N PI	Ane	Zoop	Ort	Heavy
<i>Anadenanthera colubrina</i> (Vell.) Brenan	134	PI	Aut	Zoop	Ort	Heavy	177	PI	Aut	Zoop	Ort	Heavy
<i>Annona mucosa</i> Jacq.	1	N PI	Zoo	Zoop	Ort	Heavy	-	-	-	-	-	-
<i>Annona neolaurifolia</i> H.Rainer	-	-	-	-	-	-	13	N PI	Zoo	Zoop	Ort	Light
<i>Annona sylvatica</i> A.St.-Hil.	-	-	-	-	-	-	18	N PI	Zoo	Zoop	Rec	Light
<i>Aralia warmingiana</i> (Marchal) J. Wen	-	-	-	-	-	-	25	PI	Zoo	Zoop	Rec	Light
<i>Aspidosperma cuspa</i> (Kunth) S.F.Blake ex Pittier	39	N PI	Ane	Zoop	Ort	Medium	-	-	-	-	-	-
<i>Aspidosperma pyrifolium</i> Mart.	118	N PI	Ane	Zoop	Ort	Heavy	23	N PI	Ane	Zoop	Ort	Heavy
<i>Aspidosperma subincanum</i> Mart. ex A.DC.	-	-	-	-	-	-	2	N PI	Ane	Zoop	Ort	Heavy
<i>Astronium fraxinifolium</i> Schott ex Spreng.	13	PI	Ane	Anemo	Ort	Heavy	7	PI	Ane	Anemo	Ort	Heavy
<i>Bauhinia catinae</i> Harms	15	N PI	Aut	Zoop	Ort	Light	4	N PI	Aut	Zoop	Ort	Light
<i>Brosimum gaudichaudii</i> Trécul	1	N PI	Zoo	Anemo	Rec	Medium	1	N PI	Zoo	Anemo	Rec	Medium
<i>Campomanesia velutina</i> (Cambess.) O.Berg	15	N PI	Zoo	Zoop	Ort	Heavy	-	-	-	-	-	-
<i>Casearia mariquitensis</i> Kunth	5	N PI	Zoo	Zoop	Ort	Medium	-	-	-	-	-	-

Continue...

Species	Paracatu -MG						Presidente Juscelino -MG					
	Ni	EG	DS	PS	DT	WD	Ni	EG	DS	PS	DT	WD
<i>Casearia rupestris</i> Eichler	21	N PI	Zoo	Zoop	Rec	Heavy	3	PI	Zoo	Zoop	Rec	Heavy
<i>Casearia sylvestris</i> Sw.	3	N PI	Zoo	Zoop	Rec	Heavy	-	-	-	-	-	-
<i>Ceiba pubiflora</i> (A.St.-Hil.) K.Schum.	-	-	-	-	-	-	1	N PI	Ane	Zoop	Ort	Medium
<i>Ceiba speciosa</i> (A.St.-Hil.) Ravenna	8	N PI	Ane	Zoop	Ort	Light	-	-	-	-	-	-
<i>Celtis iguanaea</i> (Jacq.) Sarg.	16	PI	Zoo	Anemo	Ort	Medium	17	PI	Zoo	Anemo	Ort	Medium
<i>Chrysophyllum flexuosum</i> Mart.	4	N PI	Zoo	Zoop	Ort	Medium	-	-	-	-	-	-
<i>Chrysophyllum marginatum</i> (Hook. & Arn.) Radlk.	49	PI	Zoo	Zoop	Ort	Medium	-	-	-	-	-	-
<i>Combretum duarceanum</i> Cambess.	-	-	-	-	-	-	3	PI	Ane	Zoop	Ort	Light
<i>Combretum leprosum</i> Mart.	-	-	-	-	-	-	1	PI	Ane	Zoop	Ort	Medium
<i>Copaifera langsdorffii</i> Desf	2	N PI	Zoo	Zoop	Ort	Medium	-	-	-	-	-	-
<i>Cordia glazioviana</i> (Taub.) Gottschling & J.J.Mill.	-	-	-	-	-	-	3	N PI	Ane	Zoop	Ort	Medium
<i>Cordia ochracea</i> DC.	-	-	-	-	-	-	1	PI	Ane	Zoop	Ort	Light
<i>Cordia concolor</i> (Cham.) Kuntze	-	-	-	-	-	-	3	PI	Zoo	Zoop	Ort	Light
<i>Cordia sessilis</i> (Vell.) Kuntze	-	-	-	-	-	-	1	N PI	Zoo	Zoop	Ort	Light
<i>Coutarea hexandra</i> (Jacq.) K.Schum.	12	N PI	Ane	Zoop	Ort	Light	20	N PI	Ane	Zoop	Ort	Light
<i>Cupania vernalis</i> Cambess.	-	-	-	-	-	-	4	N PI	Zoo	Zoop	Rec	Medium
<i>Curatella americana</i> L.	1	PI	Zoo	Zoop	Ort	Medium	-	-	-	-	-	-
<i>Cyrtocarpa caatingae</i> J.D.Mitch. & Daly	-	-	-	-	-	-	1	N PI	Zoo	Zoop	Otr	Heavy
<i>Deguelia costata</i> (Benth.) Az.-Tozzi	-	-	-	-	-	-	41	PI	Ane	Zoop	Ort	Medium
<i>Dilodendron bipinnatum</i> Radlk.	34	PI	Zoo	Zoop	Ort	Light	35	PI	Zoo	Zoop	Ort	Light
<i>Dimorphandra mollis</i> Benth.	1	PI	Zoo	Zoop	Ort	Heavy	-	-	-	-	-	-
<i>Dipteryx alata</i> Vogel	2	PI	Zoo	Zoop	Ort	Heavy	-	-	-	-	-	-
<i>Diptychandra aurantiaca</i> Tul.	1	N PI	Ane	Zoop	Rec	Heavy	-	-	-	-	-	-
<i>Eriotheca gracilipes</i> (K.Schum.) A.Robyns	-	-	-	-	-	-	1	N PI	Ane	Zoop	Ort	Light
<i>Erythroxylum deciduum</i> A.St.-Hil.	10	N PI	Zoo	Zoop	Rec	Medium	-	-	-	-	-	-

Continue...

Species	Paracatu -MG						Presidente Juscelino -MG					
	Ni	EG	DS	PS	DT	WD	Ni	EG	DS	PS	DT	WD
<i>Erythroxylum pelleterianum</i> A.St.-Hil.	3	N PI	Zoo	Zoop	Ort	Light	22	N PI	Zoo	Zoop	Ort	Light
<i>Eugenia dysenterica</i> DC.	1	PI	Zoo	Zoop	Rec	Heavy	-	-	-	-	-	-
<i>Eugenia florida</i> DC.	1	N PI	Zoo	Zoop	Rec	Heavy	6	N PI	Zoo	Zoop	Rec	Heavy
<i>Ficus gomelleira</i> Kunth & C.D. Bouché	14	PI	Zoo	Zoop	Ort	Light	11	PI	Zoo	Zoop	Ort	Light
<i>Fridericia bahiensis</i> (Schauer ex. DC.) L.G.Lohmann	-	-	-	-	-	-	4	PI	Ane	Zoop	Ort	Light
<i>Guapira areolata</i> (Heimerl) Lundell	-	-	-	-	-	-	4	N PI	Zoo	Zoop	Ort	Light
<i>Guazuma ulmifolia</i> Lam.	19	PI	Zoo	Zoop	Ort	Medium	1	PI	Zoo	Zoop	Ort	Medium
<i>Guettarda viburnoides</i> Cham. & Schltldl.	1	N PI	Zoo	Zoop	Ort	Medium	-	-	-	-	-	-
<i>Handroanthus impetiginosus</i> (Mart. ex DC.) Mattos	52	N PI	Ane	Zoop	Ort	Heavy	20	N PI	Ane	Zoop	Ort	Heavy
<i>Handroanthus ochraceus</i> (Cham.) Mattos	1	N PI	Ane	Zoop	Ort	Heavy	-	-	-	-	-	-
<i>Handroanthus serratifolius</i> (Vahl) S.O.Grose	-	-	-	-	-	-	1	N PI	Ane	Zoop	Rec	Heavy
<i>Helicteres brevispira</i> A.St.-Hil.	-	-	-	-	-	-	2	N PI	Aut	Zoop	Ort	Light
<i>Hymenaea courbaril</i> L.	9	N PI	Aut	Zoop	Ort	Heavy	-	-	-	-	-	-
<i>Jacaranda brasiliana</i> (Lam.) Pers.	6	PI/SI	Ane	Zoop	Ort	Medium	-	-	-	-	-	-
<i>Kielmeyera coriacea</i> Mart. & Zucc.	1	N PI	Ane	Zoop	Ort	Medium	-	-	-	-	-	-
<i>Lecythis lanceolata</i> Poir.	-	-	-	-	-	-	5	N PI	Ane	Zoop	Rec	Heavy
<i>Luehea candicans</i> Mart. & Zucc.	-	-	-	-	-	-	2	N PI	Ane	Zoop	Rec	Heavy
<i>Luehea paniculata</i> Mart. & Zucc.	6	PI	Ane	Zoop	Rec	Light	-	-	-	-	-	-
<i>Machaerium acutifolium</i> Vogel	1	N PI	Ane	Zoop	Ort	Heavy	98	N PI	Ane	Zoop	Ort	Heavy
<i>Machaerium brasiliense</i> Vogel	36	N PI	Ane	Zoop	Ort	Medium	13	N PI	Ane	Zoop	Ort	Medium
<i>Machaerium hirtum</i> (Vell.) Stellfeld	12	PI	Ane	Zoop	Ort	Medium	4	PI	Ane	Zoop	Ort	Medium
<i>Machaerium nyctitans</i> (Vell.) Benth.	-	-	-	-	-	-	3	PI	Ane	Zoop	Ort	Heavy
<i>Machaerium opacum</i> Vogel	-	-	-	-	-	-	1	PI	Ane	Zoop	Ort	Medium
<i>Machaerium scleroxylon</i> Tul.	4	N PI	Ane	Zoop	Ort	Heavy	17	N PI	Ane	Zoop	Ort	Heavy
<i>Machaerium villosum</i> Vogel	-	-	-	-	-	-	1	N PI	Ane	Zoop	Ort	Heavy

Continue...

Species	Paracatu -MG						Presidente Juscelino -MG					
	Ni	EG	DS	PS	DT	WD	Ni	EG	DS	PS	DT	WD
<i>Maclura tinctoria</i> (L.) Steud.	3	N PI	Zoo	Zoop	Rec	Heavy	1	N PI	Zoo	Zoop	Rec	Heavy
<i>Magonia pubescens</i> A.St.-Hil.	1	PI	Ane	Zoop	Ort	Medium	-	-	-	-	-	-
<i>Maytenus aquifolia</i> Mart.	-	-	-	-	-	-	1	N PI	Zoo	Zoop	Ort	Medium
<i>Maytenus robusta</i> Reissek	1	N PI	Zoo	Zoop	Ort	Medium	2	N PI	Zoo	Zoop	Ort	Medium
<i>Myracrodruon urundeuva</i> Allemão	108	N PI	Ane	Zoop	Ort	Heavy	86	N PI	Ane	Zoop	Ort	Heavy
<i>Myrcia splendens</i> (Sw.) DC.	4	PI	Zoo	Zoop	Ort	Medium	-	-	-	-	-	-
<i>Myrciaria floribunda</i> (H.West ex Willd.) O.Berg	1	N PI	Zoo	Zoop	Rec	Medium	-	-	-	-	-	-
<i>Platycyamus regnellii</i> Benth.	-	-	-	-	-	-	1	N PI	Aut	Zoop	Ort	Heavy
<i>Platymiscium floribundum</i> Vogel	-	-	-	-	-	-	1	N PI	Ane	Zoop	Rec	Heavy
<i>Platypodium elegans</i> Vogel	4	N PI	Ane	Zoop	Ort	Heavy	20	N PI	Ane	Zoop	Ort	Heavy
<i>Pouteria gardneri</i> (Mart. & Miq.) Baehni	1	N PI	Zoo	Zoop	Rec	Heavy	4	N PI	Zoo	Zoop	Rec	Heavy
<i>Protium heptaphyllum</i> (Aubl.) Marchand	2	N PI	Zoo	Zoop	Rec	Medium	-	-	-	-	-	-
<i>Protium warmingianum</i> Marchand	-	-	-	-	-	-	1	N PI	Zoo	Zoop	Rec	Medium
<i>Pseudobombax tomentosum</i> (Mart. & Zucc.) A.Robyns	14	PI	Ane	Zoop	Ort	Light	-	-	-	-	-	-
<i>Qualea grandiflora</i> Mart.	2	PI	Ane	Zoop	Ort	Heavy	-	-	-	-	-	-
<i>Randia armata</i> (Sw.) DC	1	N PI	Zoo	Zoop	Rec	Medium	-	-	-	-	-	-
<i>Salacia crassifolia</i> (Mart.) G.Don	-	-	-	-	-	-	1	N PI	Zoo	Zoop	Rec	Medium
<i>Sapium glandulosum</i> (L.) Morong	3	N PI	Zoo	Zoop	Ort	Light	2	N PI	Zoo	Zoop	Ort	Light
<i>Schefflera macrocarpa</i> (Cham. & Schltld.) Frodin	-	-	-	-	-	-	1	PI	Zoo	Zoop	Ort	Light
<i>Schefflera morototoni</i> (Aubl.) Maguire, Steyerm. & Frodin	-	-	-	-	-	-	1	PI	Zoo	Zoop	Rec	Medium
<i>Schinopsis brasiliensis</i> Engl.	-	-	-	-	-	-	2	PI	Ane	Zoop	Ort	Heavy
<i>Sebastiania brasiliensis</i> Spreng.	117	PI	Aut	Zoop	Rec	Medium	-	-	-	-	-	-
<i>Senegalia tenuifolia</i> (L.) Britton & Rose	-	-	-	-	-	-	21	PI	Aut	Zoop	Ort	Light
<i>Solanum granuloseprosum</i> Dunal	4	PI	Zoo	Zoop	Ort	Light	-	-	-	-	-	-
<i>Sterculia striata</i> A.St.-Hill. & Naudin	16	N PI	Aut	Zoop	Ort	Light	3	N PI	Aut	Zoop	Ort	Light

Continue...

Species	Paracatu -MG						Presidente Juscelino -MG					
	Ni	EG	DS	PS	DT	WD	Ni	EG	DS	PS	DT	WD
<i>Swartzia macrostachya</i> Benth.	-	-	-	-	-	-	1	N PI	Zoo	Zoop	Rec	Heavy
<i>Syagrus romanzoffiana</i> (Cham.)	-	-	-	-	-	-	1	N PI	Zoo	Zoop	Ort	Heavy
<i>Tabebuia roseoalba</i> (Ridl.) Sandwith	1	N PI	Ane	Zoop	Ort	Heavy	24	N PI	Ane	Zoop	Ort	Heavy
<i>Talisia esculenta</i> (A.St.-Hil.) Radlk.	-	-	-	-	-	-	5	N PI	Zoo	Zoop	Rec	Heavy
<i>Trichilia catigua</i> A.Juss.	-	-	-	-	-	-	41	N PI	Zoo	Zoop	Rec	Light
<i>Trichilia elegans</i> A.Juss.	6	N PI	Zoo	Zoop	Rec	Medium	-	-	-	-	-	-
<i>Trichilia hirta</i> L.	-	-	-	-	-	-	23	N PI	Zoo	Zoop	Rec	Medium
<i>Trichilia pallens</i> C.DC.	-	-	-	-	-	-	32	N PI	Zoo	Zoop	Rec	Heavy
<i>Trichilia pallida</i> Sw.	-	-	-	-	-	-	2	N PI	Zoo	Zoop	Rec	Medium
<i>Trichilia clauseni</i> C.DC.	-	-	-	-	-	-	6	N PI	Zoo	Zoop	Rec	Medium
<i>Urera baccifera</i> (L.) Gaudich. ex Wedd.	1	PI	Zoo	Zoop	Ort	Light	-	-	-	-	-	-
<i>Zanthoxylum riedelianum</i> Engl.	4	PI	Zoo	Zoop	Ort	Medium	1	PI	Zoo	Zoop	Ort	Medium
<i>Zeyheria tuberculosa</i> (Vell.) Bureau	-	-	-	-	-	-	3	PI	Ane	Zoop	Ort	Medium

SUPPLEMENT 2

Table S2. Functional attributes used in the classification of species and their ecological implications in the functions of the study community

Characteristics	Species description	Ecological implications
Ecological group ¹	a) Pioneer (young individuals and adults intolerant to the shade; dependent on light); b) Not pioneers (young individuals tolerant of shade, but who need light to grow; young individuals and adults tolerant to shade and able to survive in understory conditions).	Competition for light, stratification, regeneration time, soil cover, forest succession.
Seed dispersal syndrome ²	a) Anemochory: diaspores are spread by the wind; b) Autochory: they disperse their seeds by gravity or by explosive dehiscence; c) Zoochory: diaspores are dispersed by animals.	Fertility, spatial distribution, genetic structuring, population viability, maintenance of dispersing fauna, environmental selection.
Pollination syndrome ²	a) Anemophilous: pollinated by the wind; b) Zoophilous: pollinated by animals.	Propagation, trophic and phenodynamic structure, maintenance of local fauna.
Seed desiccation tolerance ³	a) Orthodox: tolerate seed drying and low temperatures; b) Recalcitrants: do not tolerate desiccation of the seed and low temperatures.	Viability of the seed or seedling bank, germination process, forest succession, environmental selection.
Wood density ⁴	a) Light wood: low-density wood (<0.5 gcm ⁻³) and low physical and structural resistance; b) Medium wood: intermediate density wood (from 0.5 to 0.8 gcm ⁻³), from low to medium physical and structural resistance; c) Heavy wood: wood with more lignified cells, high density (> 0.8 gcm ⁻³), and high physical and structural resistance.	Maximum height, longevity, relative growth rate, damage resistance, carbon storage, water storage.

Classification system proposed by: ¹ = Cavalcante (2001); ² = van der Pijl (1982); ³ = Davide & Silva (2008), ⁴ = Chave et al. (2009); Zanne et al. (2009); Borchert (1994 a,b).

Table S3. Spearman correlation coefficient (*S*) between environmental variables and the SESFRic and SESFDis of Paracatu and Presidente Juscelino, Brazil.

Environmental variables	Paracatu		Presidente Juscelino	
	SES_FRic <i>S</i>	SES_FDis <i>S</i>	SES_FRic <i>S</i>	SES_FDis <i>S</i>
H+Al	0.106 ^{ns}	-0.297 ^{ns}	0.018 ^{ns}	-0.493 [*]
SB	-0.019 ^{ns}	0.359 ^{ns}	-0.074 ^{ns}	0.123 ^{ns}
OM	0.019 ^{ns}	0.342 ^{ns}	-0.188 ^{ns}	-0.158 ^{ns}
Sand	0.061 ^{ns}	0.071 ^{ns}	-0.101 ^{ns}	0.21 ^{ns}
Clay	-0.169 ^{ns}	-0.268 ^{ns}	0.208 ^{ns}	0.069 ^{ns}
Roc	-0.015 ^{ns}	0.283 ^{ns}	-0.1 ^{ns}	0.355 ^{ns}
Slope	-0.104 ^{ns}	-0.008 ^{ns}	-0.332 ^{ns}	0.428 ^{**}

H+Al = potential acidity; SB = sum of bases; OM = organic matter; Roc = percentage of exposed rock. SESFRic = standardized effect size of functional richness; SESFDis = standardized effect size of functional dispersion. * $p < 0,05$; ** $p < 0,01$; ns = not significant.